# Speech Recognition

An historical perspective on the business and technology

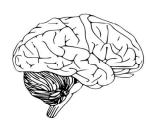
With thanks (again!) to my former SpeechWorks colleagues:

> Blade Kotelly, MIT Sol Lerner, Nuance Mike Phillips, Sense Labs Roberto Pieraccini, Google John Nguyen, ScreenEx

The ideas are all theirs; the misinterpretations, errors and omissions are all mine.

#### Audio Test

## Type what I say into the chat window

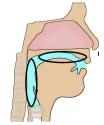








## My dog ate your sausage.







World Knowledge Concepts Phrases Words Phonemes Sounds









World Knowledge Concepts Phrases Words Phonemes Sounds Pragmatics Semantics Syntax Morphology Phonetics Acoustics







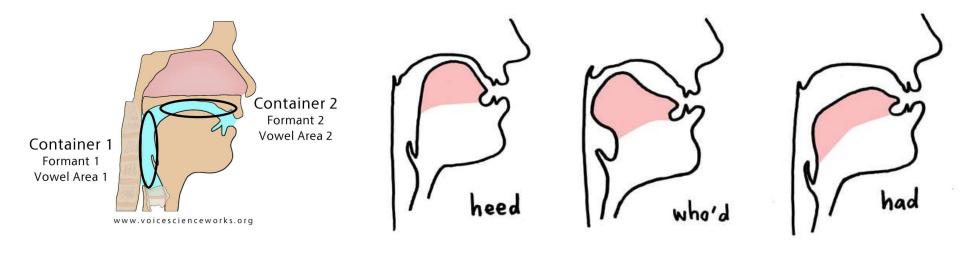


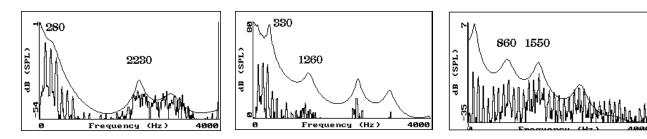
#### 1952 Bell Labs AUDREY AUtomatic Digit REcognition Y

- Discrete digits
- Microphone
- Single trained speaker
- Formant-based pattern matching

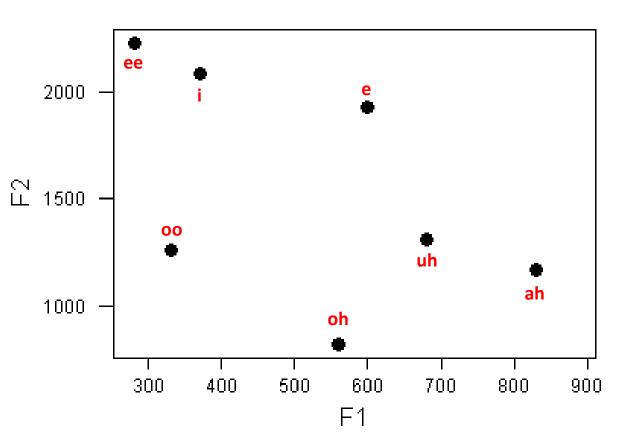


#### Formants





one	wuhn
two	too
three	thr <mark>ee</mark>
four	f <mark>oh</mark> r
five	f <mark>aı</mark> v
six	siks
seven	s <mark>evuh</mark> n
eight	eɪt
nine	nain
zero	t <mark>e</mark> n

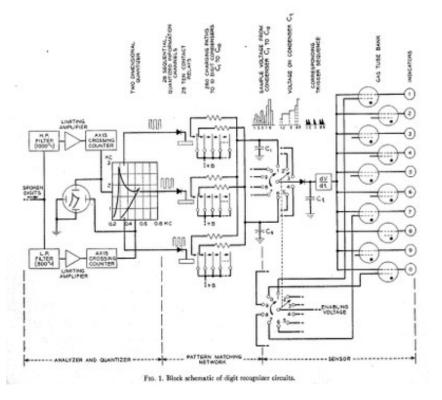


# 1952 Bell Labs A

AUDREY

AUtomatic Digit REcognition Y

- Discrete digits
- Microphone
- Single speaker
- Format-based pattern matching
- 97% accurate
- 7-digit phone number = 80%
- 6-foot rack with vacuum tubes





World Knowledge Concepts Phrases Words Phonemes Sounds Pragmatics Semantics Syntax Morphology Phonetics Acoustics







### Gartner Hype Cycle Speech Recognition





Time

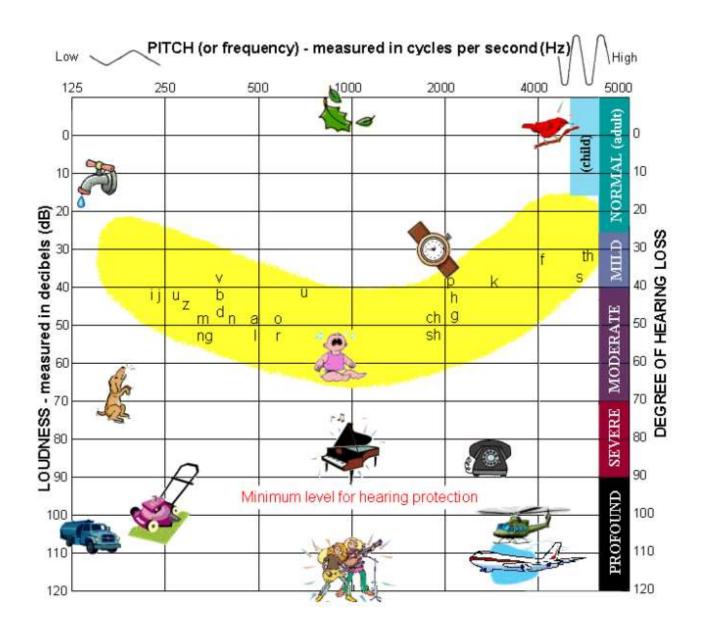


## 1961 Shoebox

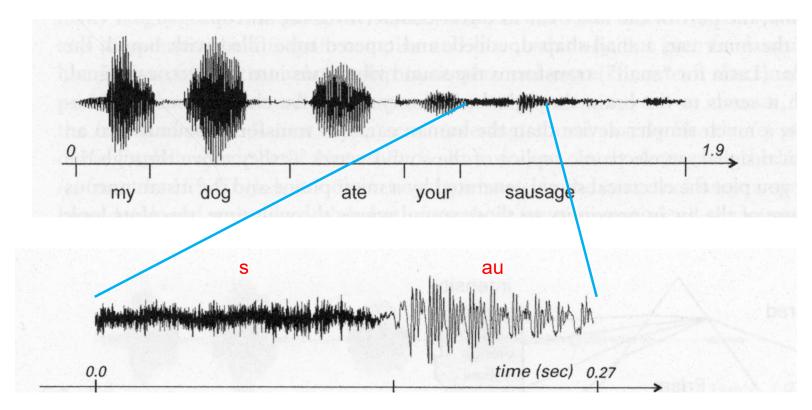
- 16 words
- Fits in a shoebox
- Demo at 1962 Seattle World's Fair
- 3 analog filters (Low, Medium, High)
- Pattern match sequence HMH, LM, ...



https://youtu.be/rQco1sa9AwU



## Sound



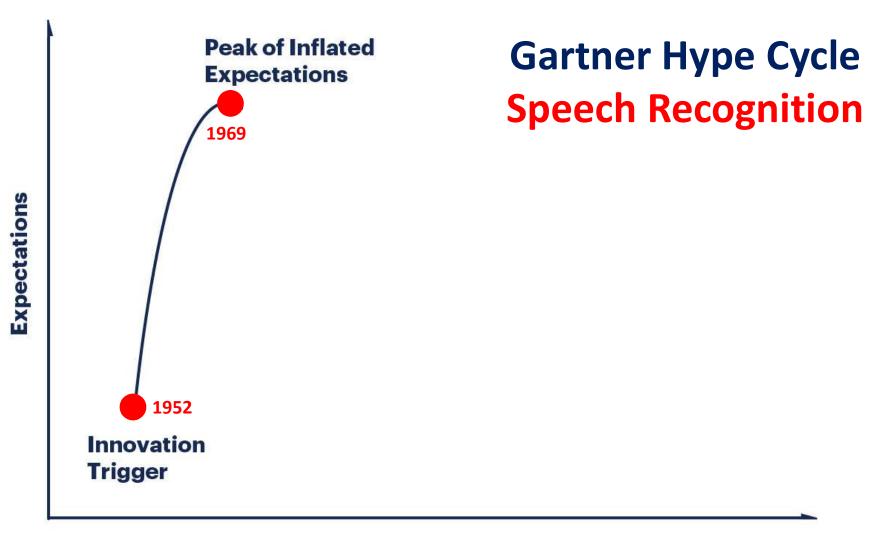
6 N. Lindgren, "Machine Recognition of Human Language," IEEE Spectrum 2, Nos. 3 and 4 (1965). 7 T. Marill, "Automatic Recognition of Speech." IRE Trans. Human Factors Electron HFE-2, 34-^38 (1961). 8 E. E. David, Jr., "Artificial Auditory Recognition in Telephony," IBM J. Res. Develop., 2, 294-309 (1958). 9 E. E. David, Jr. and O. G. Selfridge, "Eyes and Ears for Computers," Proc. IRE 50, 1093-1101 (1962). 10 M. A. Saposhkov, "The Speech Signal in Cybernetics and Communications," transl. by Joint Publications Res. Service, JPRS 28, 117 (1965). 11 J. L. Flanagan, Speech Analysis Synthesis and Perception (SpringerVerlag, Berlin, 1965). 12 V. A. Kozhevnikov and L. A. Chistovich, "Speech: Articulation and Perception" transl. by Joint Publications Res. Service, JPRS 30, 543 (1965). 11 H. K. Davis, R. Biddulph, and S. Balashek, "Automatic Recognition of Spoken Digits." J. Acoust. Soc. Amer. 24, 637-642 (1952). 14 H. Dudley and S. 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(1962). 21 K. Nagata, Y. Kato, and S. Chiba, "Spoken Digit Recognizer for Japanese Language," Nippon Elec. Co., Res. Develop. No. 6 (1963). 22 T. B. Martin. A. L. Nelson, and H. J. Zadell, "Speech Recognition by Feature Abstraction Techniques," Tech. Rep. No. AL-TDR-64-176 (AD604526), Air Force Avionics Lab. (1964). 23 J. W. Falter, "Feature Abstraction: An Approach to Speech Recognition," Proc. Nat. Aerospace Elec. Conf., IEEE. 192-198 (1965). 24 J. Gazdag, "A Method of Decoding Speech," Tech. Rep. No. 9, AFOSR-66-2385 (AD641132), Univ. of Illinois, June (1966). 26 L. Gilli and A. R. Meo, "Sequential System for Recognizing Spoken Digits in Real Time," Acustica 19, (1967/68). 25 P. W. Ross, "A Limited-Vocabulary Adaptive Speech-Recognition System," J. Audio Eng. Soc. 15, 414-419 (1967). 27 P. B. Denes and M. V. Mathews, "Spoken Digit Recognition Using Time-Frequency Pattern Matching," J. Acoust. Soc. Amer. 32, 1450-1455 28 G. Sebestyen, "Automatic Recognition of Spoken Numerals," J. 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## 1968 Clarke & Kubrick HAL



