



# Opportunities for Collaborative University - Industry Research in Sustainable Energy

E. Dan Hirleman

# Agenda

- UC Merced Overview
- University-Industry Partnerships
- Nanotech/Energy Research at UCM





Only Research University created 21<sup>st</sup> Cent.

Access to UC-level education in  
*underserved* area (SJV) for  
*underrepresented* populations

Regional economic growth & diversification

Ag



# San Joaquin Valley

Water



@ Nexus  
of Ag,  
Water,  
Energy



Ira J Chrisman Pumping Plant  
DWR, Mettler, CA  
<http://aquaforia.com/wp-content/uploads/2008/08/pumps-going-up.jpg>



Energy

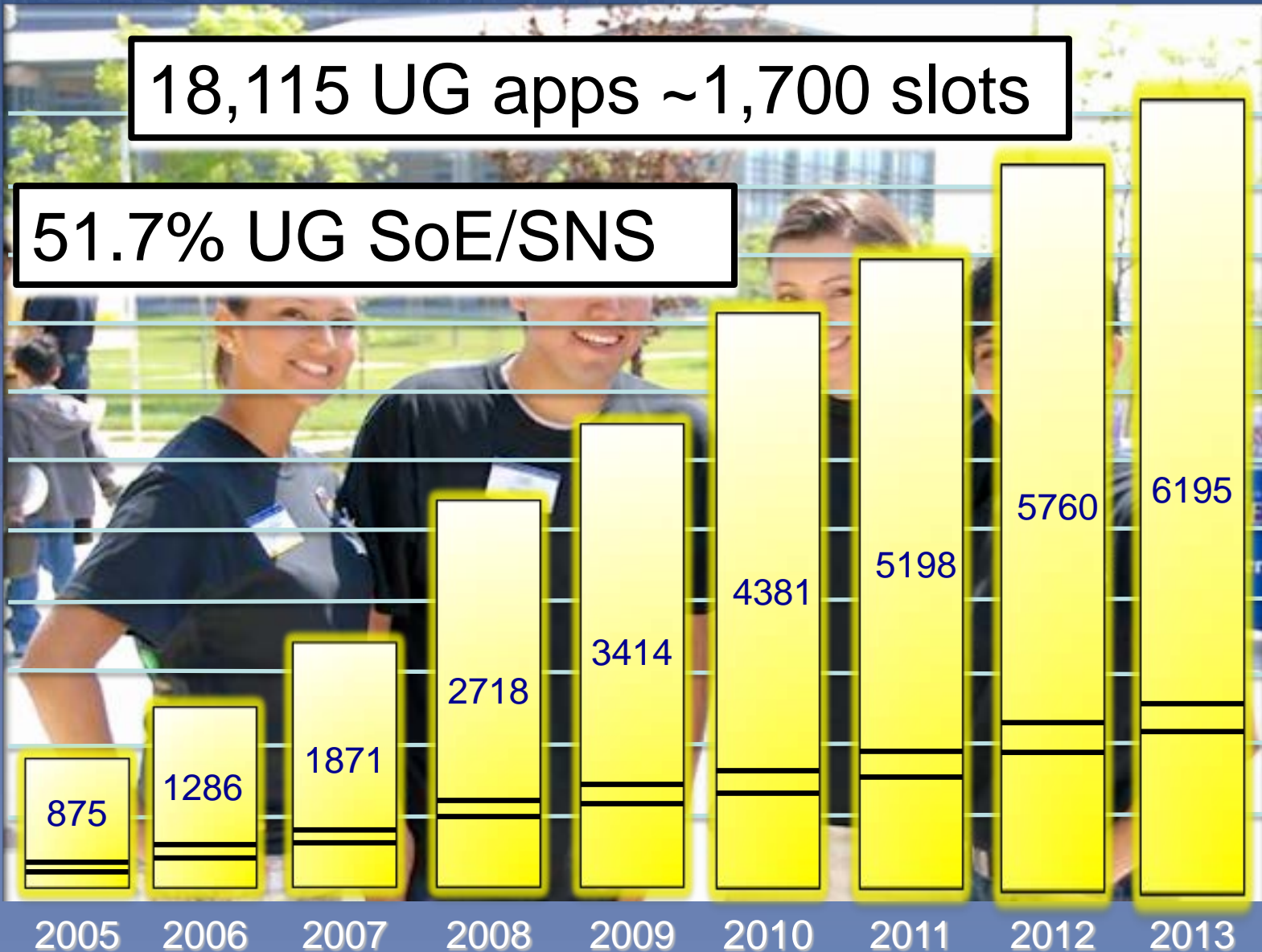
UCMERCED



# UC Merced

18,115 UG apps ~1,700 slots

51.7% UG SoE/SNS



