

Fundamental Parameters and Figures-of-Merit of Antennas

Constantine A. Balanis
Arizona State University
Tempe, AZ 85287 – 5706
balanis@asu.edu

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

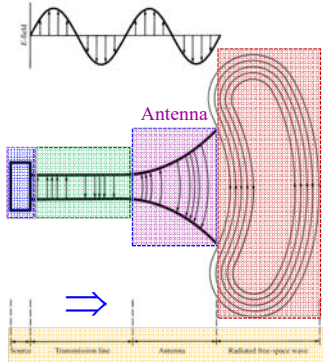
Antennas Single Elements and Arrays

Antennas:
'Electronic Eyes and Ears of the World'
John D. Kraus

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Antenna As A Transition/Transducer Device



Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

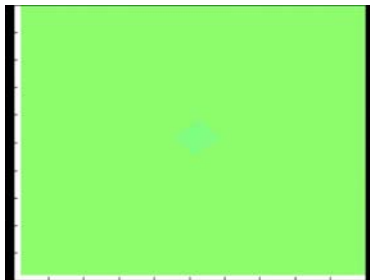
TE Horn Animation



Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

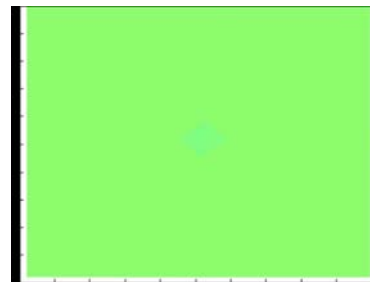
TM Open Animation



Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

TM Box Animation



Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Definition of Antenna

According to the *IEEE Standard Definitions of Terms for Antennas*:

"A means for radiating or receiving radio waves."

According to the *Webster's Dictionary*:

"A means for radiating or receiving radio waves."

In other words, the antenna is the *transitional structure / transducer* between free-space and a guiding device.

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Definition of Antenna

The guiding device or transmission line may take the form of a coaxial line or a hollow pipe (waveguide), and it is used to transport EM energy from the transmitting source to the antenna, or from the antenna to the receiver.

In the former case, we have a *transmitting antenna* and in the latter a *receiving antenna*.

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Definition of Antenna

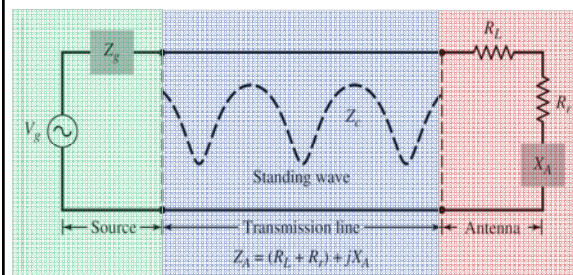
In addition to receiving or transmitting EM energy, an antenna in an advanced wireless communications system is usually required to *optimize or accentuate* the radiation energy in some directions and *suppress* it in others.

Thus the antenna must also serve as a *directional device*, in addition to a *radiating device*.

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

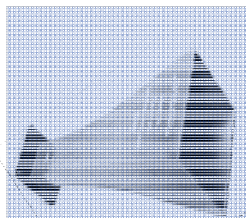
Thevenin Equivalent In Transmission Mode



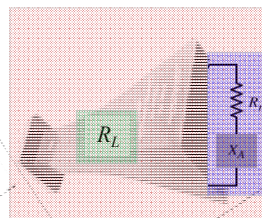
Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Horn Antenna And Its Equivalent



Horn



Equivalent

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Types of Antennas

1. Wire antennas
2. Aperture antennas
3. Microstrip antennas
4. Array antennas
5. Reflector antennas
6. Lens antennas
7. Other Antennas for Mobile Units

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Fundamental Parameters

Copyright©2016 by Constantine A. Balanis
All rights reserved

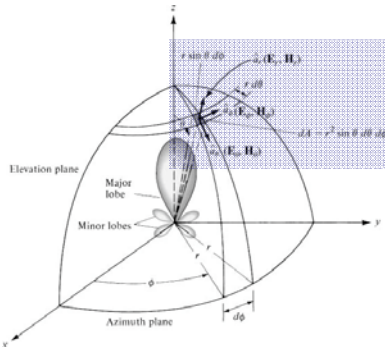
IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Coordinate System for Antennas