https://www.youtube.com/watch?v=9W5Am-a\_xWw



Time



# 1969 J R Pierce, Bell Labs

WHITHER SPEECH RECOGNITION: Journal of the Acoustical Society of America

Speech recognition has glamor. Funds have been available. Results have been less glamorous.

General-purpose speech recognition seems far away. Specialpurpose speech recognition is severely limited.

"When we listen to a person speaking much of what we think we hear is supplied from our memory." – W. James, 1889.

It would seem appropriate for people to ask themselves why they are working in the field and what they can expect to accomplish.





Pragmatics Semantics Syntax Morphology Phonetics Acoustics World Knowledge Concepts Phrases Words Phonemes Sounds



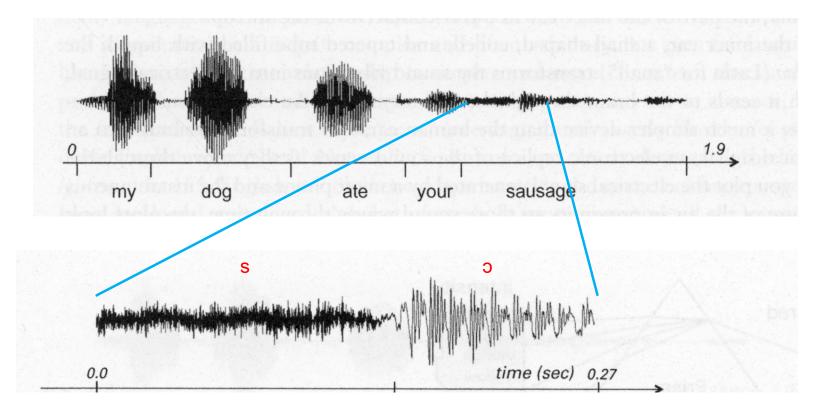


## Phonemes

"Perceptually distinct units of sound in a specified language that distinguish one word from another."

	monophthongs			diphthongs		Phonemic		
	i:	I	ឋ	u:	IƏ	еі	Chart voiced unvoiced	
S	sh <u>ee</u> p	sh <u>i</u> p	<u>goo</u> d	sh <u>oo</u> t	h <u>ere</u>	w <u>ai</u> t		
VOWELS	е	ə	3:	<b>э</b> :	ស	JI	ວເ	
>	b <u>e</u> d	teach <u>er</u>	b <u>ir</u> d	d <u>oor</u>	t <u>ou</u> rist	b <u>oy</u>	sh <u>ow</u>	
	æ	Λ	a:	a	eə	аі	aʊ	
	c <u>a</u> t	<u>u</u> p	f <u>ar</u>	<u>o</u> n	h <u>air</u>	my	c <u>ow</u>	
	р	b	t	d	ťſ	dz	k	g
ITS	pea	<u>b</u> oat	tea	dog	<u>ch</u> eese	<u>J</u> une	<u>c</u> ar	go
CONSONANTS	f	V	θ	ð	S	Z	ſ	3
NO	<u>f</u> ly	video	<u>th</u> ink	<u>th</u> is	see	<u>Z</u> 00	<u>sh</u> all	television
CC	m	n	ŋ	h	1	r	W	j
	<u>m</u> an	<u>n</u> ow	si <u>ng</u>	<u>h</u> at	love	<u>r</u> ed	<u>w</u> et	yes

## Sound





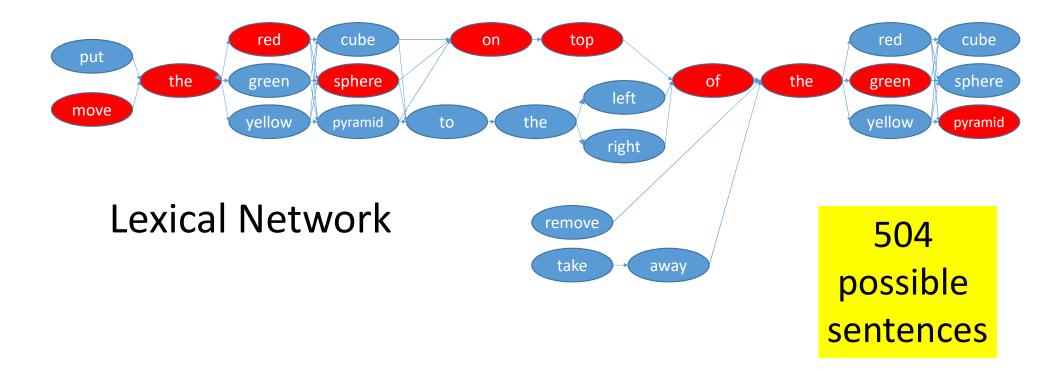
## 1971 \$15M SUR Funding Speech Understanding Research

#### 10K words, any speaker, any acoustic environment

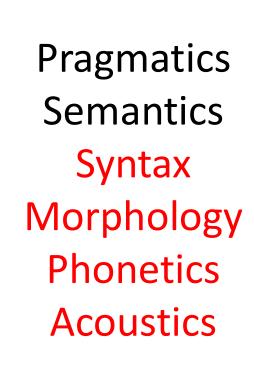
90% of **connected speech** utterances in "a few times real time" 1K words, selected cooperative speakers, quiet room Can "train" system on each speaker ("speaker-dependent")

#### Fixed Grammars

#### "MOVE THE RED SPHERE ON TOP OF THE GREEN PYRAMID"







World Knowledge Concepts Phrases Words Phonemes Sounds







## 1971 \$15M SUR Funding Speech Understanding Research

SDC (Systems Development Corp)
BBN (Bolt, Beranek & Newman)
CMU Hearsay II
CMU Harpy
MIT
SRI (Stanford Research Institute)

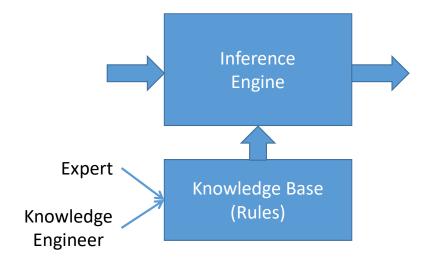


#### 1971 \$15M SUR Funding Speech Understanding Research

SDC BBN CMU Hearsay II CMU Harpy MIT SRI

Rules-based "AI" Expert Systems

## Expert System: sounds $\rightarrow$ phonemes $\rightarrow$ words



IF pause followed by voiced low energy
IF pause >20 ms
 segment is a voiced stop
ELSE
 segment is an unvoiced stop

IF stop followed by vowel
 IF formants rise to stationary value
 IF energy burst after stop is weak
 segment is b

```
IF first segment is d
   IF next segment is or ou
        IF next segment is g
        word is dog
```



1971 \$15M SUR Funding Speech Understanding Research

SDC

BBN

CMU Hearsay II

CMU Harpy

MIT

SRI

Rules-based "AI" Expert System

**Template Matching** 

## Template matching

- 1. Use heuristic rules to divide utterance into average 50 ms "segments"
- 2. Find best match(es) to 98 sound templates ("phones") for each segment
- 3. Constrain to universe of possible utterances with probabilities



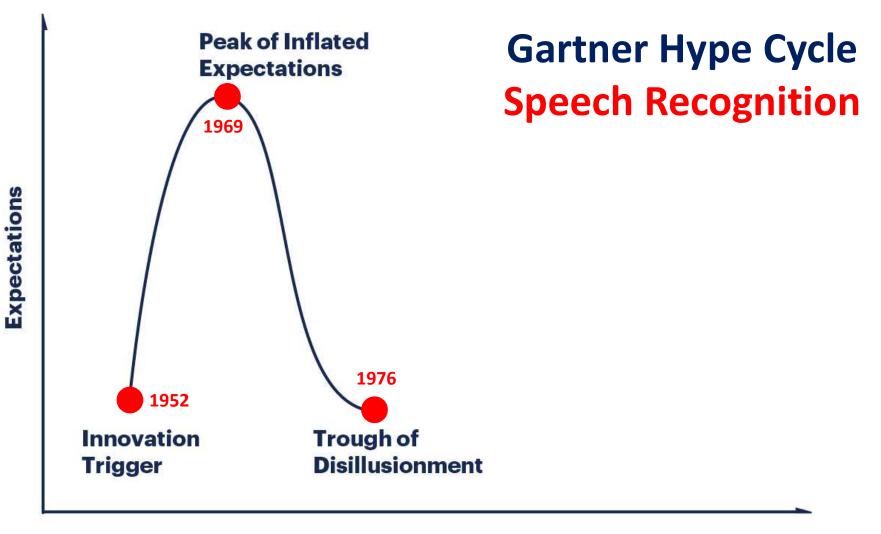
https://www.youtube.com/watch?v=32KKg3aP3Vw