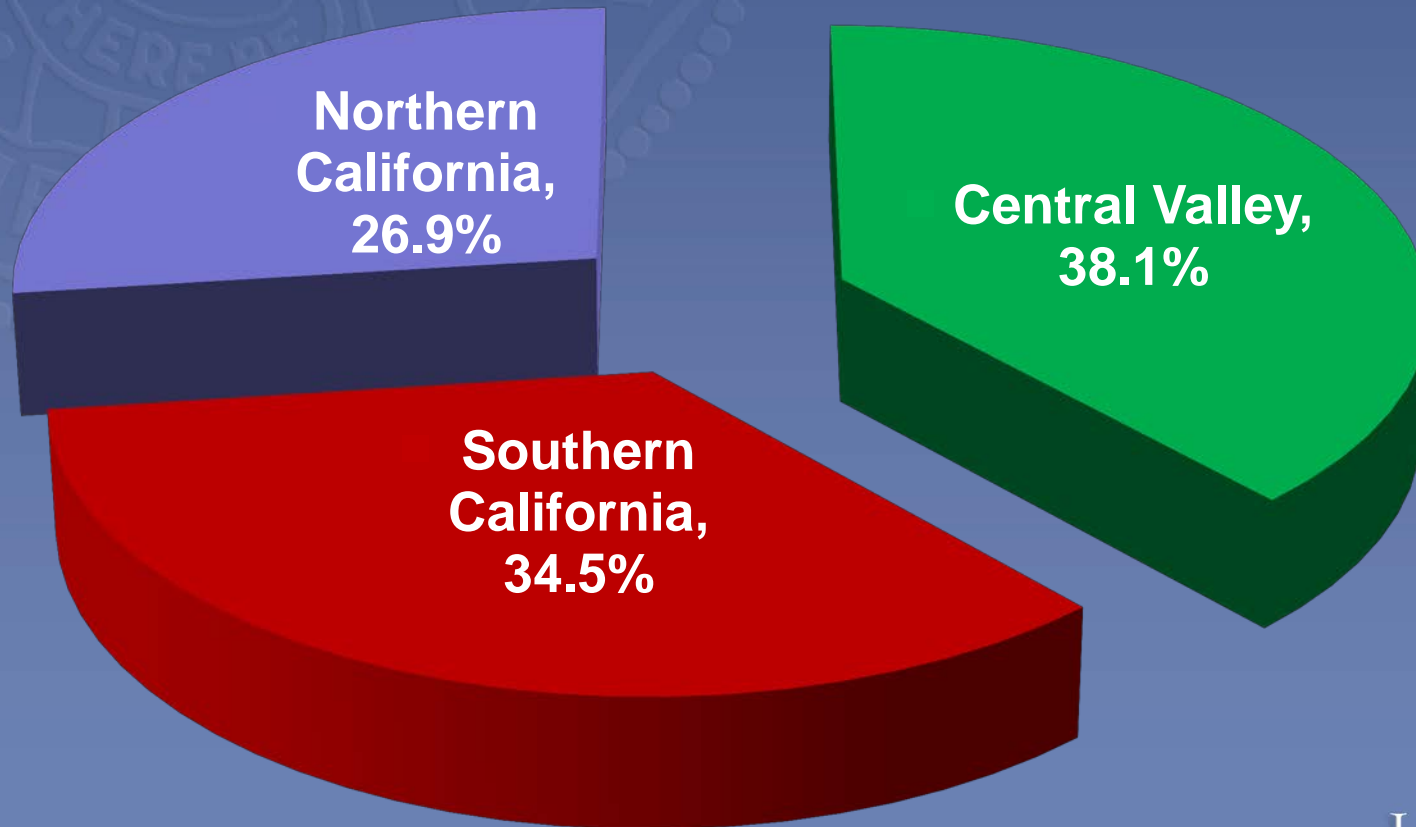
SoE  
113 G  
1,169 UG

# UC Access - Serving California's Future

Fall 2013 - Undergraduates

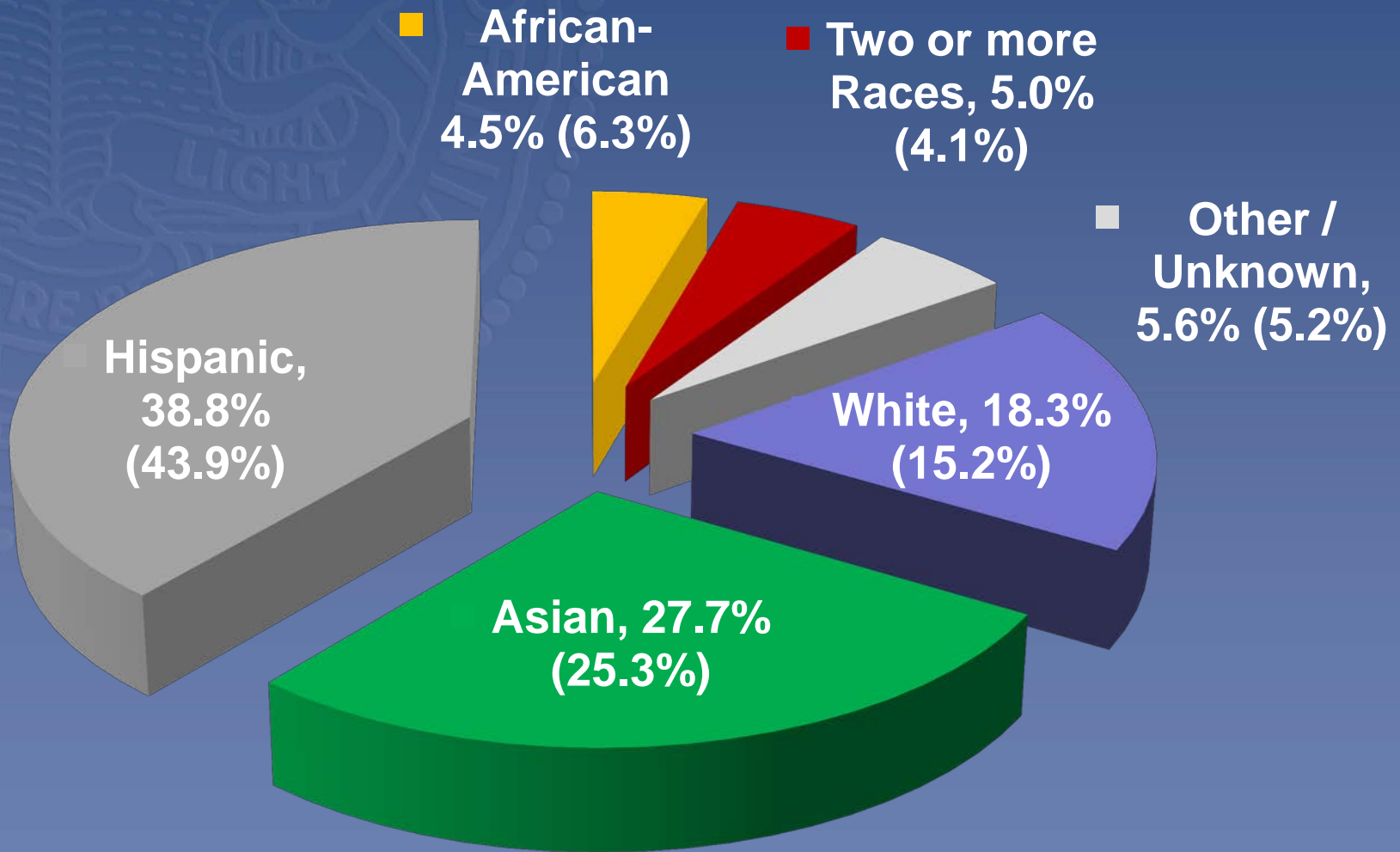
62% First Generation (55% Engineering)

62% Pell Eligible (55% Engineering)



# UC Access - Serving California's Future

## Fall 2013 – Engineering (All) Undergraduates




Hispanic-Serving Institution  
(U.S. Department of Education)



# Sustainability & UCM

Only US campus with  
all buildings LEED  
certified



UC MERCED  
**triplezero**  
zero net energy. zero landfill waste.  
zero net greenhouse gas emissions.

One megawatt SUNPOWER solar array on 8.5 acres providing 20% of campus power and 60% of peak demand at 40% below peak power costs