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

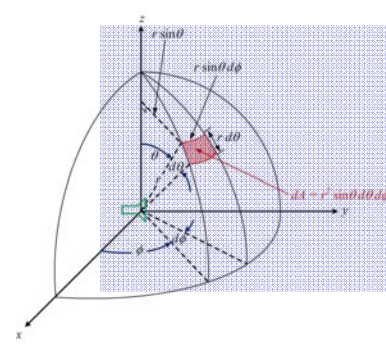
Coordinate System



Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Coordinate System



Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Spherical Angular Limits

$$\text{Area of Sphere} = \int_0^{\pi} \int_0^{2\pi} dA = \int_0^{\pi} \int_0^{2\pi} r^2 \sin \theta \, d\theta \, d\phi$$

$$\text{Area} = \int_0^{\pi} \left[\int_0^{2\pi} r^2 \sin \theta \, d\theta \right] d\phi$$

$$\text{Area} = (\pi) [2(1-1)] = 0 \quad !!!$$

$$\begin{matrix} 0 \leq \theta \leq 2\pi \\ 0 \leq \phi \leq \pi \end{matrix}$$

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Spherical Angular Limits

$$\text{Area of Sphere} = \int_0^{2\pi} \int_0^{\pi} dA = \int_0^{2\pi} \int_0^{\pi} r^2 \sin \theta \, d\theta \, d\phi$$

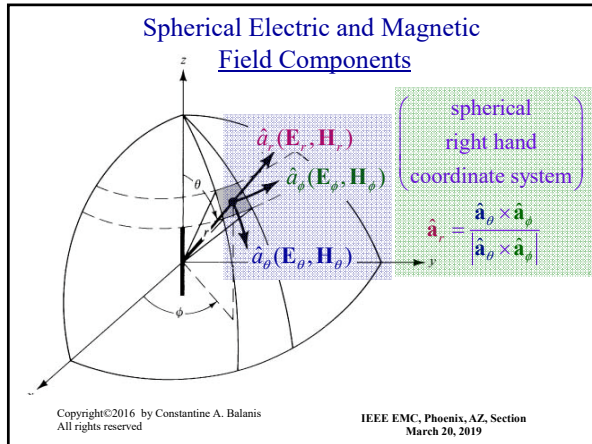
$$\text{Area} = \int_0^{2\pi} \left[\int_0^{\pi} r^2 \sin \theta \, d\theta \right] d\phi$$