1976 \$15M SUR Funding 90% accurate, few times real time

SDC BBN CMU Hearsay II CMU Harpy MIT SRI

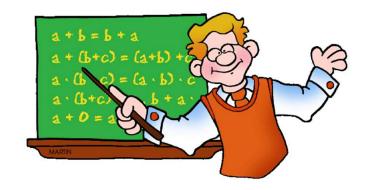
24% accurate 44% 74% 95%, but 80x real time DNF DNF



Time



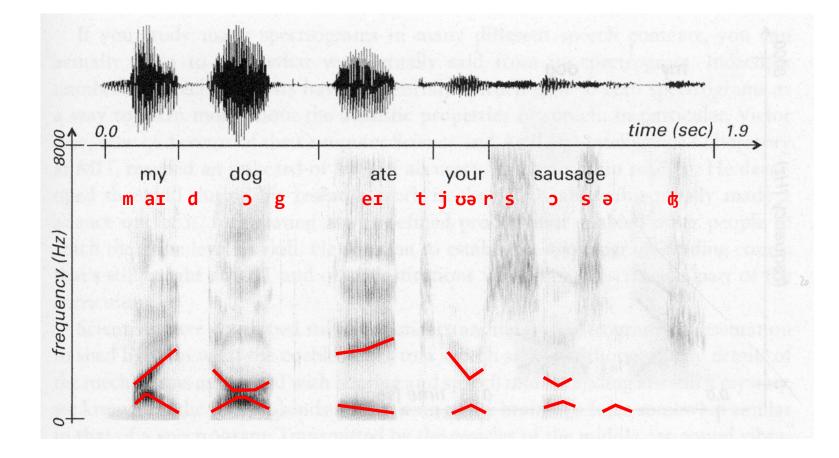
VS.







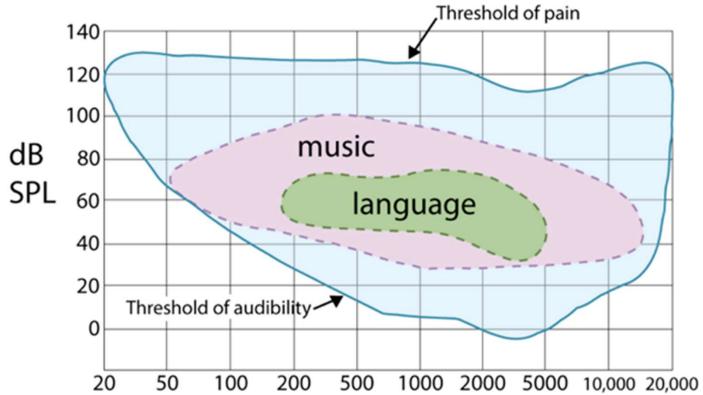
## The Signal – as a Spectrogram







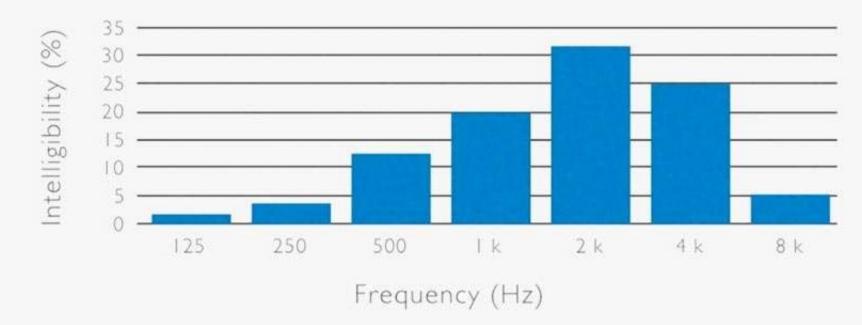
#### Human Hearing





# Human Hearing



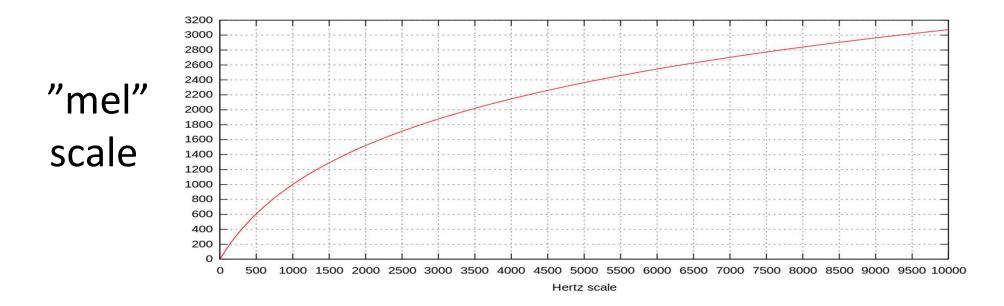


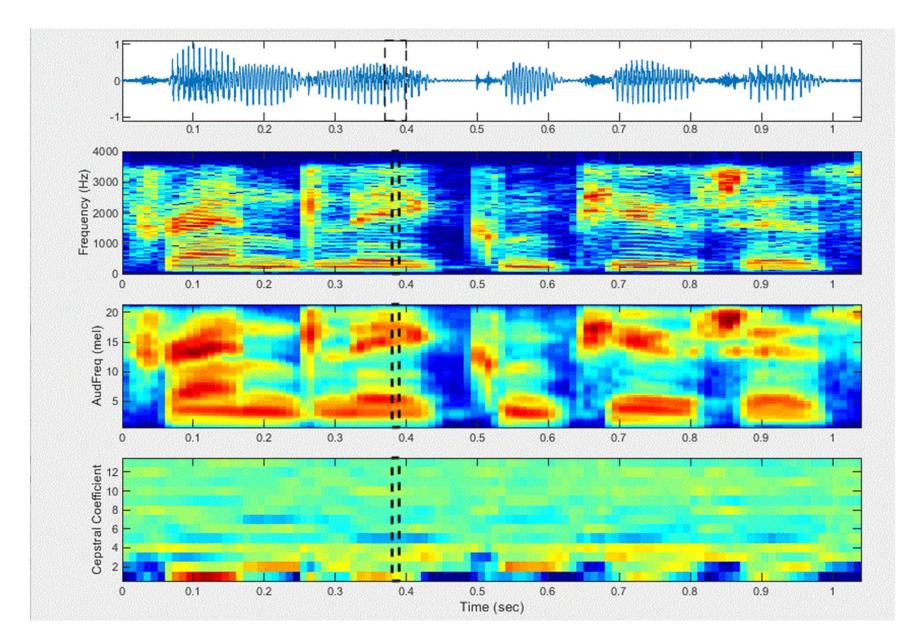


# Frequency Sensitivity

#### We can discriminate 3-4 Hz between 15 Hz and 2 kHz

... and above 2 kHz, a 0.3% change

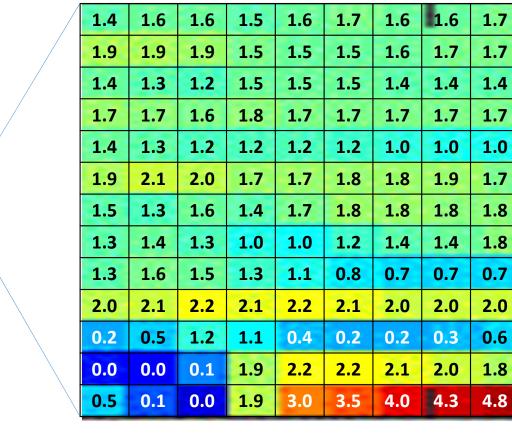




#### Feature Vectors

0

0.1

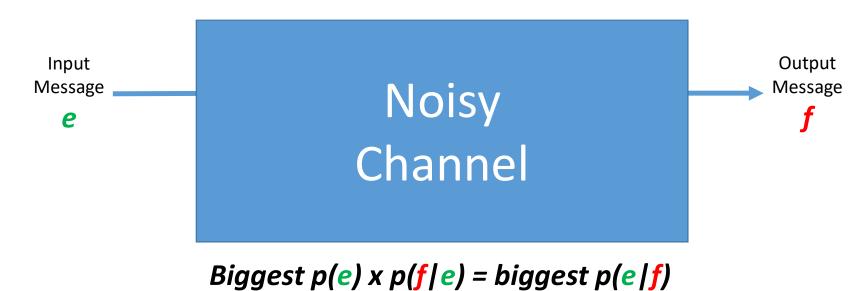


 $\bullet$   $\bullet$   $\bullet$ 

# **Math Ahead**

### Noisy Channel Model

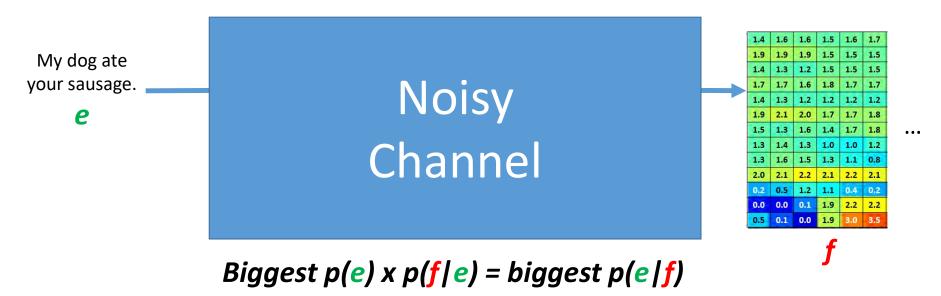
Find the most probable Input Message *e* that led to the Output Message *f* Search each possible *e* to find the biggest p(e|f)