# Science & Engineering 2

## On track for Fall 2014 Occupancy



### September Activity

Interior wall framing ongoing at all levels

Dry-in goal by Fall 2013

On Schedule for Fall 2014 Occupancy







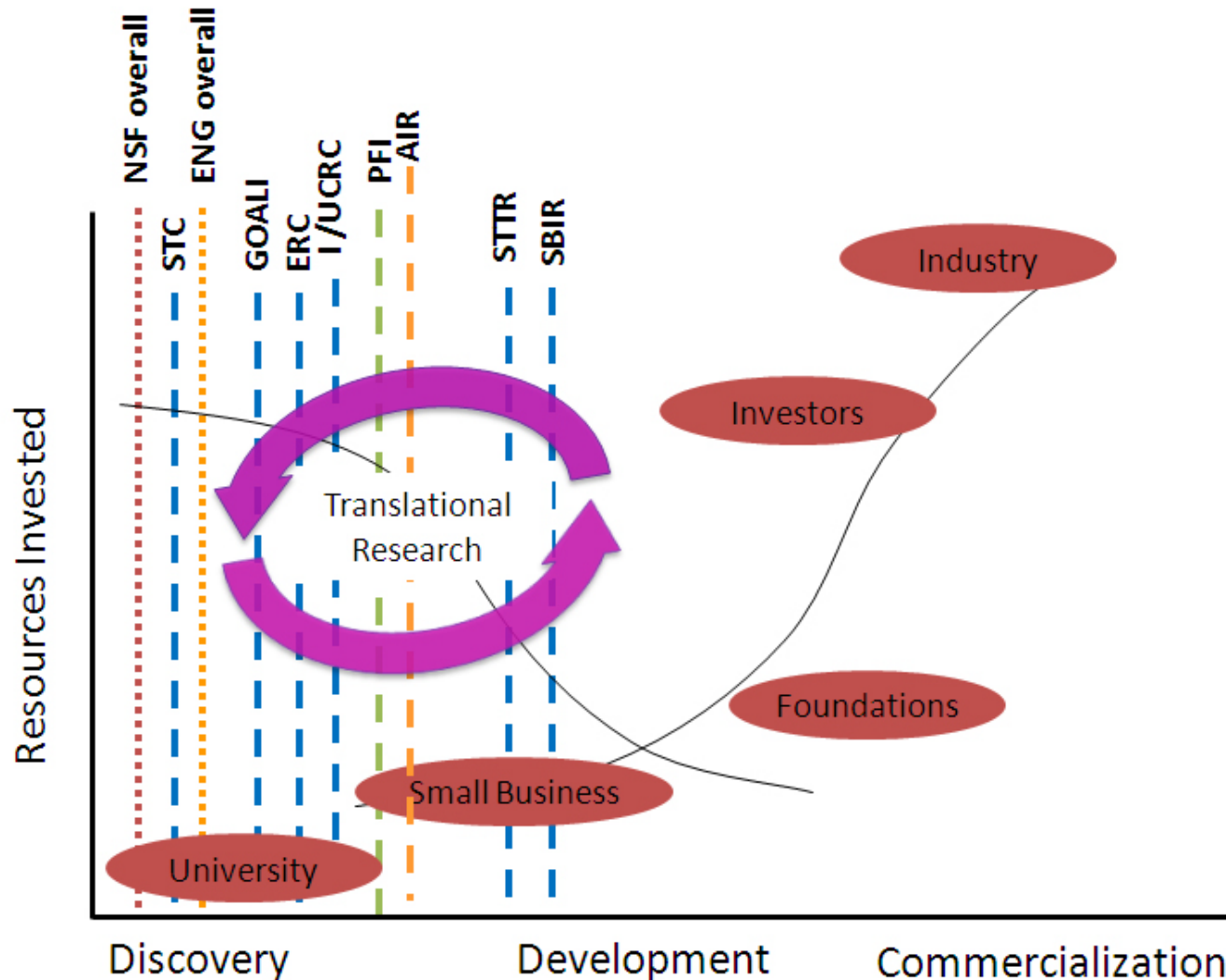
# University-Industry Partnerships?

## WHY?

- Inform/Influence Research & Education
- Targeted Recruiting
- Fill an Expertise Gap
- Acquire Knowledge/information for Problem Solving
- Funding

# NSF Innovation Investments

(Dr. Tom Peterson, UCM)





# Effective Practices

(Dr. Joe Gordon, AMAT, UCM EAB)

- Accept sponsored targeted graduate fellowships
- Centers with industrial members
- Industry-on-site arrangements
  - Industry Labs in Universities
- Flexible faculty consulting
  - Grant + consulting + student internship
- Encourage student and faculty leaves
  - Visiting faculty & Internships

# Challenges to Work Through

(Dr. Joe Gordon, AMAT, UCM EAB)

- IP Rights
- Indemnification
- Publication Control
- Gifts vs. Grants (Control)
- Short Tethers on Students (by faculty)





# Collaboration Models: Three Case Studies

# UC Solar

- UC Merced Lead Institution (Prof. Roland Winston)
- Nonimaging optics
- Solar concentration
- Solar cooling
- Solar forecasting
- Nanotech for PV
- Harness UV spectrum



## Inspection Tool Manufacturers

ADE, KLA-Tencor, Hamamatsu-InspeX  
OSI, Applied Materials



## Silicon Suppliers Sumitomo



## Process Tool Manufacturers

Applied Materials  
(Lawrence Liv. Nat. Lab)



**Consortium for Metrology of  
Semiconductor Nanodefects**



Chip Makers  
AMD, Intel,  
(SEMATECH)



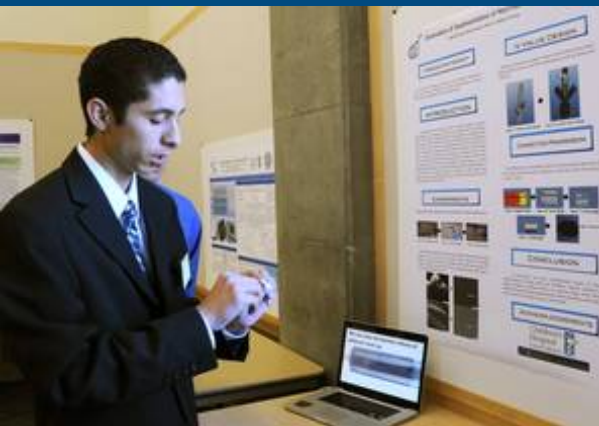
Calibration Materials,  
Methods and Standards  
Duke Scientific, VLSI Standards  
(ASTM, ISO, NIST, SEMI)





# Innovation and Design Clinic

- Interdisciplinary teams
- Design, build, demonstrate project
- Innovation & tech transfer mindset
- Project Management, P/C/FDR, IP, Ethics
- Mentored & sponsored experience
- Students in IDC assign IP



# 2013 IDC Projects:

- Real-time Water Monitoring Network (DWR)
- UAV Sensing of Pipeline Leaks (PG&E)
- Volatile Organic Compound (VOC) Reduction (Grundfos)
- Biogas Purification System (Hilmar)
- Salt Recycling Management for SJV (DWR)
- Process Water Recycling (E&J Gallo)
- SJV Soil Salinity Mapping using UAVs (MESA)
- Heat Rejection using Irrigation Canal (UCM)
- Automated Microgrid Demand Response (LLNL)

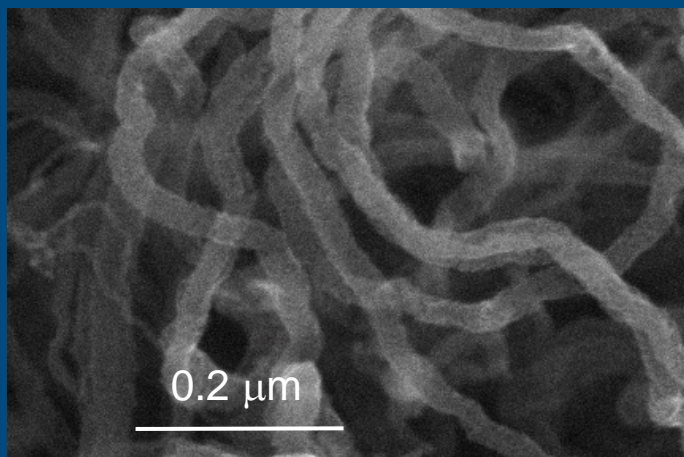
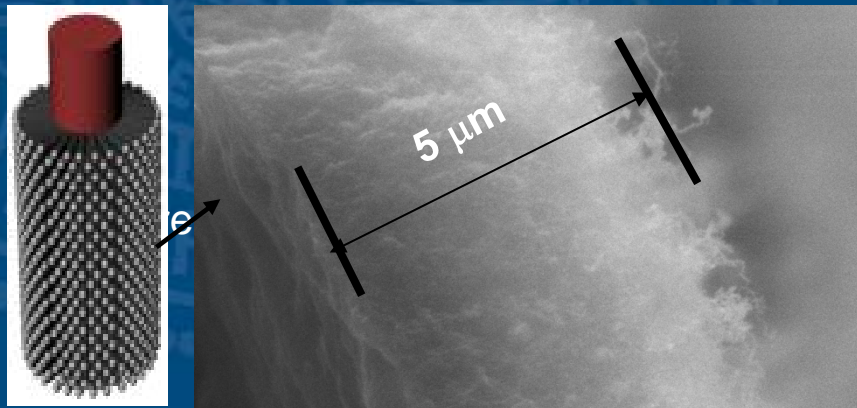