$$\text{Area} = (2\pi)(2r^2) = 4\pi r^2$$

$$\begin{matrix} 0 \leq \theta \leq \pi \\ 0 \leq \phi \leq 2\pi \end{matrix}$$

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

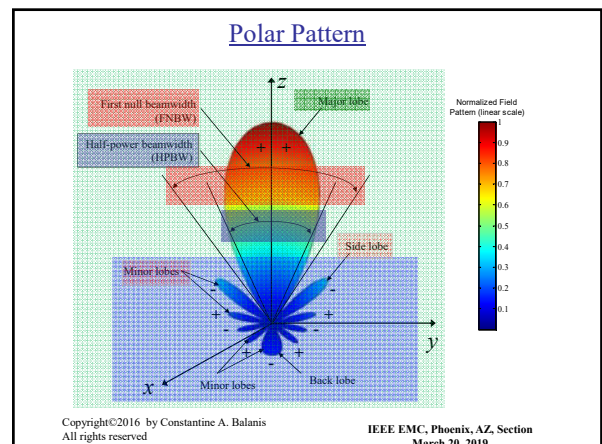
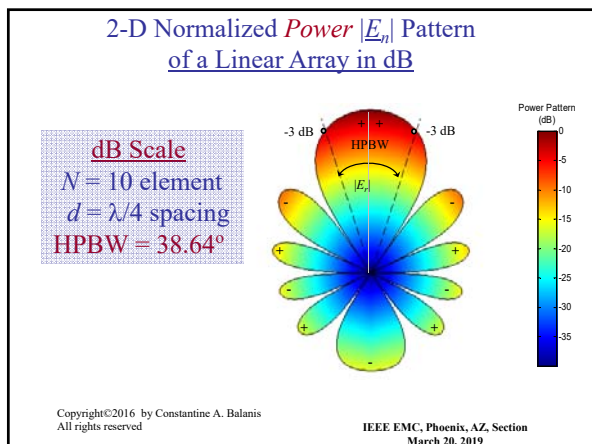
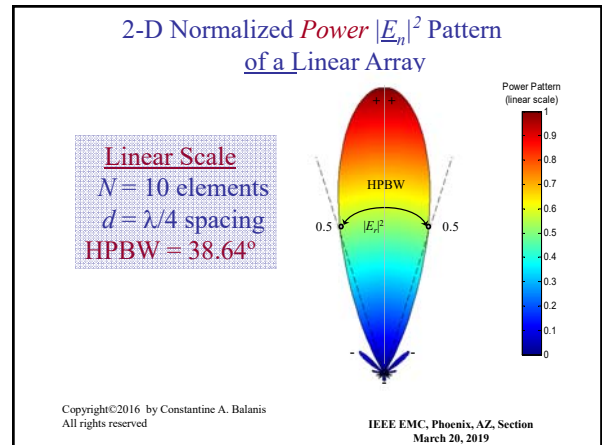
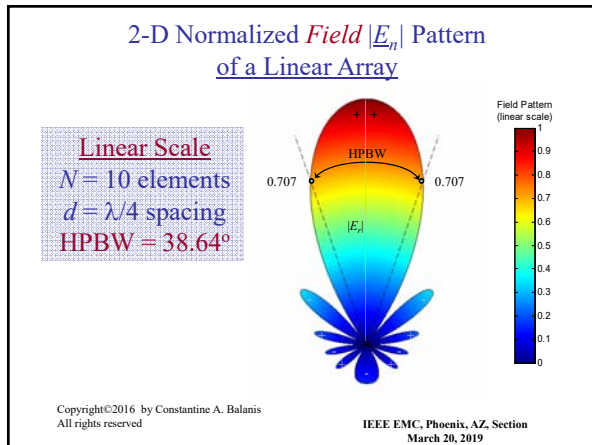


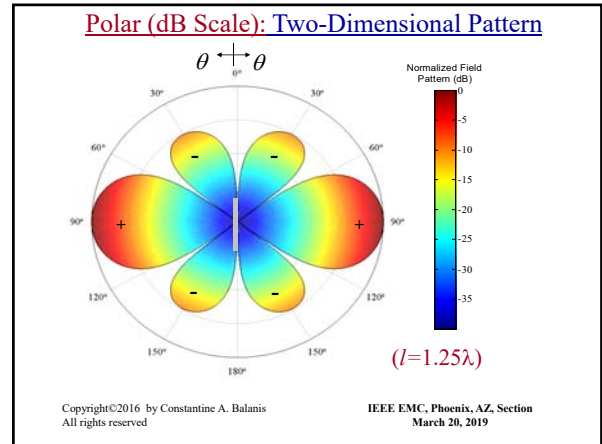
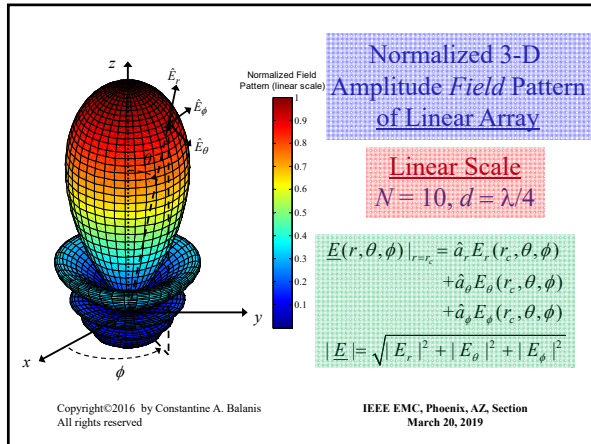
Amplitude Radiation Pattern

- Field Pattern:
A plot of the field (either electric $|\underline{E}|$ or magnetic $|\underline{H}|$) on a linear scale
- Power Pattern:
A plot of the power (proportional to either the electric $|\underline{E}|^2$ or magnetic $|\underline{H}|^2$ fields) on a linear or decibel (dB) scale.