Claude Shannon – 1948 Bell Labs Technical Journal

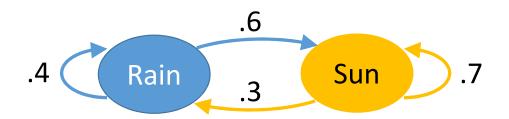
### Noisy Channel Model

#### Find the most probable phrase *e* that led to the Feature Vectors *f* Search each possible *e* to find the biggest p(e|f)

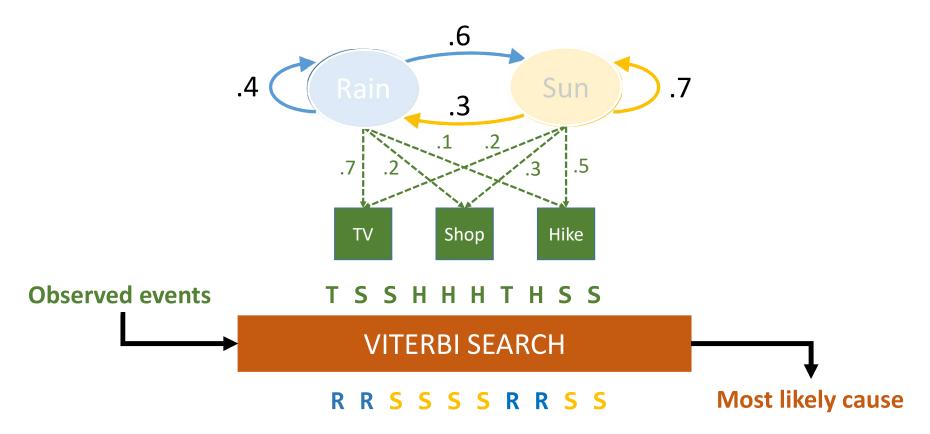


Claude Shannon – 1948 Bell Labs Technical Journal

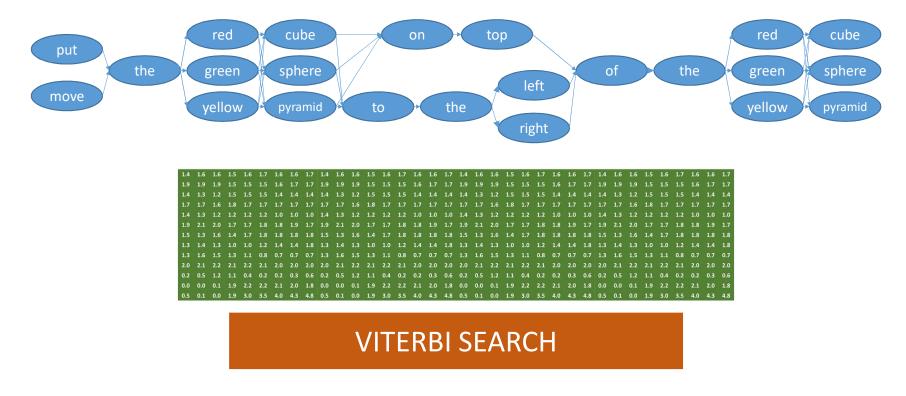
#### Markov Model



### Hidden Markov Model (HMM)

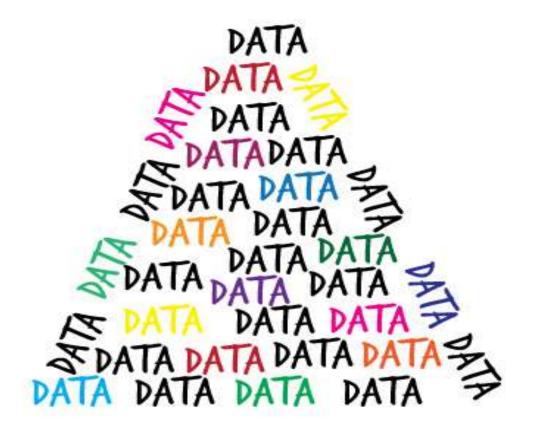


### Hidden Markov Models (HMM)



"MOVE THE RED SPHERE ON TOP OF THE GREEN PYRAMID"

#### OK, but how do you get the probabilities???

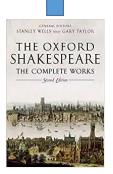




As that may speech those fallible factor not name so garb and his eat by my kisses camp morn thou my the leave her.

Not with death gipsy to bloody of me he do great.

It the what Hamlet handkerchief then aught enemies bones come madness.



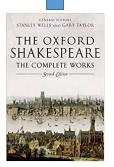
p(word)
1<sup>st</sup> order monkeys = 1-grams



Nay then she did forfeit sovereign as loud music i' the heels.

Hast slaughter'd his passage.

With the slave and start at last she your dominions for thee Charmian lived a good cheer.



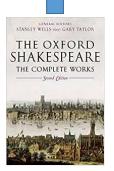
p(word | previous word)
2<sup>nd</sup> order monkeys = 2-grams



What is the great cannon to the land withal yet to draw apart the body.

Rashly and praised be rashness for it.

That art not what counts harsh fortune casts upon my charm I have.



p(word | previous two word)
3<sup>rd</sup> order monkeys = 3-grams = trigrams



His horns shall be girded with a lamb for an heave offering unto the Lord is in the land of Egypt.

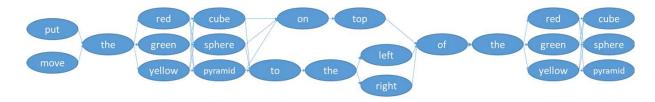
Deliver him into this wilderness to meet him and put on other garments and anoint the laver and his sons Esau and also behold he is become of him.



p(word | previous two word)
3<sup>rd</sup> order monkeys = 3-grams = trigrams

# Lexical Network: words $\rightarrow$ phrases

1. Fixed grammar probabilities – many are 1.0



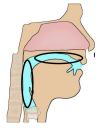
- 2. Third-order monkeys = "trigrams" constraint the network
- 3. Other creative hacks, aka engineering solutions





Pragmatics Semantics Syntax Morphology Phonetics Acoustics World Knowledge Concepts Phrases Words Phonemes Sounds







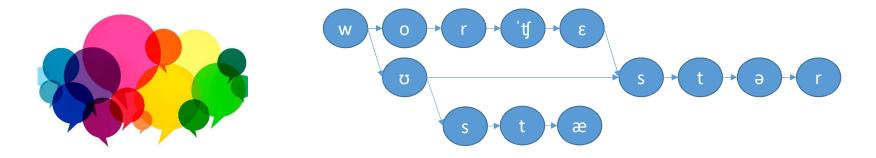
# Phonetic Network: phonemes $\rightarrow$ words

Essentially a dictionary with alternate pronunciations

Worcester

Worˈʧɛstər Wʊstər Wʊstæ

Tourist Non-native Bostonian True Bostonian

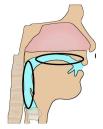




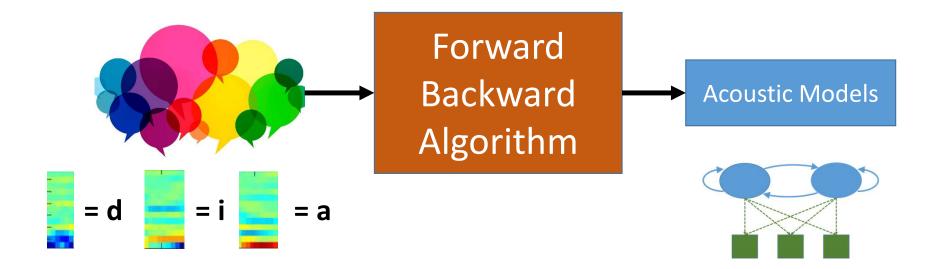


World Knowledge Concepts Phrases Words Phonemes Sounds

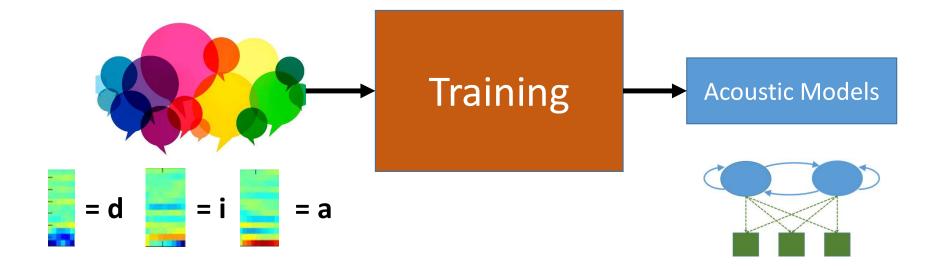




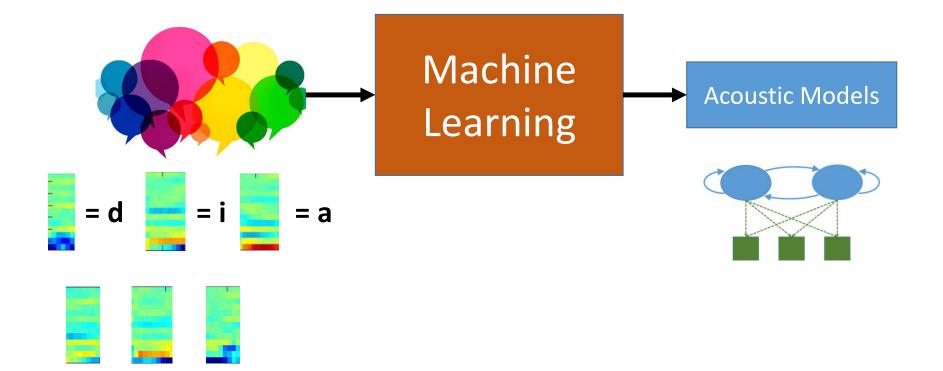
# Acoustic model: Feature vectors $\rightarrow$ phonemes



