#### End-to-End Learned Image and Video Compression: Design, Implementation, and Computer Vision Applications

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May 26, 2023 Santa Clara University

## Outline

- Overview of Learned Image/Video Compression
- End-to-end Learned Image Compression
- End-to-end Learned Video Compression
- Computer Vision Applications

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- Overview of Learned Image/Video Compression
  - Neural network-based image/video compression
  - Challenge on learned image compression (CLIC)
  - JPEG AI standardization activities
- End-to-end Learned Image Compression
- End-to-end Learned Video Compression
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#### **Learned Image/Video Compression**

- Deep Learning (DL)-based compression
  - Neural networks as backbone of the compression system
- DL-assisted compression
  - DL techniques for enhancing conventional codecs without changing their design
- Hybrid schemes
  - DL-based tools in traditional codecs

# **Deep Compression Papers**

- Deep image/video compression is attracting attention
- 150 papers on deep image compression since 2017
  - Most adopt the autoencoder-based framework with hyperprior
- 40 papers on deep video compression since 2019
  - Potential techniques are still being researched
  - Pixel/feature-domain residual and conditional coding are popular approaches



# Variational Autoencoder (VAE)

• A generative model that forms the basis of most learned image/video compression systems



#### **Generative Adversarial Network (GAN)**

- Two neural networks playing against each other
  - Generator (e.g. learned image codec)
  - Discriminator (evaluator)
- Latent variables  $\rightarrow$  Generator  $\rightarrow$  generated image
- Real/Fake inputs → Discriminator → identify fake!



#### **Neural Networks for Image Compression?**

- Neural networks are good at synthesizing image details
- They are amenable to any differentiable quality metric



https://hific.github.io/

Source: Mentzer et al., "High-Fidelity Generative Image Compression (HIFIC)," NIPS 2020

#### How Good is Learned Image Compression?



Top performer (CVPR'23): 12% bit rate saving over VVC Intra

## Reference

- Liu et al., "Learned Image Compression with Mixed Transformer-CNN Architectures," CVPR 2023
- He et al., "ELIC: Efficient Learned Image Compression With Unevenly Grouped Space-Channel Contextual Adaptive Coding," CVPR 2022
- Ho et al., "ANFIC: Image Compression Using Augmented Normalizing Flows," OJCAS 2021
- Ma et al., "End-to-End Optimized Versatile Image Compression With Wavelet-Like Transform," TPAMI 2020
- Cheng et al., "Learned Image Compression with Discretized Gaussian Mixture Likelihoods and Attention Modules," CVPR
  2020

#### How Good is Learned Video Compression?



Top performer (CVPR'23): 55% bit rate saving over VVC LDP

#### Reference

- <u>DCVC-DC</u>: Li et al., "Neural Video Compression with Diverse Contexts," CVPR 2023.
- <u>**DCVC-HEM</u>**: Li et al., "Hybrid Spatial-Temporal Entropy Modelling for Neural Video Compression," ACM MM 2022</u>
- <u>DCVC-TCM</u>: Sheng et al. "Temporal Context Mining for Learned Video Compression," IEEE TMM 2022
- <u>CANF-VC/CANF-VC++</u>: Ho et al., "CANF-VC: Conditional Augmented Normalizing Flows for Video Compression," ECCV 2022
- <u>DCVC</u>: Li et al., "Deep Contextual Video Compression," NeurIPS 2021

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#### **Challenge on Learned Image Compression**

- CLIC 2018 targeted image coding @ 0.15bpp
- CLIC 2019 included transparent track (PSNR>40dB)
- CLIC 2020 introduced P-frame track (1 P-frame@0.075 bpp)
- CLIC 2021 introduced video coding track (2-sec videos@30Hz) and multi-rate image coding track (0.075, 0.15, 0.3 bpp)
- CLIC 2022 introduced video coding track (1 mbps & 0.1 mbps for 720p/1080p@15-60fps) and multi-rate image coding track (0.075, 0.15, 0.3 bpp)











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# JPEG AI Call-for-Proposals (CFP)

 To complete a learning-based image coding standard by 2024, targeting human perception and effective performance for image processing and computer vision tasks