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019





Directivity D

Copyright©2016 by Constantine A. Balanis
 All rights reserved

IEEE EMC, Phoenix, AZ, Section
 March 20, 2019

$$D = \frac{U(\theta, \phi)}{U_0} = \frac{4\pi U(\theta, \phi)}{P_{rad}}$$

$$D_{max} = D_0 = \frac{U_{max}}{U_0} = \frac{4\pi U_{max}}{P_{rad}}$$

$$D(\text{dB}) = 10 \log_{10}[D(\text{dimensionless})]$$

$$D_0(\text{dB}) = 10 \log_{10}[D_0(\text{dimensionless})]$$

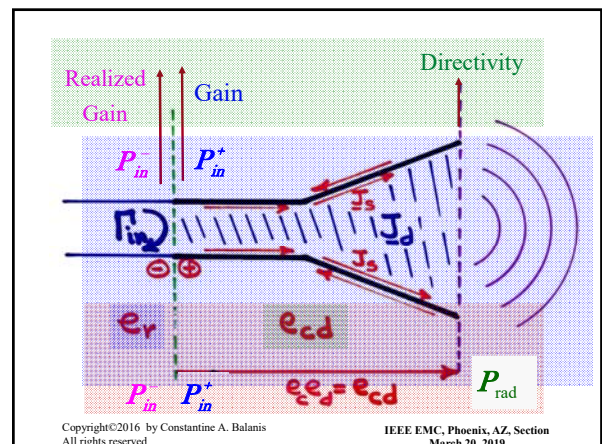
Copyright©2016 by Constantine A. Balanis
 All rights reserved

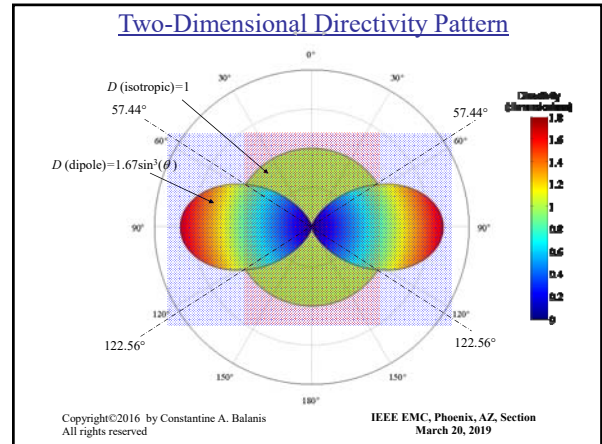
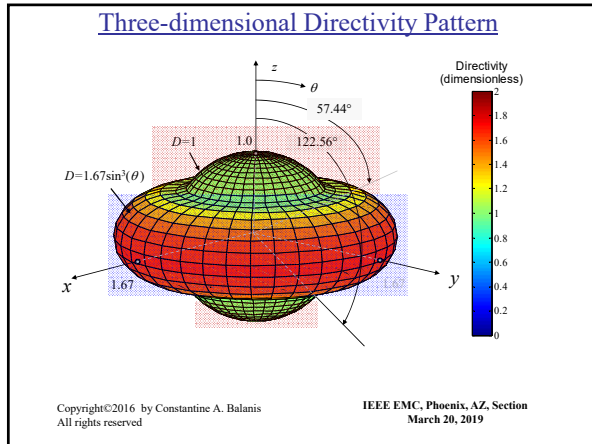
IEEE EMC, Phoenix, AZ, Section
 March 20, 2019

D = directivity (dimensionless)
 D_0 = maximum directivity (dimensionless)
 U = radiation intensity (W/unit solid angle)
 U_{max} = maximum radiation intensity
 U_0 = radiation intensity of isotropic
 P_{rad} = radiated power (W)