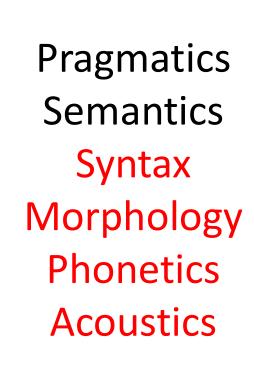
# Acoustic model: Feature vectors $\rightarrow$ phonemes



# Acoustic model: Feature vectors $\rightarrow$ phonemes





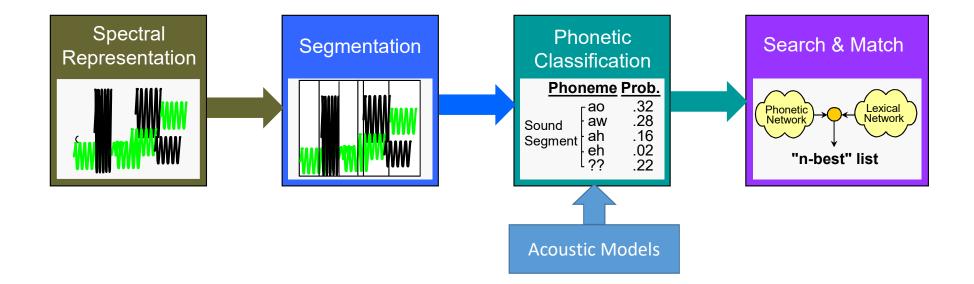


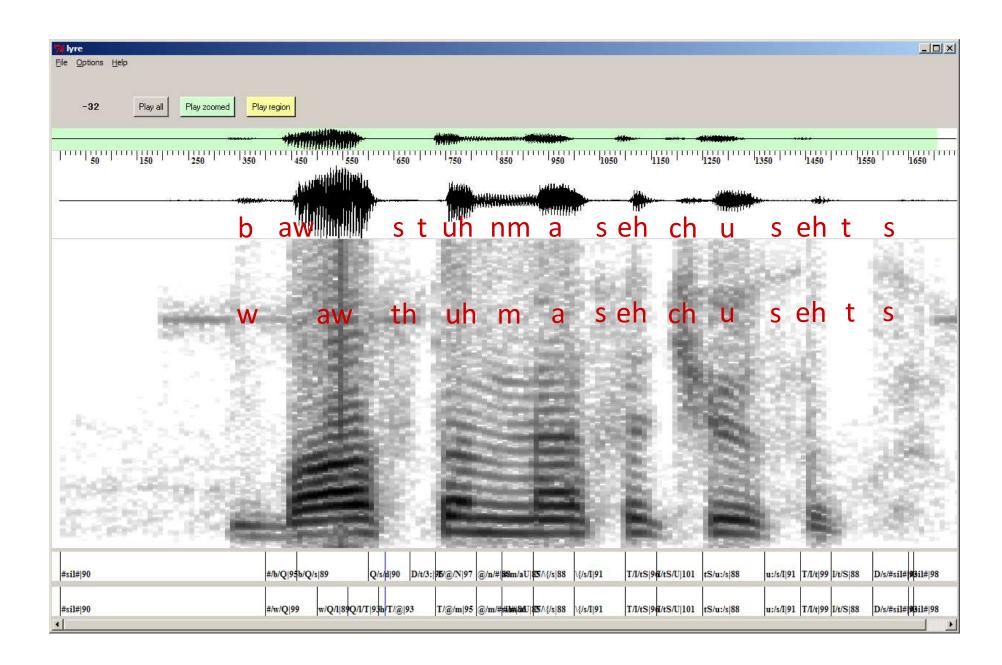
World Knowledge Concepts Phrases Words Phonemes Sounds





## A Recognition Pipeline





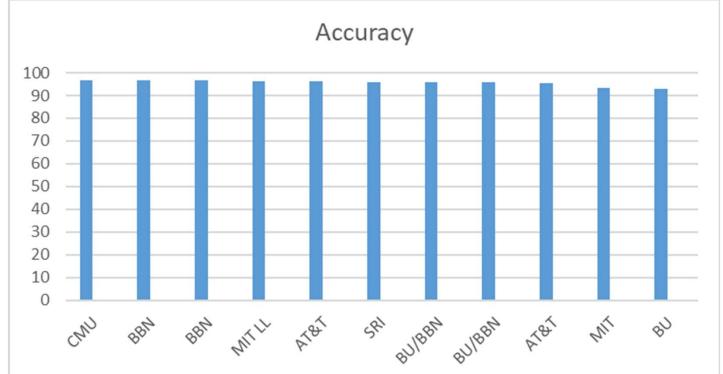


# 1985 "Resource Management" Task

- Funded CMU, MIT, BBN, SRI
- Standard corpus to train and test recognition
  - 25,000 utterances, 900 words, 990-sentence grammar, 160 varied speakers
    - Is Apalachicola's radar sensor location data newer than sonar data?
    - Show the Fresno's track without overlay.
    - Give me a list of the names and estimated time of arrival at their destinations for carriers in the Philippine Sea.
- 6 rounds of evaluation March 1987 June 1990
  - Test sets distributed with results due back in a few days
  - No evaluation of speed

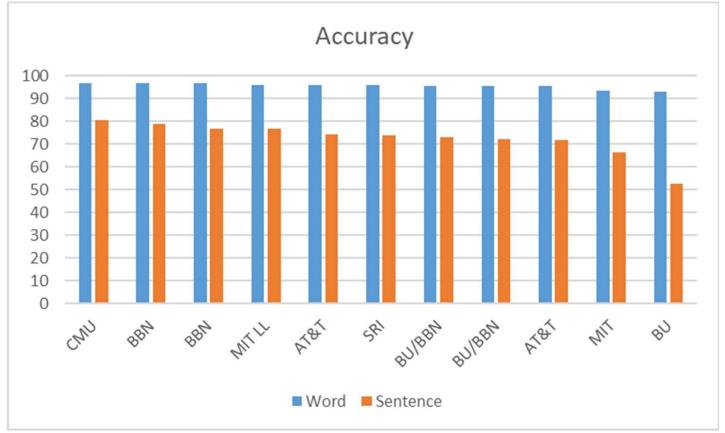


#### 1990 "Resource Management" Task

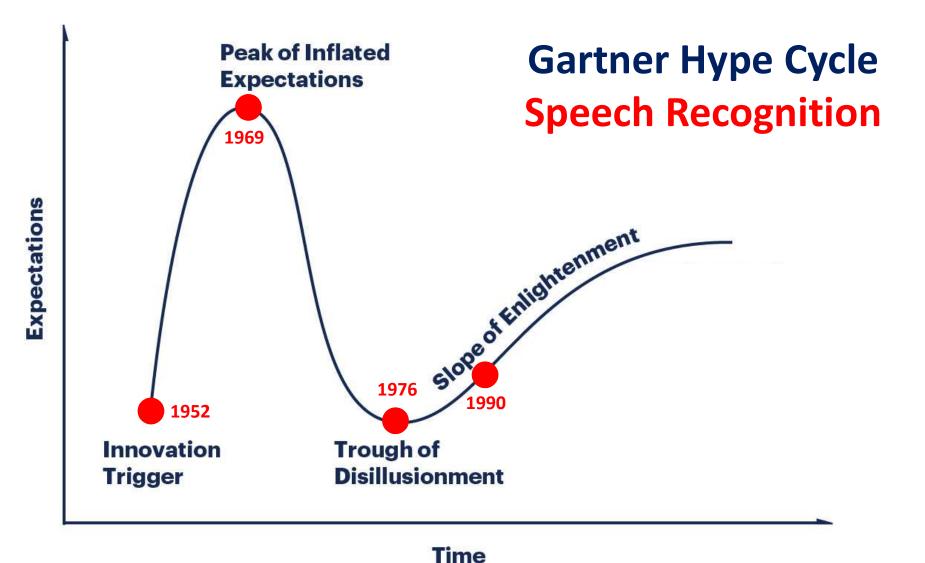




#### 1990 "Resource Management" Task





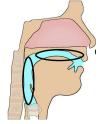






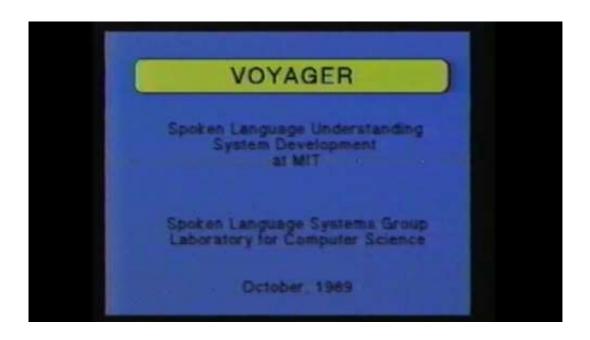
PragmaticsWorld KnowledgeSemanticsConceptsSyntaxPhrasesMorphologyWordsPhoneticsPhonemesAcousticsSounds







## 1989 Voyager System A prototype, not a product



https://www.youtube.com/watch?v=zS3baF8KHSE



#### 1989 ATIS Air Travel Info System Understand spontaneous speech

Tricky to make this an objective competition!

Plan a business trip to 4 different cities (of your choice), using public ground transportation to and from the airports. Save time and money where you can. The client is an airplane buff and enjoys flying on different kinds of aircraft.