"Final Call for Proposals for JPEG AI," ISO/IEC JTC 1/SC29/WG1 N100095, Jan 2022

# **Status and Timeline**

- Call-for-Proposals concluded in July 2022
  - 10 responses evaluated <u>objectively and subjectively</u>
  - MS-SSIM, IW-SSIM, VIF, NLPD, PSNR-HVS-M, VMAF, FSIM
  - DSCQS method, 280+ subjects
- 4 parts to be included
  - Part 1 Core Coding System
  - Part 2 Profiling
  - Part 3 Reference Software
  - Part 4 Conformance
- 2 versions to be standardized
  - v1 focuses on image reconstruction (Int'l Standard: Apr. 2024)
  - v2 addresses compressed-domain vision/processing tasks and better coding efficiency (Int'l Standard: Jan. 2026)



# JPEG AI VM-2.1

5 points BD-rate (0.06, 0.12, 0.25, 0.5, 0.75)										1 <b>0</b> %				
	BD rate vs VVC								Max	Dec. complexity		Enc. complexity		
<u>Test</u>	AVG	msssim Torch	vif	fsim	nlpd	iw-ssim	vmaf	psnrHV S	B Monotonic ity	Bit Dev.	AVG kMAC/ pxl	Run Time × VVC	Run Time × VVC	Run Time × Dec
VMv2.1-tools-off- BRM	-25.1%	-38.0%	-17.9%	-28.8%	-23.4%	-35.0%	-22.7%	-9.7%	TRUE	3%	784	1.1	0.004	~1.5
VMv2.1-tools-on- BRM	-28.3%	-38.7%	-18.8%	-33.8%	-26.8%	-35.6%	-32.6%	-11.5%	TRUE	8%	897	1.3	0.038	~8



Decoding runtime (JPEG AI on GPU vs. VVC on CPU) -- 1.3 : 1 Encoding runtime (JPEG AI on GPU vs. VVC on CPU) -- 0.038 : 1

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  - VAE-based framework with hyperprior
  - Parallel-friendly entropy coding
  - Non-linear transform
- End-to-end Learned Video Compression
- Computer Vision Applications

## **Notable Works**

- J. Ballé et al., "Variational Image Compression with a Scale Hyperprior," *ICLR* 2018.
- D. Minnen et al., "Joint Autoregressive and Hierarchical Priors for Learned Image Compression," *NIPS 2018.*
- Y. Ho et al., "ANFIC: Image Compression Using Augmented Normalizing Flows," *OJCAS 2021*.
- Y. Zhu et al., "Transformer-based Transform Coding," ICLR 2022.
- D. He et al., "ELIC: Efficient Learned Image Compression with Unevenly Grouped Space-Channel Contextual Adaptive Coding," CVPR 2022.
- D. He et al., "Checkerboard context model for efficient learned image compression," CVPR 2021.
- D. Minnen and S. Singh, "Channel-wise autoregressive entropy models for learned image compression," ICIP 2020.

#### VAE-based Compression with "Hyperprior" **Image latents** Side Info. input image Main Encoder NX5X5/ conv Mx5x5, yperprior conv Nx5x5, GDN GDN y abs Х Encoder Sonv CONV 0 hs reconstruction 3x5x5/2 conv Nx5x5/2 yperprior 5x5/ Main conv Nx5x5/ IGDN IGDN ô ReLU ŷ Ŷ 2 ecoder Ď Decoder Rel CONV CONV **Hyperprior Autoencoder** Variational Autoencoder (VAE)

Balle et al., "Variational image compression with a scale hyperprior," ICLR 2018.

#### **Main Encoder and Decoder**

- Encoder works as an analysis transform to condense the image information
- Decoder "inverse of Encoder" - synthesizes an approximation of the original input



#### **Hyperprior Encoder and Decoder**

- Hyper-encoder produces hyperprior  $z = \{z_i\}$  from image latents  $y = \{y_i\}$  as  $\frac{y_i}{y_i}$ side information
- Hyper-decoder decodes quantized z to output distribution parameters of image latents y



**Distribution parameters** 

# **Distributions of Hyperprior z**

- Assumptions
  - p(z) is factorial
  - $p(z_i)$  is identically distributed
- Cumulative Distribution Function (CDF) of z<sub>i</sub> is learned



#### **Conditional Distributions of Image Latents** *y*

- Assumptions
  - $p(y|\hat{z})$  is **fully factorial** along **channel and spatial** dimensions
  - $p(y_i | \hat{z})$  is **Gaussian** with **mean zero** and **scale derived from**  $\hat{z}$



# Quantization

- Rounding to the nearest integer at inference time, i.e. uniform quantization with step size 1
- Differentiable approximation during training
  - Additive uniform noise: to mimic quantization noise; e.g., Endto-end optimized image compression," ICLR2017
  - **Stochastic rounding**: quantized value + noise; e.g., *Lossy image* compression with compressive autoencoders, ICLR2017
  - **Soft quantization**: continuous approximation to hard quantizer; e.g., *Soft-to-Hard Vector Quantization for End-to-End Learning Compressible Representations, NIPS2017*