Copyright©2016 by Constantine A. Balanis
 All rights reserved

IEEE EMC, Phoenix, AZ, Section
 March 20, 2019





Example 1:

$$W_{\text{rad}} = \hat{a}_r A_0 \frac{\sin \theta}{r^2}$$

Solution:

$$P_{\text{rad}} = \pi^2 A_0$$

$$U = r^2 W_{\text{rad}} = A_0 \sin \theta$$

$$U_{\text{max}} = U|_{\text{max}} = A_0 \sin \theta|_{\theta=\pi/2} = A_0$$

$$D_0 = \frac{4\pi U_{\text{max}}}{P_{\text{rad}}} = \frac{4\pi (1) A_0}{\pi^2 A_0} = 1.27 \text{ dimensionless}$$

$$D_0 = 1.038 \text{ dB}$$

$$D = D_0 \sin \theta = 1.27 \sin \theta$$

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Example 2:

$$W_{\text{rad}} = \hat{a}_r A_0 \frac{\sin^2 \theta}{r^2}$$

Solution:

$$P_{\text{rad}} = \frac{8\pi}{3} A_0$$

$$U = r^2 W_{\text{rad}} = A_0 \sin^2 \theta$$

$$U_{\text{max}} = U|_{\text{max}} = A_0 \sin^2 \theta|_{\theta=\pi/2} = A_0$$

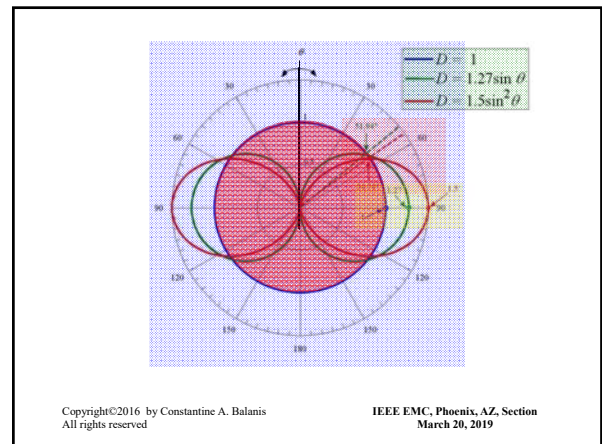
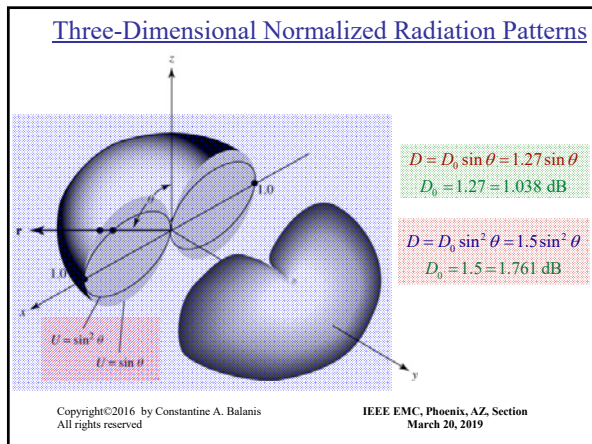
$$D_0 = \frac{4\pi U_{\text{max}}}{P_{\text{rad}}} = \frac{4\pi A_0}{8\pi/3} = 1.5 \text{ dimensionless}$$

$$D_0 = 1.761 \text{ dB}$$

$$D = D_0 \sin^2 \theta = 1.5 \sin^2 \theta$$

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019



$$1.27 \sin \theta \Big|_{\theta=\theta_0} = 1$$

$$\sin \theta_0 = \frac{1}{1.27} = 0.787$$

$$\theta_0 = \sin^{-1}(0.787) = 51.94^\circ$$

$$D \geq D(\text{isotropic}) : 51.94^\circ \leq \theta \leq 128.06^\circ$$

$$1.5 \sin^2 \theta \Big|_{\theta=\theta_0} = 1.5 \sin^2 \theta_0 = 1$$

$$\theta_0 = \sin^{-1} \left(\frac{1}{1.5} \right)^{1/2} = \sin^{-1} (0.667)^{1/2}$$

$$\theta_0 = \sin^{-1} (0.8165) = 54.74^\circ$$

$$D \geq D(\text{isotropic}) : 54.74^\circ \leq \theta \leq 125.26^\circ$$

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Approximate Formulas for Directivity