Subject makes requests, then sees their request and data results on screen Trained Wizards of Oz in other room hear request

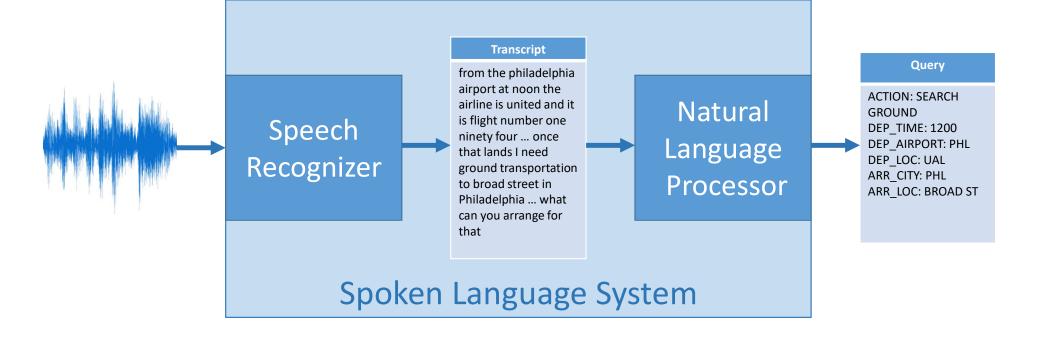


Types it as understood

Enters an SQL-like query to generate results

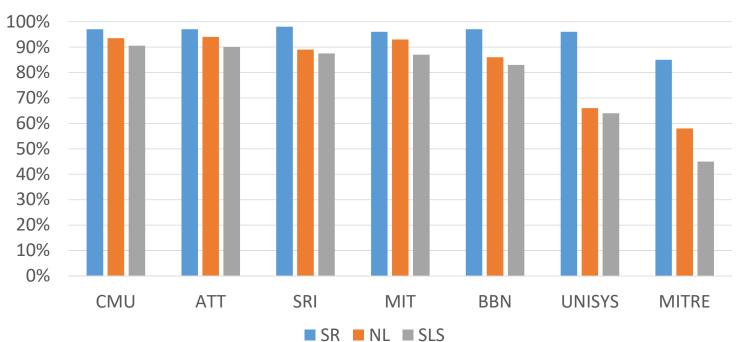


#### 1989 ATIS Air Travel Info System Understand spontaneous speech



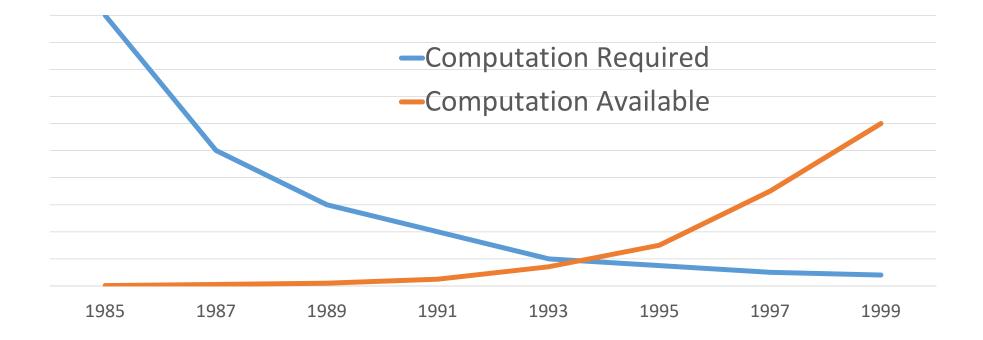


#### 1995 ATIS Air Travel Info System Understand spontaneous speech



Accuracy

# Real-time Connected Speech Recognition



### "Time to start a company..."

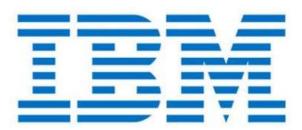


IBM → Dragon Lernout & Hauspie (L&H) Voice Control Systems (VCS) Scott Instruments Voice Processing Corporation (VPC) CMU → PureSpeech ETI MIT → PureSpeech ETI MIT → SpeechWorks SRI → Nuance ART Locus Dialog Voice Signal Phonetic Rhetorical

## Speaker-Dependent Dictation

1997 Dragon "Naturally Speaking"
23K words, 100 connected words / minute mouse / keyboard correction
\$695, and 45 minutes to "train"









## Connected Speech Phrase Recognition Not quite Killer App: Call Center automation

Using the touchtone keys on your phone, enter the...

... stock ticker symbol

... first few letters of the last name of the person you're calling

... arrival city airport code or the first few letters of the city name

... first few letters of the name of the film



Say the ...

... stock ticker symbol or company name

... name of the person you're calling

- ... arrival city
- ... name of the film

Connected Speech Phrase Recognition Not quite Killer App: Call Center automation



### It's not just Speech Recognition...

Who would you like to speak with?

<doesn't respond>

I didn't hear you. Who would you like to speak with?

Uh, um -- I'd like to talk to Mark if he's around.

Sorry, I didn't understand. Please say the name of the person you would like to speak with.

Mark.

We have more than one of those. Which one do you want?

Mark Holthouse.

Mark Holthouse, correct?

Yes.

Okay. Please hold while I transfer...

**Using Previous Information** 

Where would you like to fly? Boston. Was that "Austin?" No. My mistake. Please say it again. Boston. Was that "Austin?" <various obscenities>

## The Art of User Interface (Dialog) Design

Welcome to United Airlines' flight information system. I'll be able to help you get information on all United, United Express, and United Shuttle flights. Enter or say the flight number, or say "I don't know it" if you're unsure.

Uh, I don't know it?

OK, we'll find it a different way. Oh, here's a hint: If you ever know the answer to a question I'm asking, you can always interrupt me, and if you get stuck, say "Help". Here goes: Would you like arrival or departure information?

Departure

OK, and from which city is the flight departing?

Boston.

•••

## The Art of User Interface (Dialog) Design

Welcome to United Airlines' flight information system. I'll be able to...

<br/>
<br/>
harges in> Flight 455

Would like arrival or departure information?

Departure

OK, I'll look that up. Hold on. <database lookup> Flight 455 is scheduled to depart on time at 8:45 A.M. from Boston Logan, Terminal C, Gate 14. You can say ...

<hangup>

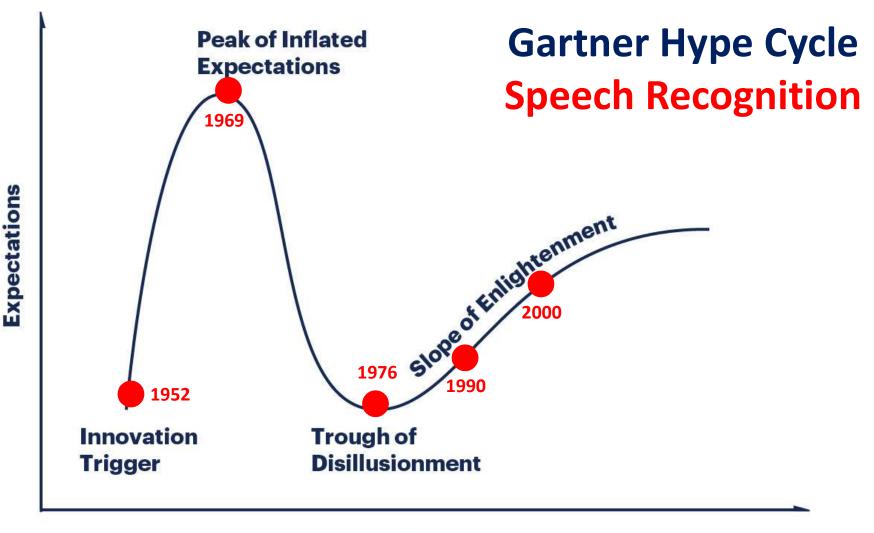
Successful Applications

- 1. Complete the task successfully
- 2. Better than waiting on hold
- 3. Don't irritate the caller

"I wanted to take the voice to dinner."

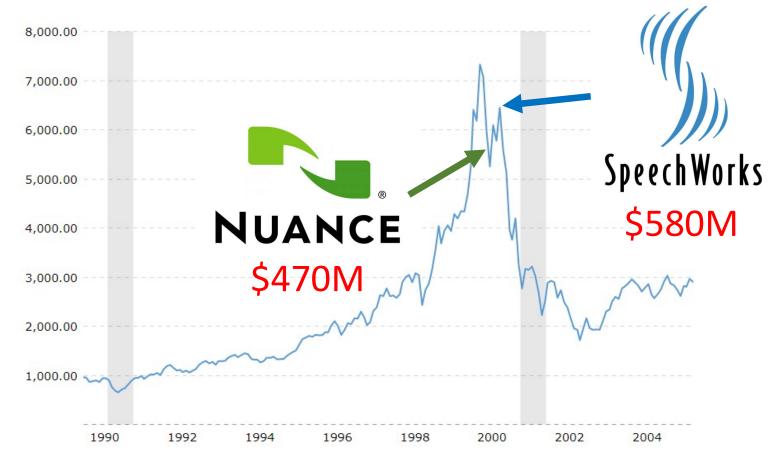
## Saturday Night Live!