## **Training Objective**

• A weighted sum of **distortion**  $L_D$  and **rate**  $L_R$ 

$$L = L_D(x, \hat{x}) + \lambda \times L_R(\hat{y}, \hat{z})$$

- *L<sub>D</sub>* any differentiable metric, e.g. MSE, MS-SSIM, perceptual loss, and adversarial loss
- $L_R$  the rate needed to signal the image latents  $-\log p(\hat{y}|\hat{z})$  and hyperprior  $-\log p(\hat{z})$

# Context Model (1/2)

- $p(y|\hat{z})$  is **non-factorial** along the **spatial** dimension
- $p(y_i|\hat{z}, \hat{y}_{< i})$  is Gaussian with mean and scale derived from hyperprior  $\hat{z}$  and previously coded image latents  $\hat{y}_{< i}$



Source: D. Minnen et al., "Joint Autoregressive and Hierarchical Priors for Learned Image Compression," NIPS18.

# Context Model (2/2)

• To condition the mean and scale predictions based on hyperprior and previously coded latents  $\hat{y}_{< i}$ 



Source: A. van den Oord et al., "Conditional image generation with pixelcnn decoders," NIPS16

## **Rate-Distortion Comparison**



Source: D. Minnen *et al.*, "Joint Autoregressive and Hierarchical Priors for Learned Image Compression," *NIPS18.* 

# **Issues of the Context Model**

- NOT parallel-friendly for decoding
  - $\rightarrow$  Mean and variance prediction has to be done one-by-one
- Uni-directional context due to causality
  - $\rightarrow$  Samples can only be referenced from one direction



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# **Checkerboard Context Model**

- Split latents into two slices along the spatial dimension
- Slice 1 (anchor) uses **hyperprior** to derive coding probabilities
- Slice 2 (non-anchor) refers to Slice 1, hyperprior as context



Source: D. He et al., "Checkerboard context model for efficient learned image compression," CVPR 2021

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#### Remarks

- Mean and variance prediction in each slice is done in parallel
- Slice 1 (50% of samples) refers to **hyperprior** only
- Slice 2 (remaining 50%) refers to **bi-directional context**
- Decoding time is very close to using hyperprior only
- Rate saving decreases by 2-3% (w.r.t. the context model)
# **Channel-wise Context Model**

- Split latents into two slices along the channel dimension
- Slice 1 uses hyperprior to derive coding probabilities
- Slice 2 refers to Slice 1 and hyperprior as context



Source: D. Minnen and S. Singh, "Channel-wise autoregressive entropy models for learned image compression," ICIP 2020

# **Spatial-channel Context Model**

- Split latents into non-even slices along the channels
- Apply spatial-channel context in each slice
- Ex: Checkerboard-channel context



Source: D. He et al., "ELIC: Efficient Learned Image Compression with Unevenly Grouped Space-Channel Contextual Adaptive Coding," CVPR 2022.

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#### **Swin-Transformer as Non-linear Transform**

- As compared to ConvNet, Swin-Transformer offers
  - Flexible receptive fields
  - Non-stationary, content-adaptive convolution
  - Short- and long-range attentions with shifted windows



Source: Y. Zhu et al., "Transformer-based Transform Coding," ICLR 2022.

# **Flow-based Coding Frameworks**

- H. Ma et al., "End-to-End Optimized Versatile Image Compression with Wavelet-Like Transform," IEEE Transactions on Pattern Analysis and Machine Intelligence (TPAMI), 2020.
- Y.-H. Ho et al., "ANFIC: Image Compression Using Augmented Normalizing Flows," IEEE Open Journal of Circuits and Systems (OJCAS), Dec. 2021.