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Kraus Formula

$$\Omega_A = \int_0^{2\pi} \int_0^\pi F_n(\theta, \phi) \sin \theta d\theta d\phi \simeq \Theta_{1r} \Theta_{2r}$$

$$D_0 = \frac{4\pi}{\Omega_A} \simeq \frac{4\pi}{\Theta_{1r} \Theta_{2r}} = \frac{41,253}{\Theta_{1d} \Theta_{2d}}$$

$$D_0 \approx \frac{4\pi}{\Theta_{1r} \Theta_{2r}} = \frac{4\pi (180/\pi)^2}{\Theta_{1d} \Theta_{2d}} = \frac{41,253}{\Theta_{1d} \Theta_{2d}}$$

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Tai & Pereira Formula

$$\frac{1}{D_0} = \frac{1}{2} \left(\frac{1}{D_1} + \frac{1}{D_2} \right) \text{ Arithmetic mean}$$

$$D_0 = \frac{4\pi}{\Omega_A} \approx \frac{32 \ln(2)}{\Theta_{1r}^2 + \Theta_{2r}^2} = \frac{22.181}{\Theta_{1d}^2 + \Theta_{2d}^2}$$

$$D_0 = \frac{72,815}{\Theta_{1d}^2 + \Theta_{2d}^2}$$

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Normalized Field Pattern (linear scale)

$N = 10$ elements
 $d = \lambda/4$ spacing
HPBW = 38.64°
FNBW = 73.8°

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Kraus:

$$D_0 = \frac{4\pi}{\Omega_A} \approx \frac{4\pi}{\Theta_{1r} \Theta_{2r}} = \frac{41,253}{\Theta_{1d} \Theta_{2d}}$$

$$D_0 = \frac{41,253}{\Theta_{1d} \Theta_{2d}} = \frac{41,253}{(38.64)^2} = 27.63 = 14.51 \text{ dB}$$

Tai & Pereira:

$$D_0 = \frac{72,815}{\Theta_{1d}^2 + \Theta_{2d}^2} = \frac{72,815}{2(38.64)^2} = 24.38 = 13.87 \text{ dB}$$

Using the computer program Directivity,

$$D_0 = 10.1158 = 10.05 \text{ dB}$$

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Directional Patterns

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

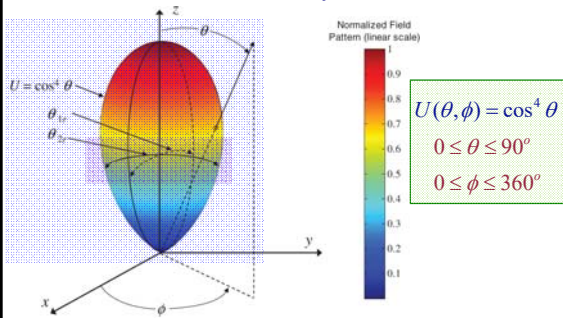
$$U(\theta, \phi) = \begin{cases} B_o \cos^n(\theta) & \begin{cases} 0 \leq \theta \leq \pi/2 \\ 0 \leq \phi \leq 2\pi \end{cases} \\ 0 & \text{Elsewhere} \end{cases}$$

$$n = 1, 2, 3 \dots 10, 15, 20$$

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Radiation Intensity Pattern



Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Exact & Approximate Directivities