Time

### The year 2000



### Structured Dialogs

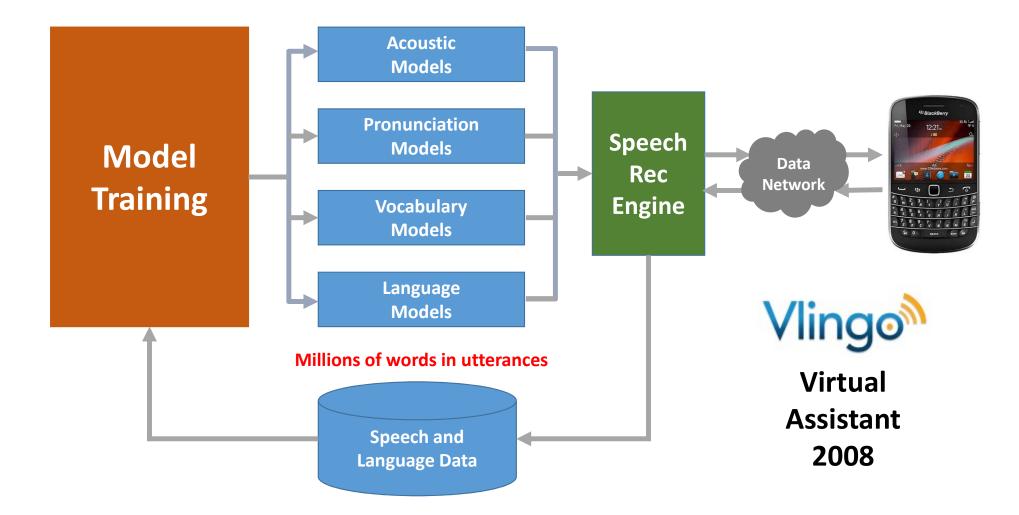
- High Cost / App
- No Email or Texts

### Dictation

- Single speaker
- Training required

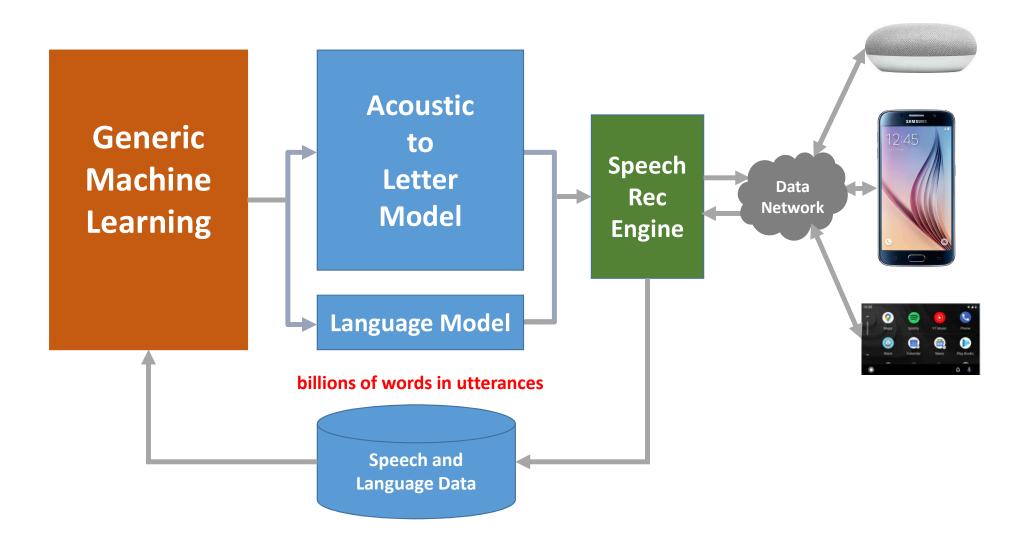
### Open Grammar Speech Rec

- Massive, distributed computing power
- Gobs and gobs of training data
  - Some labelled, lots not labelled
- Automated adaption to speaker

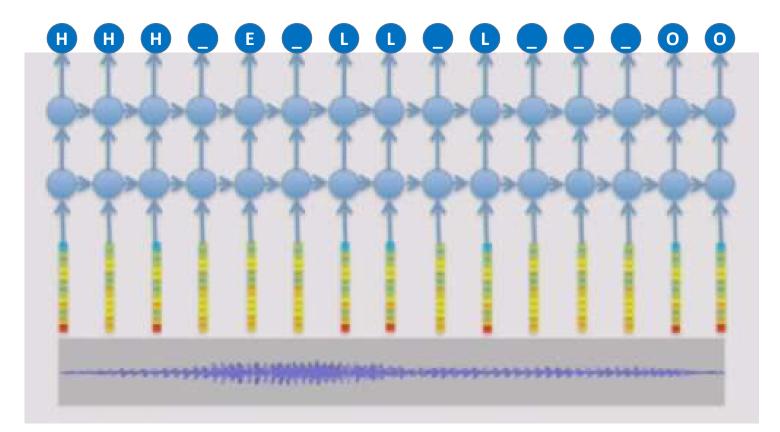


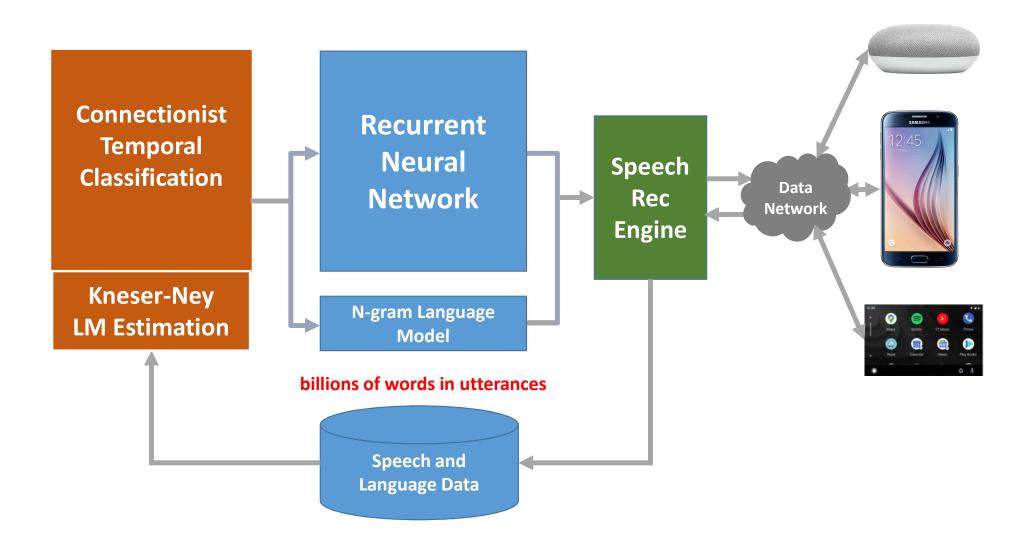
## Enter the Virtual Assistants

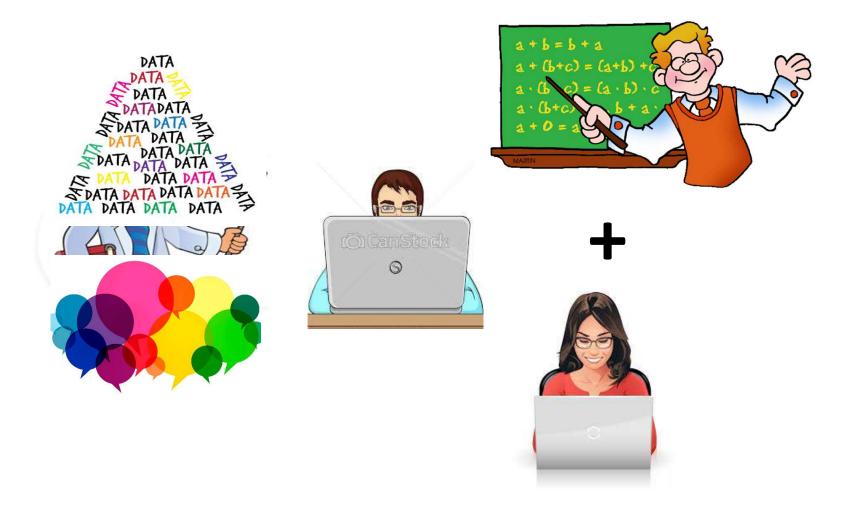
2010	Google Voice Actions SRI → Apple SIRI
2012	Google Now
2014	amazon Alexa <i>Microsoft</i> Cortana
2016	Google Assistant (2-way conversations)



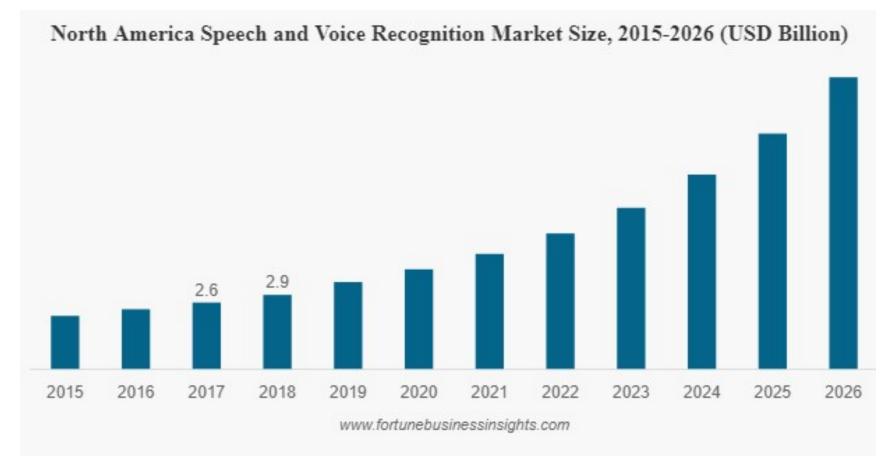
### Neural Network







## It's a business...

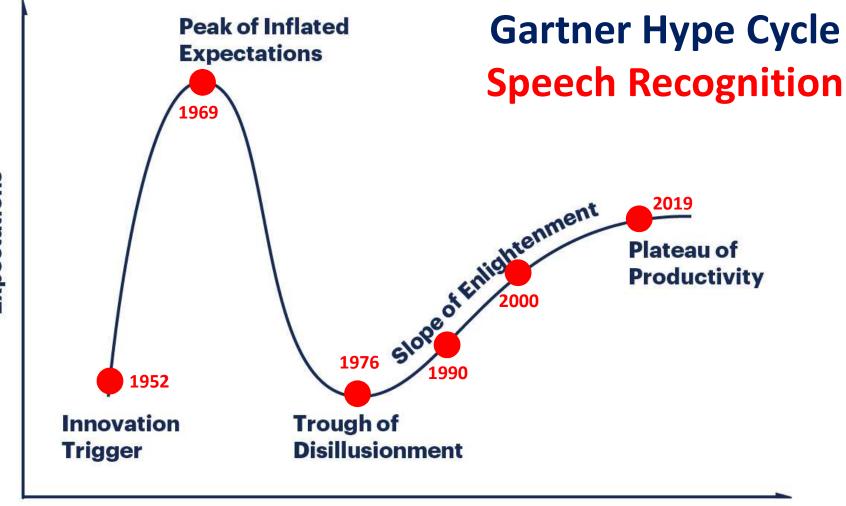


### Biases Continue To Plague AI Voice Technology

Engadget (4/3/2021, Tarantola) reports that although the ability to hold conversations with computers has finally arrived, the technology that powers such devices as Alexa, Siri, and Google Home hasn't proven as revolutionary or as inclusive as initially hoped. While these systems "make a commendable effort to accurately interpret commands regardless of whether you picked up your accent in Houston or Hamburg,