# Faculty Research: Nanotech / Energy

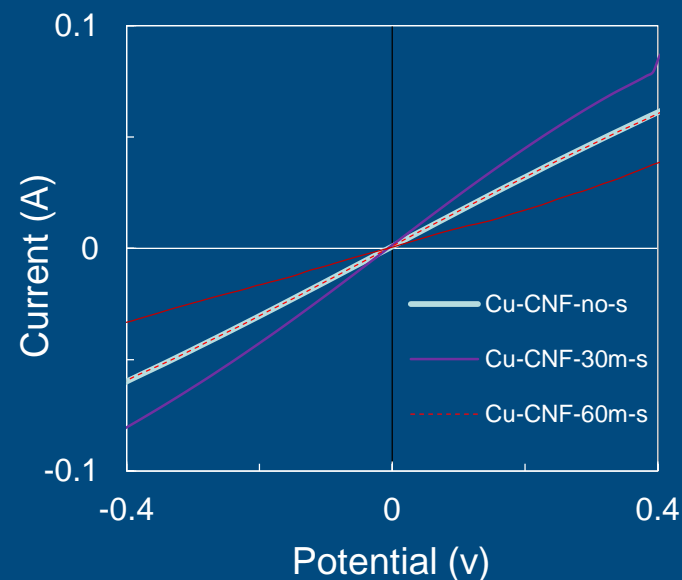


# Nanoelectrodes: Direct growth of C nanofibers on Cu (Prof. Jennifer Lu)

Tuning catalyst for a well-defined and upright carbon nanofiber (CNF) array



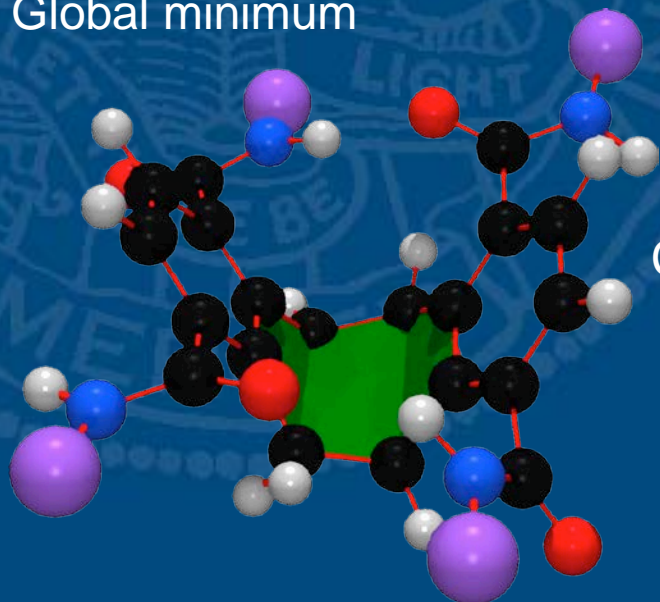
Excellent contact with low ohmic loss



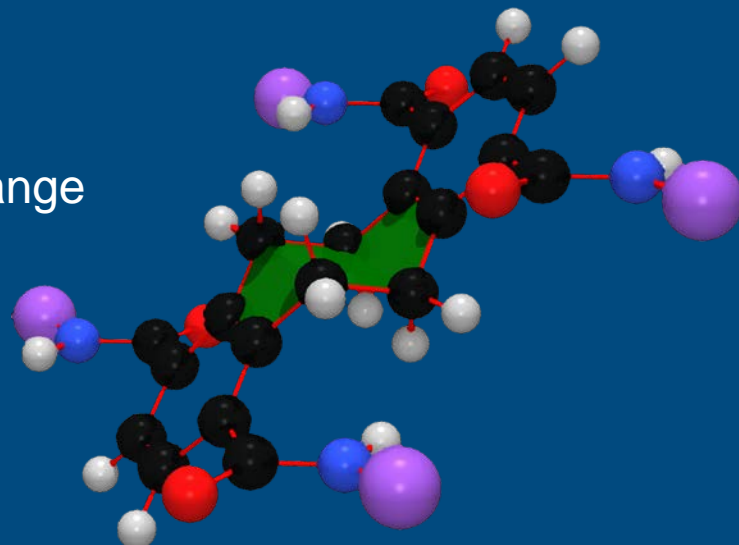
Sonication time (Min)	0	30	60	Cu to Cu
Contact Resistance ( $\Omega$ )	6.5	4.8	5.7	4.4

# Agile Thermal Switch (Prof. Jennifer Lu)

Twist Boat:  
Global minimum



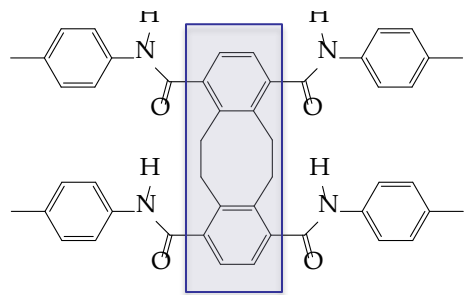
Chair: Local minimum



Conformational change

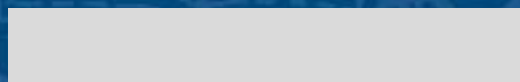
40% Shrinkage

S-dibenzocyclooctadiene



- Low energy, i.e. NIR or thermal stimulation induces contraction
- Intrinsically reversible
- No UV damage (as opposed to azobenzene photoisomerization)

(a)



YSZ preparation

(b)



Masking electrolyte

(c)



Plasma cleaning

(d)



Dropping electrode solution

(e)



Drying and re-dropping

(f)



Removing the mask

(g)