Table 2.1

n	Exact Equation (2-22)	Kraus Equation (2-26)	Kraus % Error	Tai and Pereira Equation (2-30a)	Tai and Pereira % Error
	1	4	2.86	-28.50	2.53
2	6	5.09	-15.27	4.49	-25.17
3	8	7.35	-8.12	6.48	-19.00
4	10	9.61	-3.90	8.48	-15.20
5	12	11.87n ≈ 5.5	-1.08	10.47	-12.75
6	14	14.13	+0.93	12.46	-11.00
7	16	16.39	+2.48	14.47	-9.56
8	18	18.66	+3.68	16.47	-8.50
9	20	20.93	+4.64	18.47	-7.65
10	22	23.19	+5.41	20.47	-6.96
11.28	24.56	26.08	+6.24	23.02	-6.24
15	32	34.52	+7.88	30.46	-4.81
20	42	45.89	+9.26	40.46	-3.67

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Kraus' Error = 0
n = 5.497 ≈ 5.5
HPBW = 56.35°

|Kraus' Error| = |T & P' Error| = 6.24%
n = 11.28
HPBW = 39.77°

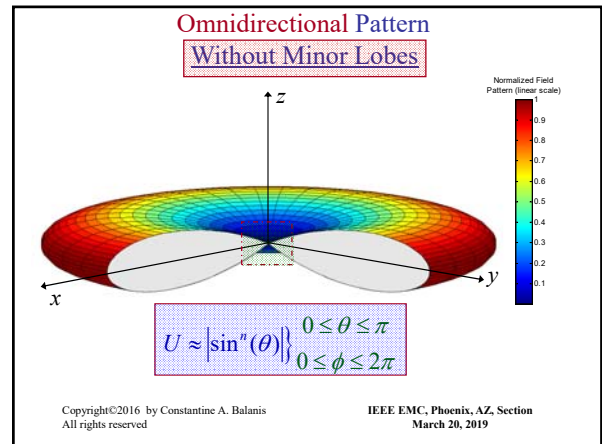
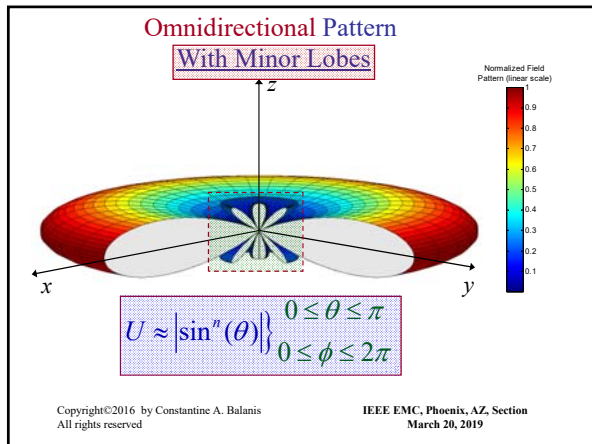
Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Ominidirectional Patterns

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019



Omnidirectional Patterns

$$U = \left| \sin^n(\theta) \right| \begin{cases} 0 \leq \theta \leq \pi \\ 0 \leq \phi \leq 2\pi \end{cases}$$

Directivity:

McDonald

$$D_0 = \frac{101}{\text{HPBW}(\text{degrees}) - 0.0027[\text{HPBW}(\text{degrees})]^2}$$

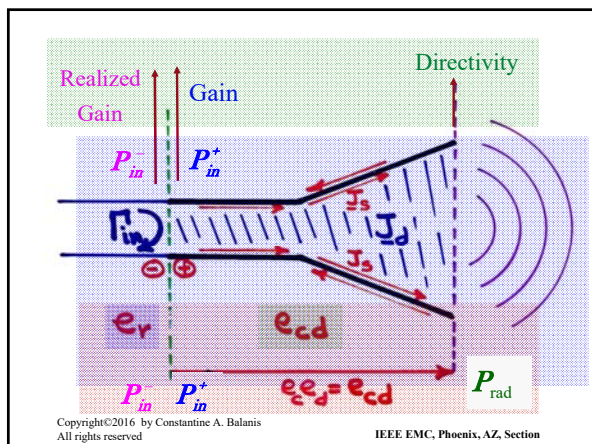
Pozar

$$D_0 = -172.4 + 191 \sqrt{0.818 + \frac{1}{\text{HPBW}(\text{degrees})}}$$

Copyright©2016 by Constantine A. Balanis IEEE EMC, Phoenix, AZ, Section
All rights reserved March 20, 2019