# **ANFIC in a Nutshell**



- Learn image distribution p(x)
- Employ a stack of additive autoencoding transforms
- Use augmented noise e<sub>z</sub> to convert input x into x<sub>2</sub> ≈ 0
- Encode the latents  $\hat{z}_2$ ,  $\hat{h}_2$  into a bitstream

[Ho et al. OJCAS 2021]



- Additive autoencoding transform + Hyperprior
  - Use augmented noise  $e_z$  to convert input x into  $x_2 \approx 0$
- Encode the latents  $\hat{z}_2$ ,  $\hat{h}_2$  into a bitstream

[Ho et al. OJCAS 2021]

#### **Additive Autoencoding Transform: Inverse**



Addition → Subtraction

#### Subtraction → Addition

[Ho et al. OJCAS 2021]



## **Rate-Distortion Performance**



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  - Residual-based video compression
  - Conditional video compression
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# **Residual-based Coding Framework**



Source: Lu et al., "DVC: An end-to-end deep video compression framework," CVPR 2019

#### **Notable Works**

- DVC/DVC-Pro: Lu et al., "DVC: An End-to-End Deep Video Compression Framework," CVPR 2019; Lu et al., "An Endto-End Learning Framework for Video Compression," TPAMI 2020
- Scale-space: Agustsson et al., "Scale-space Flow for Endto-End Optimized Video Compression," CVPR 2020
- FVC: Z. Hu et al., "FVC: A New Framework towards Deep Video Compression in Feature Space," CVPR 2021
- **C2F-FVC:** Z. Hu et al., "Coarse-to-fine Deep Video Coding with Hyperprior-guided Mode Prediction," CVPR 2022

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#### **Residual vs. Conditional Coding Conditional** Coding Residual coding $\hat{x}_t$ $\hat{x}_t$ Enc Enc Dec Dec $x_{c}$ $x_c$ $\hat{x}_{t-1}$ $\hat{x}_{t-1}$ Motion Motion Compensation Compensation $\hat{f}_t$ $\hat{f}_t$ Motion Motion Inter-frame Coder Coder Coder Motion Coder $x_t$ $x_t$ Frame Buffer $f_t$ $f_t$ $\hat{x}_{t-1}$ $\hat{x}_{t-1}$ $x_t$ $x_t$ Motion Motion Estimation Estimation Input Frame Input Frame

#### No evaluation of residual signals!

# **Conditional Coding**

 Residual coding is sub-optimal from the informationtheoretic perspective

$$H(I_t - I_c) \ge H(I_t - I_c | I_c) = H(I_t | I_c)$$

*I<sub>t</sub>*: Coding frame *I<sub>c</sub>*: Motion-compensated reference frame

• Conditional coding aims to approach  $H(I_t|I_c)$ → Need to learn the conditional distribution  $p(I_t|I_c)$ 

[Ladune et al. MMSP'20][Li et al. NIPS'21]

# **Conditional vs. Residual Coding in 2022**



HM as anchor

#### **Notable Works**

- DCVC: J. Li et al., "Deep Contextual Video Compression," NeurIPS 2021
- **DCVC-TCM:** X. Sheng et al., "Temporal Context Mining for Learned Video Compression," IEEE TMM, 2022
- **DCVC-HEM:** J. Li et al., "Hybrid Spatial-Temporal Entropy Modelling for Neural Video Compression," ACM MM 2022
- DCVC-DC: J. Li et al., "Neural Video Compression with Diverse Contexts," CVPR 2023
- **CANF-VC:** Y.-H Ho et al., "CANF-VC: Conditional Augmented Normalizing Flows for Video Compression," ECCV 2022
- VCT: F. Mentzer et al., "VCT: A Video Compression Transformer," NeurIPS 2022
- **MIMT:** J. Xiang et al., "MIMT: Masked Image Modeling Transformer for Video Compression," ICLR, 2023

# **DCVC: Deep Contextual Video Coding**

- J. Li, B. Li, Y. Lu (MSRA), NeurIPS 2021
- Use a conditional variational autoencoder (CVAE) for contextual encoding and decoding



Source: J. Li et al., "Deep Contextual Video Compression," NIPS 2021

# **Conditional Variational Autoencoder**

 Use singal concatnation as a means to achieve contextual encoding and decoding



Source: J. Li et al., "Deep Contextual Video Compression," NIPS 2021

# **DCVC-TCM: Temporal Context Mining**

 Extend DCVC by learning multi-scale conditioning factors from previously stored frame features



<sup>57</sup> Source: X. Sheng et al., "Temporal Context Mining for Learned Video Compression," IEEE TMM, 2022

#### **DCVC-HEM**

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- An extended version of DCVC-TCM
  - Spatial-channel context model for entropy coding
  - Multi-granularity quantization for variable-rate coding

Global Channel-wise Element-wise (Adjustable) (Learned) (Learned)



Source: J. Li et al., "Hybrid Spatial-Temporal Entropy Modelling for Neural Video Compression," ACM MM 2022