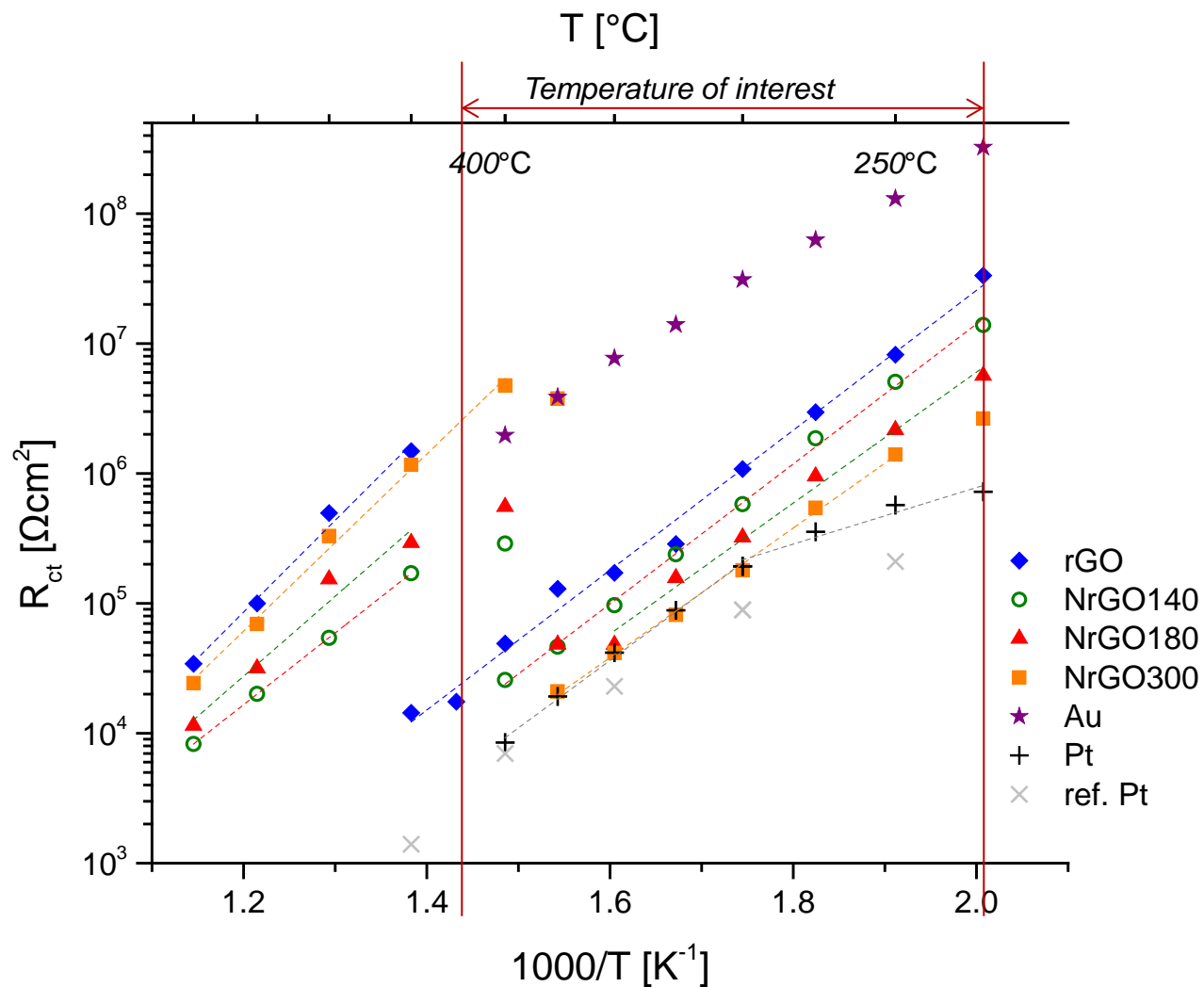
A symmetric cell



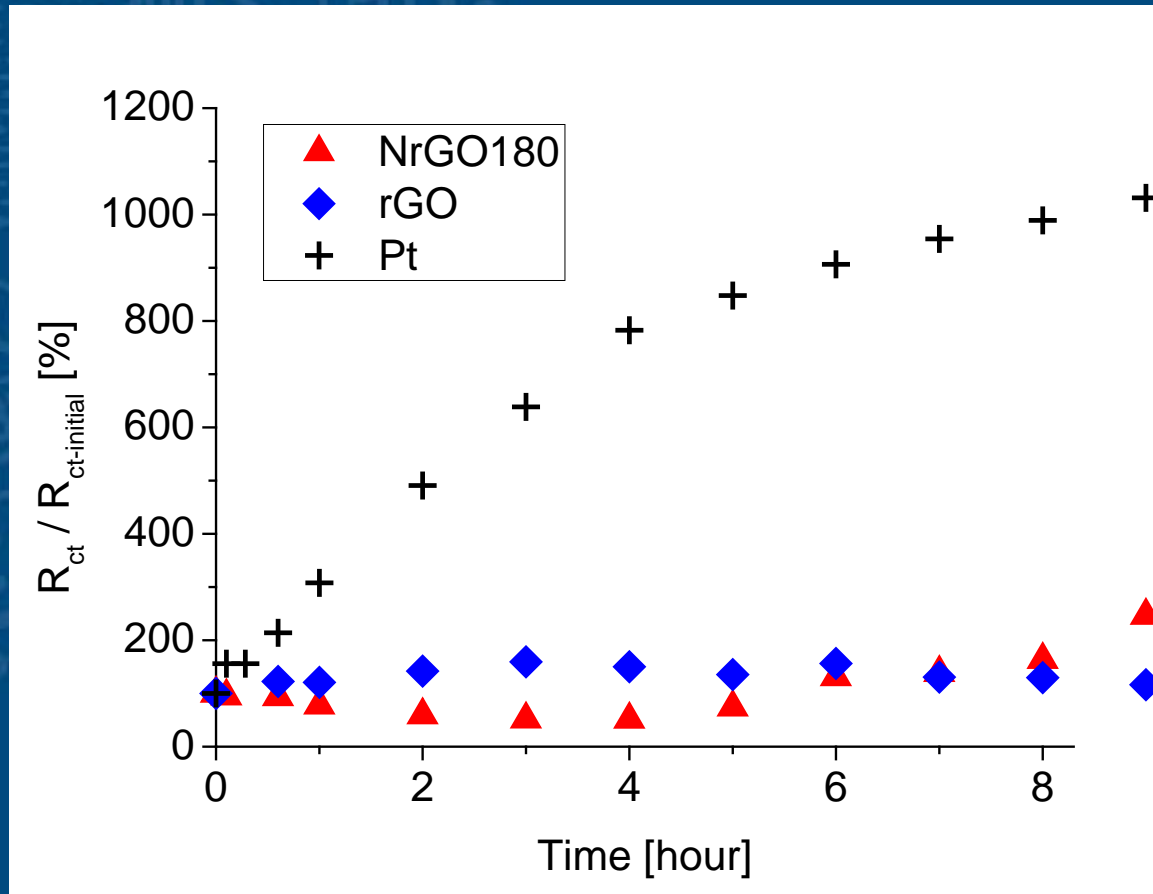
**Drop casting  
procedure for  
graphene based  
electrodes on a  
Yttria-stabilized  
Zirconia (YSZ)  
electrolyte**