Gain

Copyright©2016 by Constantine A. Balanis IEEE EMC, Phoenix, AZ, Section
All rights reserved March 20, 2019



$$G_0 = e_{cd} D_0 \tag{2-49a}$$

$e_{cd} = e_c e_d =$ Radiation efficiency

$e_c =$ Conduction efficiency

$e_d =$ Dielectric efficiency

Copyright©2016 by Constantine A. Balanis IEEE EMC, Phoenix, AZ, Section
All rights reserved March 20, 2019

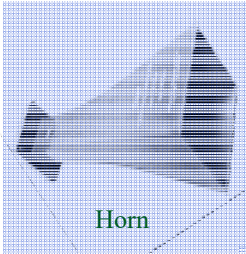
Antenna Radiation Efficiency

e_{cd}

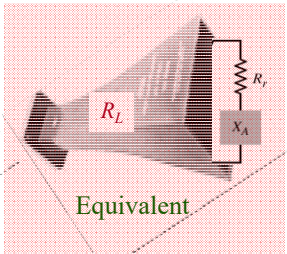
Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Horn Antenna And Its Circuit Equivalent



Horn



Equivalent

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

e_{cd} = Radiation Efficiency

$$e_{cd} = \frac{\text{Power Radiated by Antenna } (P_r)}{\text{Power Delivered to Antenna } (P_r + P_L)}$$

$$e_{cd} = \frac{R_r}{R_r + R_L}$$

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

$$G = e_{cd} D$$

$$G_0 = e_{cd} D_0$$

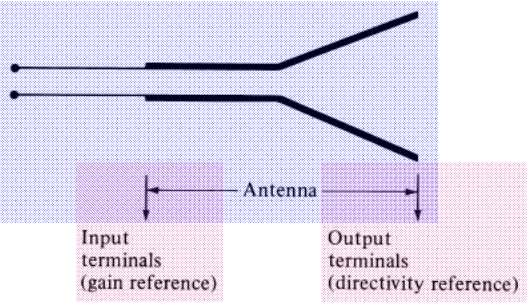
$$G_0 (\text{dB}) = 10 \log_{10} [e_{cd} D_0]$$

$$G_0 (\text{dB}) = 10 \log_{10} (e_{cd}) + 10 \log_{10} (D_0)$$

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Antenna Reference Terminals



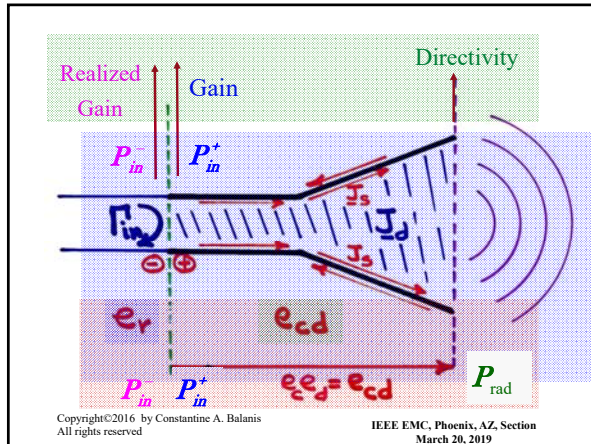
Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Realized Gain

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019



Realized Gain G_{re}

$$G_{re}(\theta, \phi) = e_o D(\theta, \phi) = e_r e_{cd} D(\theta, \phi)$$

$$G_{re}(\theta, \phi) = (1 - |\Gamma_{in}|^2) e_{cd} D(\theta, \phi)$$

e_o = antenna total efficiency
 $e_r = (1 - |\Gamma_{in}|^2)$ = Reflection efficiency
 $e_{cd} = e_c e_d$ = Radiation efficiency
 e_r = Conduction efficiency
 e_d = Dielectric efficiency

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section
March 20, 2019

Today antenna technology
is
Science
Not
Art

Very bright future and many challenges ahead.
We just need exercise creativity,
imagination and science
for its advancement.

Copyright©2016 by Constantine A. Balanis
All rights reserved

IEEE EMC, Phoenix, AZ, Section