# **CANF-VC: Conditional Augmented Normalizing Flows for Video Compression**

- Y. H. Ho (NYCU), C. P. Chang (NYCU), P. Y. Chen (NYCU),
  A. Gnutti (Univ. Brescia), W. H. Peng (NYCU), ECCV 2022
- Adopt conditional augmented normalizing flows (CANF) for conditional coding
- Apply conditional coding to both motion and interframe coding

# **Conditional ANF (CANF)**

Turn ANFIC into a conditional video generator



**Idea:** To generate  $I_t$  conditionally based on  $I_{t-1}$ 

# **Conditional Video Frame Generation**



 $I_c$  Reference frame



#### $I_t$ Current frame





 $\mathrm{Dec}_2(\hat{z}_2)$ 



 $\mathrm{Dec}_{-1}(z_1)$ 



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#### **CANF for Motion and Inter-frame Coding**



#### Learned Video Codecs vs. X265



#### **Multiply-Accumulate Operations (MAC)**

- BD-rate saving (vs. HM-16.20): The higher the better
- kMAC/pixel for encoding: The lower the better
- Encoding : Decoding = <u>1.5 : 1</u>



### **Peak Memory Requirements**

- BD-rate saving (vs. HM-16.20): The higher the better
- Peak memory: The lower the better



#### **Model Size**

- **BD-rate saving (vs. HM-16.20):** The higher the better
- Model size (I-frame NOT counted): The lower the better



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#### **Notable Works**

#### Single-task bitstram

- J. Liu et al., "Improving Multiple Machine Vision Tasks in the Compressed Domain," ICPR 2022
- Scalable bitstream
  - H Choi et al., "Scalable Image Coding for Humans and Machines," TIP 2022
- Multi-task (or many-task) bitstream
  - R. Feng et al., "Image Coding for Machines with Omnipotent Feature Learning," ECCV2022

# Improving Multiple Machine Vision Tasks in the Compressed Domain

- Train a base codec with multi-task loss (e.g. recon. + seg.)
- Encoder adopts a gate module for task-specific feature coding
- Decoder uses a transform module to adapt features to the task
  - → Encoder = Image Compressor + Feature Extractor



Source: J. Liu et al., "Improving Multiple Machine Vision Tasks in the Compressed Domain," ICPR 2022

#### **Scalable Image Coding for Humans and Machines**

- Divide image latents y along channel dimension into  $y_1$  and  $y_2$
- Decode  $y_1$  (base layer) for machine perception
- Decode  $y_1 + y_2$  (enhancement layer) for human perception



Source: H Choi et al., "Scalable Image Coding for Humans and Machines," TIP 2022

#### Image Coding for Machines with Omnipotent Feature Learning

- Use contrastive learning to learn omnipotent features, i.e. features suitable for many vision tasks
- Encode omnipotent features with learned codecs
- Fine-tune recognition networks with omnipotent features



Source: R. Feng et al., "Image Coding for Machines with Omnipotent Feature Learning," ECCV 2022

#### **Omnipotent Feature Learning**

- Information Filtering (IF) + Contrastive learning
- IF: rate constrained representation learning



Learned feature compressor

Source: R. Feng et al., "Image Coding for Machines with Omnipotent Feature Learning," ECCV 2022
### **Transfer Learning for Machine Perception**

- <u>Task</u>: To transfer a learned codec from human perception to machine perception
- Adapt the feature distributions of the learned codec using task-specific conditions for machine perception



### **Machine Task: Classification**

### Base codec (Human)

### Adapted base codec (Machine)

Full finetuning (Machine)















### **Rate-Accuracy Performance**



#### **Classification**

#### **Object Detection**



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# Conclusion

- End-to-end learned image/video compression is progressing at light speed
- For image coding,
  - VAE-based compression with hyperprior is currently the most popular approach
  - JPEG AI is progressing fast towards version 1
  - PSNR-RGB: > VVC intra, MS-SSIM: >> VVC
- For video coding,
  - Research is still ongoing, with conditional coding emerging as an attractive alternative to residual coding
  - More content-adaptive coding is expected
  - PSNR-RGB: > VVC (LDP), MS-SSIM: >> VVC

## Conclusion

- The complexity of learned codecs is still high
  - 2 to 3 orders of magnitude higher than traditional codecs in terms of kMAC/pixel and CPU decoding time
- Many issues remain widely open
  - Performance and complexity assessment
  - YUV content coding
  - Encoder optimization
  - Rate control
  - Generalization
  - Coding for machines
  - etc.

### **Neural Image Compression on FPGA**

• H. Sun et al, "F-LIC: FPGA-based Learned Image Compression with a Fine-grained Pipeline," ASSCC 2022



# Thank you for your attention