**(Prof. Min Lee)**





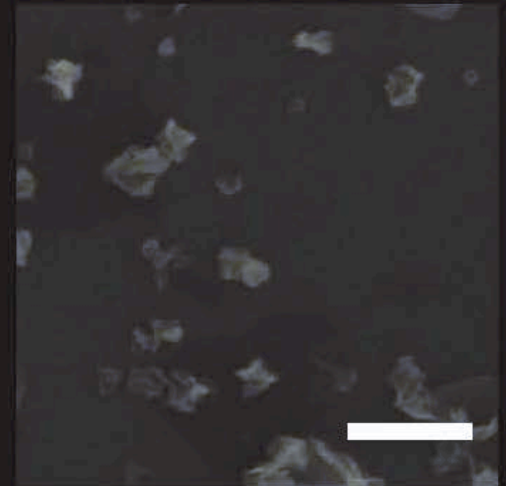
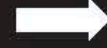
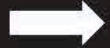
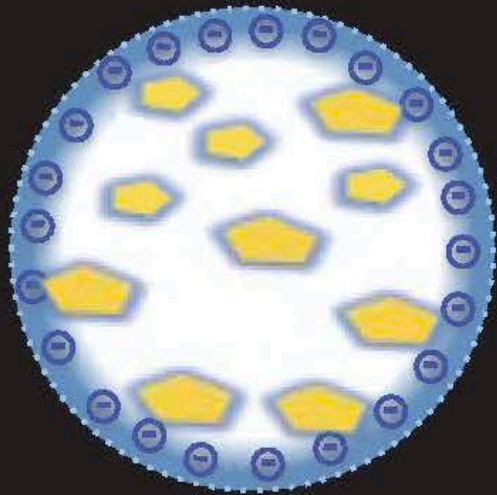
Performance comparison from EIS results,  
Results from platinum-based cell shown as reference.  
(Prof. Min Lee)



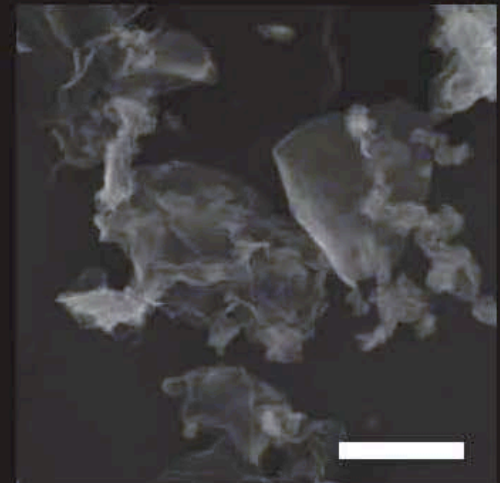
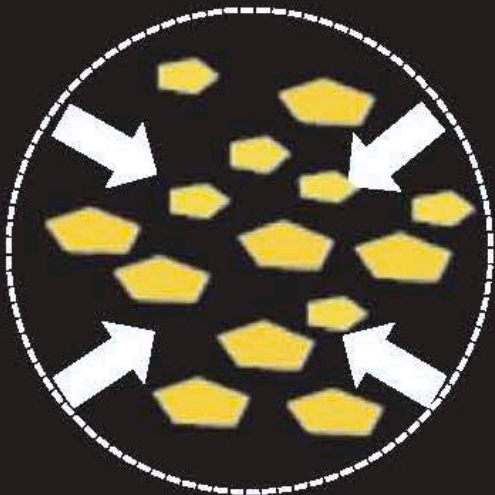
**Durability with time. Graphene-based electrodes showed superior durability over platinum. (Prof. Min Lee)**



## Prof. Vincent Tung



Crumpling of  
**Individual** sheets



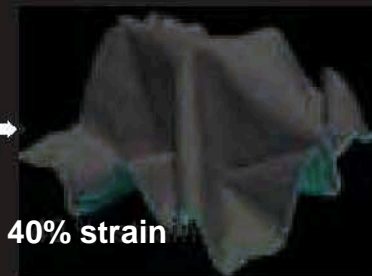
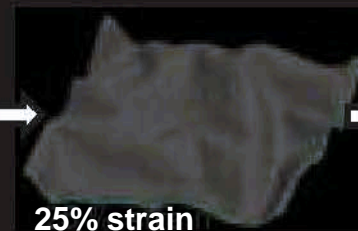
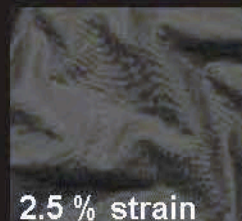
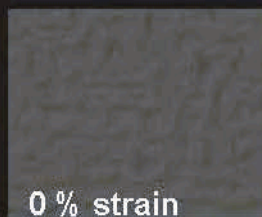
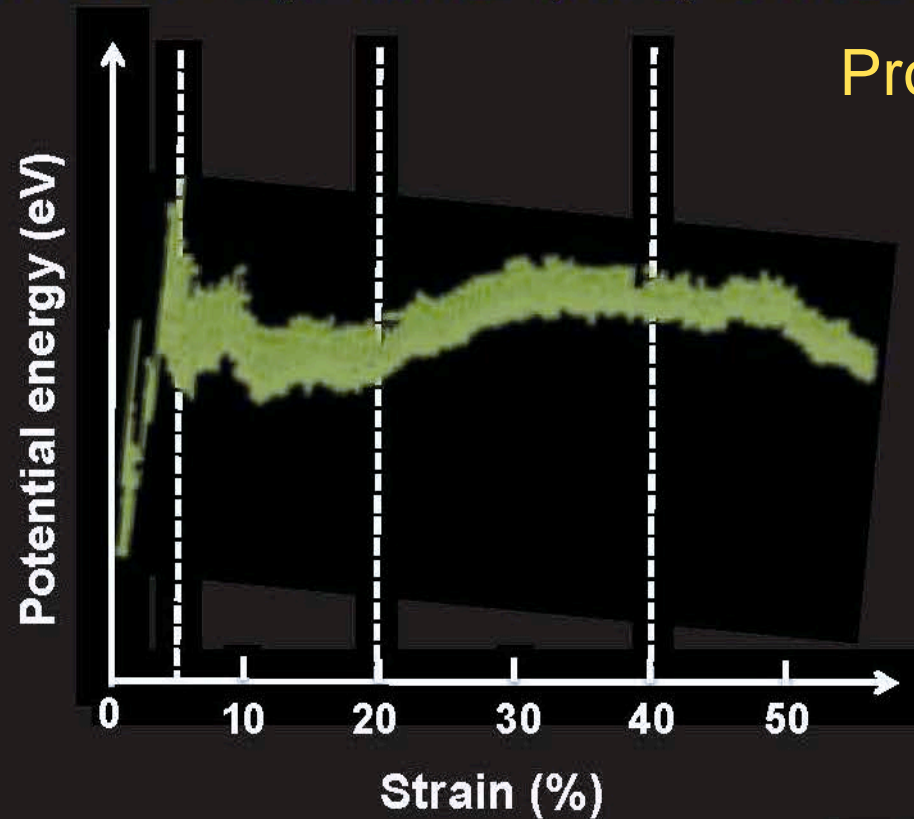
Aggregation of  
crumpled sheets