### for users with heavier or less common accents such as Caribbean or Cockney, requests to their digital assistants

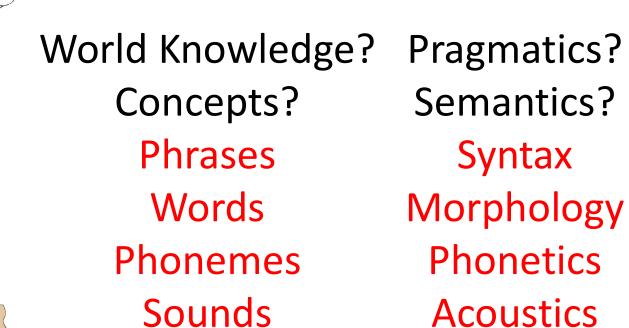
**are roundly ignored**." Any technology "that reinforces or reinscribes bias," far from being revolutionary, only entrenches existing privileges and continues the oppression of less mainstream groups – in this case, those who speak English with heavy, non-Western accents. The author urges developers to be more inclusive in programming AI devices so that such devices understand and converse better with those who have non-standard English accents.



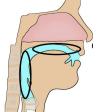
Expectations

Time













### Hey, Google...

#### How long until sunset? 57 minutes

#### How long until it's dark?

### Google

#### Q how long until it's dark

- A how long until it's dark
- A how long until it's dark after sunset
- A how long until it's dark outside

### 70 to 100 minutes

So, there you have it, a complete answer. In summary, for the 48 contiguous states, it takes anywhere from **70 to 100 minutes** for it to get dark after sunset. The further north you are, the longer it takes for true darkness to arrive after sundown.

X

lovethenightsky.com > how-long-after-sunset-is-it-dark

How Long Does it Take to Get Dark After Sunset?

### GPT-3

#### The importance of being on twitter

by Jerome K. Jerome London, Summer 1897

It is a curious fact that the last remaining form of social life in which the people of London are still interested is Twitter. I was struck with this curious fact when I went on one of my periodical holidays to the sea-side, and found the whole place twittering like a starling-cage. I called it an anomaly, and it is.

I spoke to the sexton, whose cottage, like all sexton's cottages, is full of antiquities and interesting relics of former centuries. I said to him, "My dear sexton, what does all this twittering mean?" And he replied, "Why, sir, of course it means Twitter."

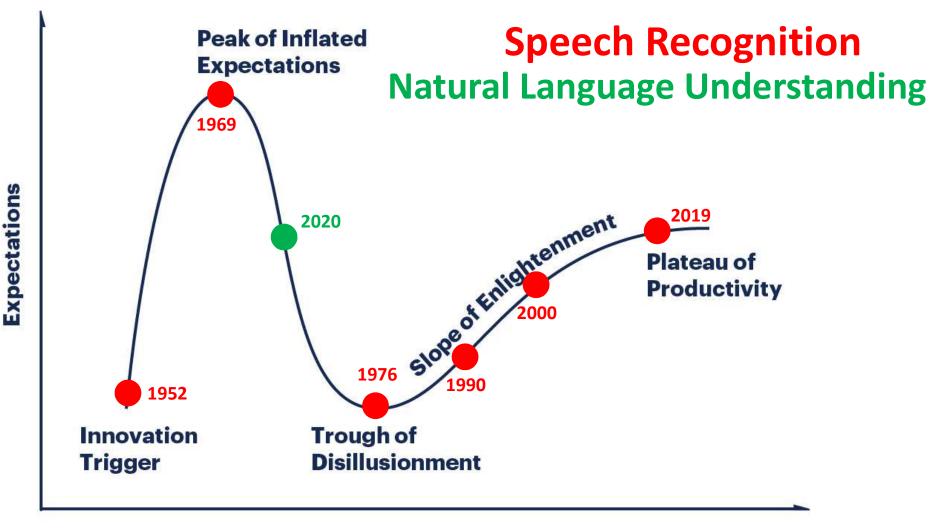
"Ah!" I said, "I know about that. But what is Twitter?"

"It is a system of short and pithy sentences strung together in groups, for the purpose of conveying useful information to the initiated, and entertainment and the exercise of wits to the initiated, and entertainment and the exercise of wits to the rest of us."

#### Large Computer Language Models Carry Environmental, Social Risks UW News

Jackson Holtz March 10, 2021

University of Washington (UW) researchers warn that fast-growing computerized naturallanguage models can worsen environmental and social issues as the amount of training data increases. UW's Emily M. Bender and colleagues said the enormous energy consumption needed to drive the model language programs' computing muscle induces environmental degradation, with the costs borne by marginalized people. Furthermore, the massive scale of compute power can limit model access to only the most well-resourced enterprises and research groups. Critically, such models can perpetuate hegemonic language because the computers read language from the Web and other sources, and can fool people into thinking they are having an actual conversation with a human rather than a machine. Bender said, "It produces this seemingly coherent text, but it has no communicative intent. It has no idea what it's saying. There's no there there."



Time



The Alexa Prize 2017-?

Create socialbots that can converse coherently and engagingly for 20 minutes with humans on a range of current events and popular topics such as entertainment, sports, politics, technology, and fashion while earning a rating of 4.0 out of 5.0.

2017	U Washington	10:22	3.17 Rating
2018	UC Davis	9:59	3.10 Rating
2021	???		



# Time flies like an arrow.

# Fruit flies like a banana.



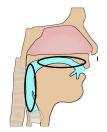






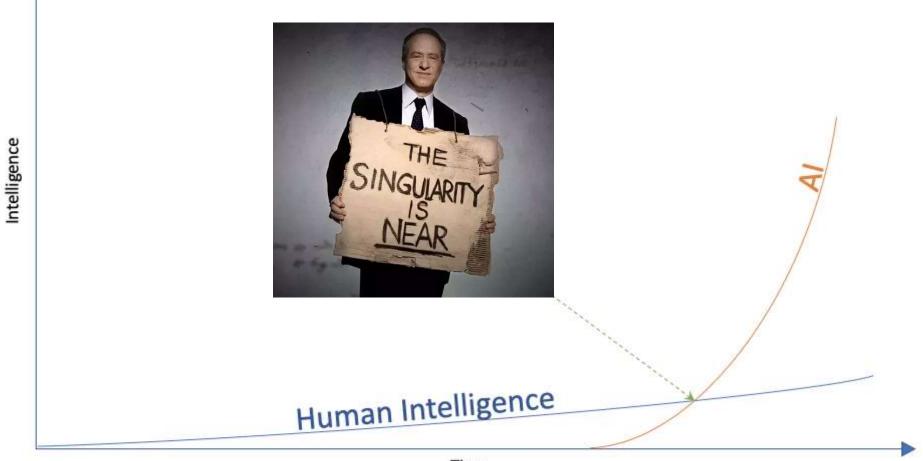
World Knowledge	?
Concepts	?
Phrases	¥
Words	¥
Phonemes	¥
Sounds	<b>~</b>











Time

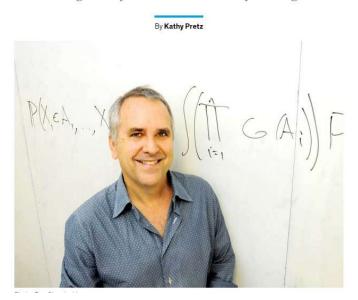


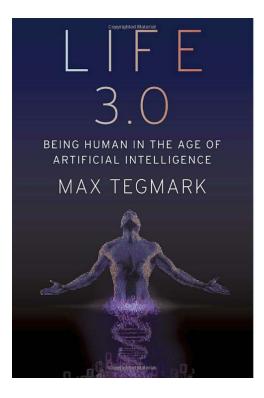
Article | The Institute | IEEE Member News

31 Mar 2021 | 17:00 GMT

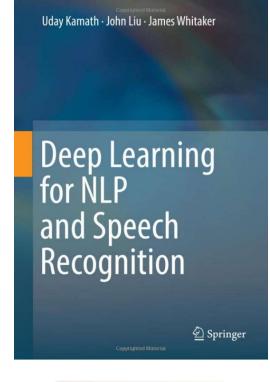
#### Stop Calling Everything Al, Machine-Learning Pioneer Says

Michael I. Jordan explains why today's artificialintelligence systems aren't actually intelligent

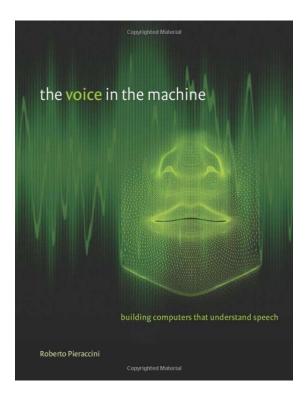




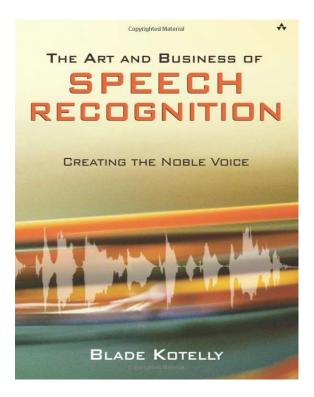
Natural Language (and lots more) ... maybe







### Speech Rec 101



The Art of Dialog Design