# Molecular Dynamic (MD) Simulations

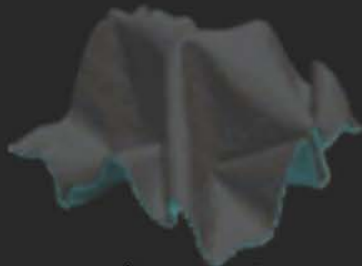
Prof. Vincent Tung





## Prof. Vincent Tung

Aspect ratio,  $W/L$ ,  $\sim 1$



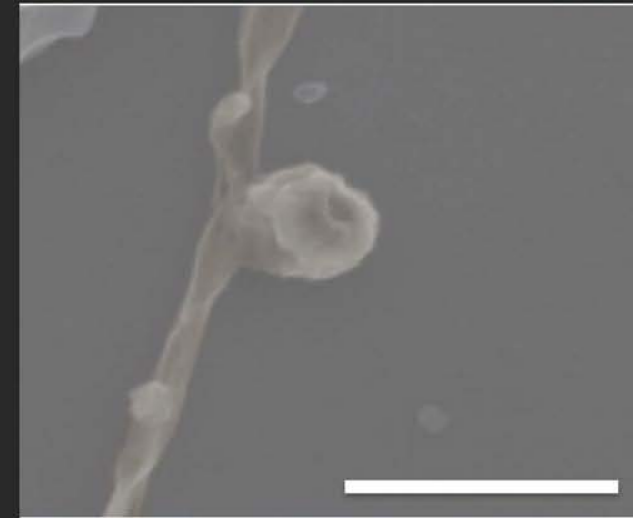
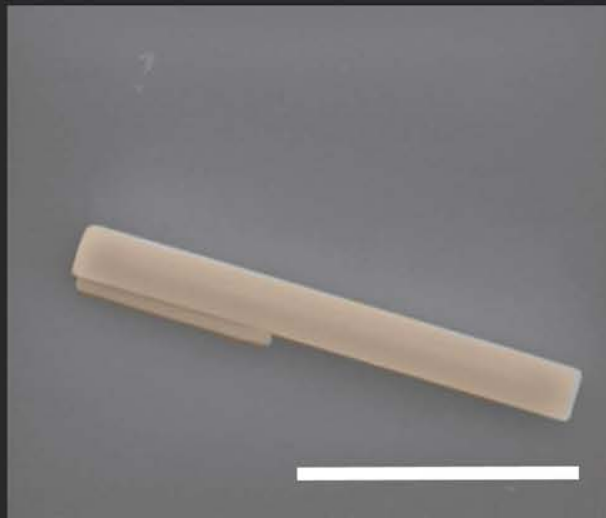
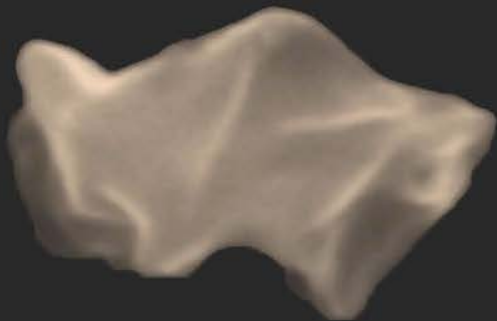
Crumples



Tubes

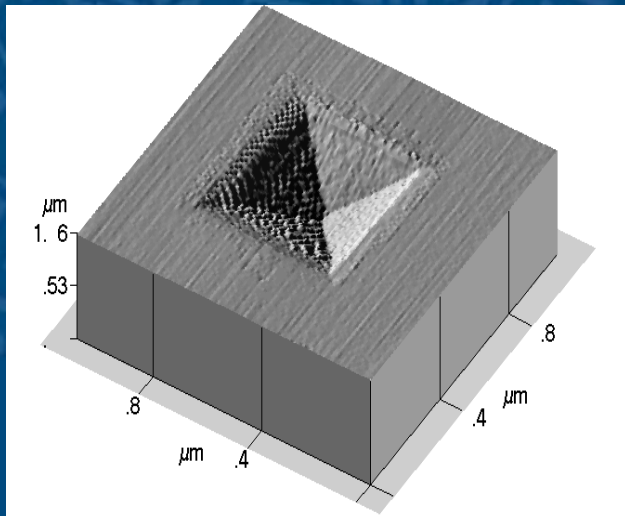


Knots



# Nanofeature Metrology Challenges

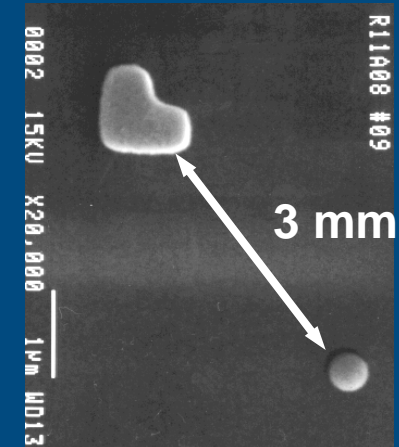
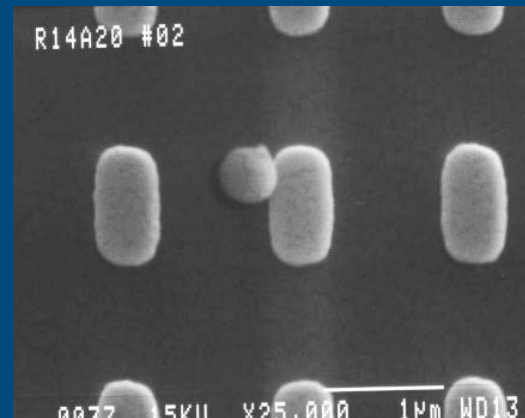
## Bulk Si Defects



- Shape – in bulk Si octahedron formed by (111) facets
- Edge length along [110]  $\cong$  100 nm
- Density  $\cong 5 \times 10^6 \text{ cm}^{-3}$
- Cause – oxygen impurities during Czochralski (CZ) crystal growth
- Exposed during processing

## Contamination Particles on Patterned Surfaces

482 nm PSL spheres on SRC/SEMATECH Defect Standard Si wafer. Features are 250 nm thick SiO<sub>2</sub>



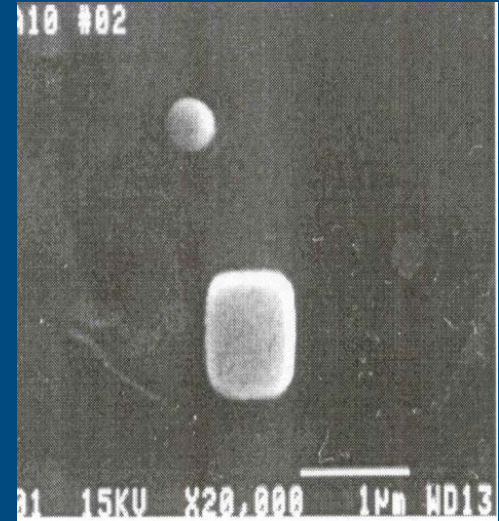
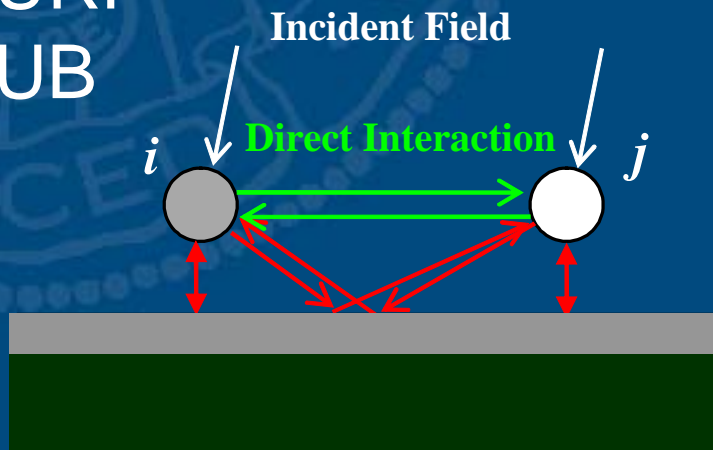


# Scattering by Features on (and in) Filmed Substrate

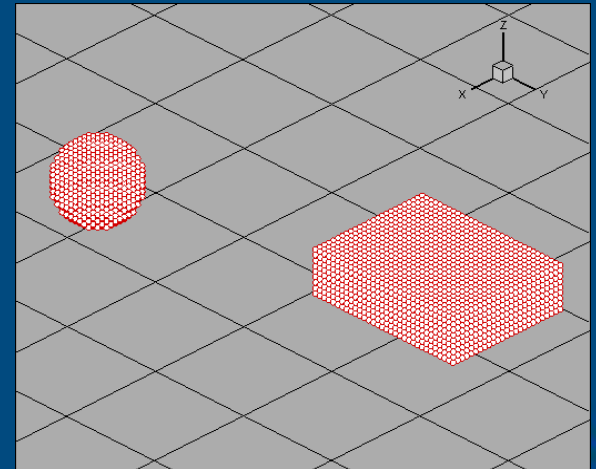
- Discrete-dipole approx.

DDSURF

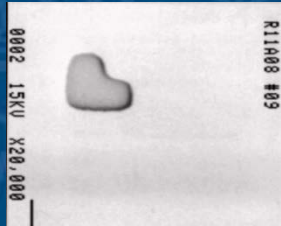
DDSUB



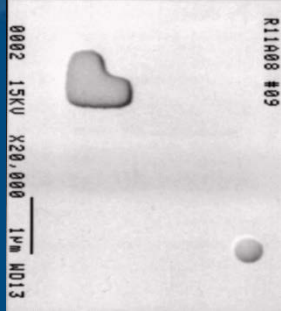
- Nonspherical, inhomogeneous features
- Sommerfeld integrals account for film



# DDSURF: Interactive Scatter



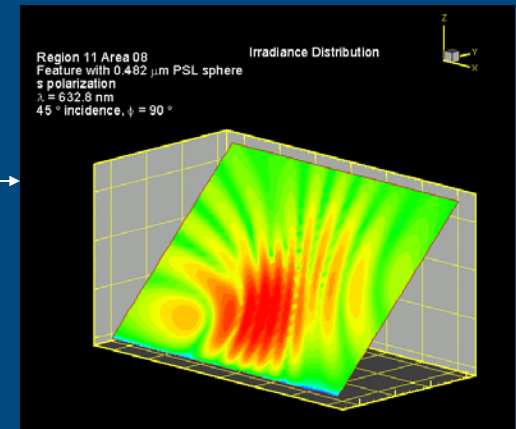
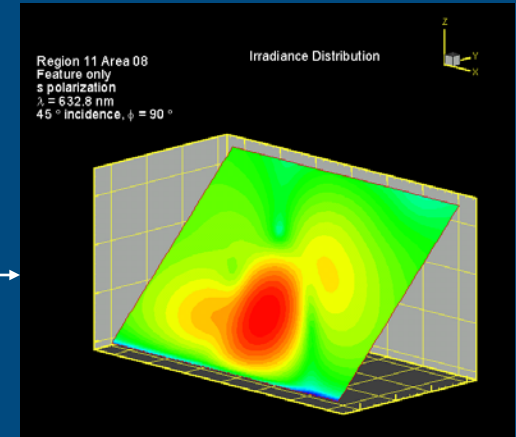
(a) feature only



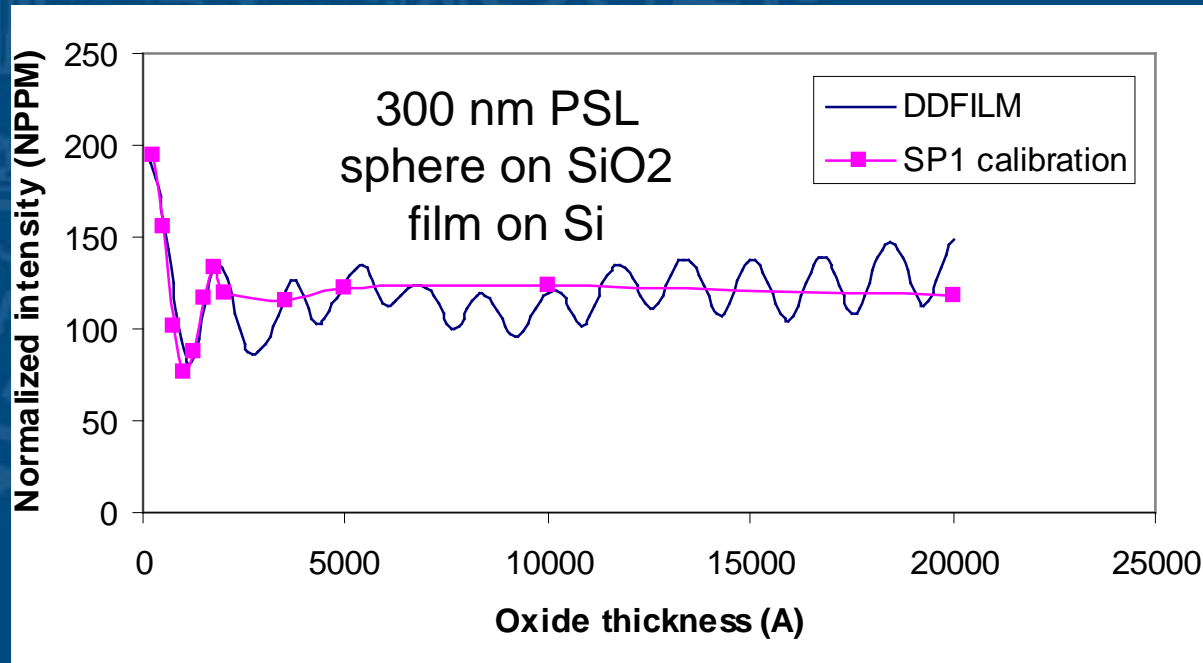
(b) SiO<sub>2</sub> 200-nm thick “L” feature with 0.482  $\mu\text{m}$  PSL sphere on a silicon surface

**DDSURF**  
(Iterative procedure to satisfy the governing Maxwell Equations)

**CREATAR**  
– configures dipole lattice array  
DDSURF  
– calculates the internal field  
FFSURF  
– calculates the external field



# Scattering by Features on a Substrate



R. Schmehl, B. Nebeker, and E. D. Hirleman, "The Coupled-dipole Method for Scattering by Particles on Surfaces Using a 2-D FFT Technique," *Journal of the Optical Society of America: A*, V. 14, pp. 3026-3036 (1997).

E. J. Bawolek, J. B. Mohr, E. D. Hirleman, and A. Majumdar, "Light Scatter from Polysilicon and Aluminum Surfaces and Comparison with Roughness Statistics by AFM", *Applied Optics*, V. 32, pp. 3377-3400 (1993).





Thank you!

and

Questions?