



Emerging Neurotechnologies: Practical and Ethical Issues at the Intersection of Brain Science and Society

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Neuroscience...

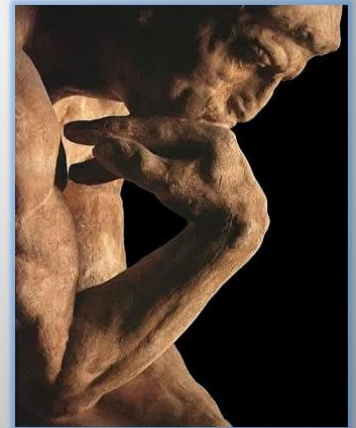
- Huge leaps using technology to study and understand how nervous systems and brains are structured and function.

Allowed understanding at certain levels of causality:

- Formal: Overall “workings” of biological systems
- Material: Structure and functional roles of neurons, glia

But *not* at others...

- Efficient: How “grey stuff” actually makes “great stuff”
- Final: For what? To what “ends”?



Core Questions...

What do we do *with*
the information and capability
we have?

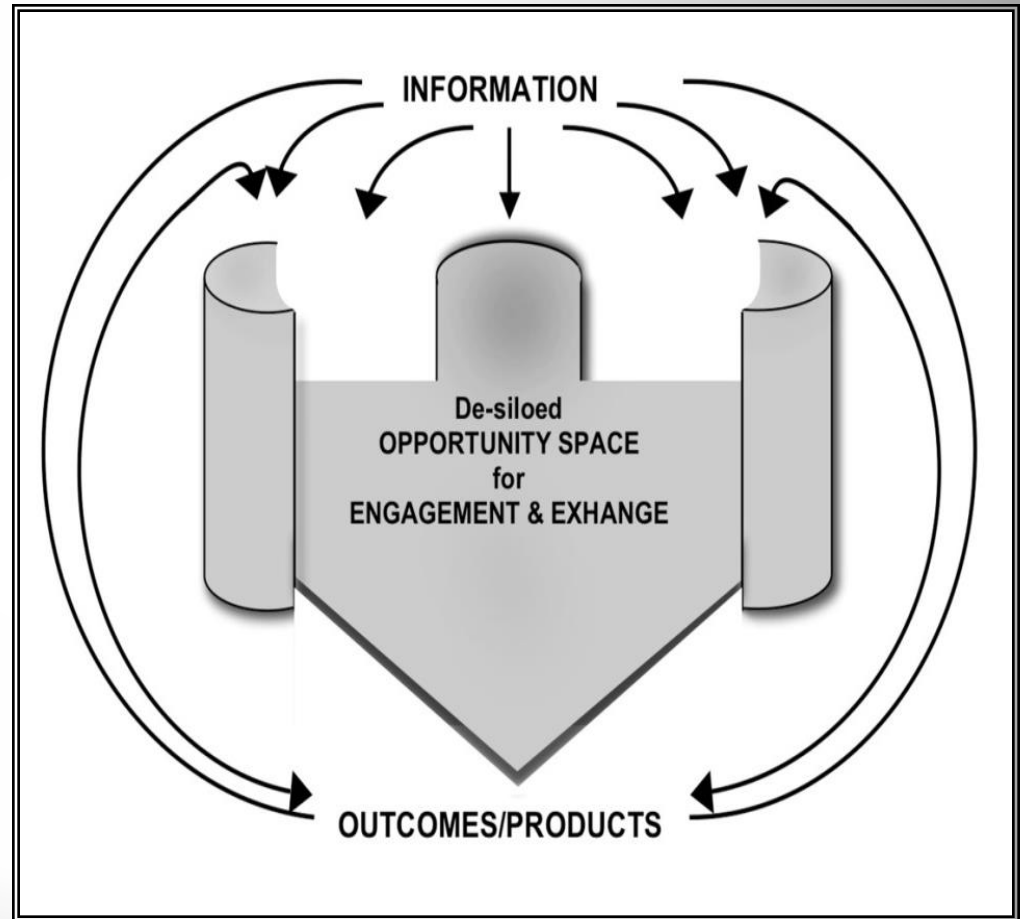


What do we do *about* the information
and capability we don't?

Questions toward Innovation

- Tools to Theory
- Theory to Tools...
...to Theory

AISC Approach

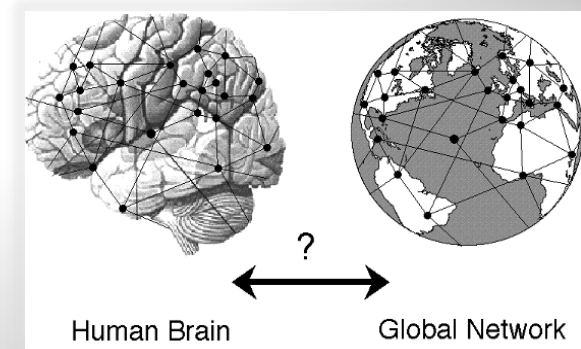


Brain Science on the World Stage

- *EU Human Brain Project*
- **US BRAIN initiative**
- *China Brain Project*
- *Japan Brain Project*

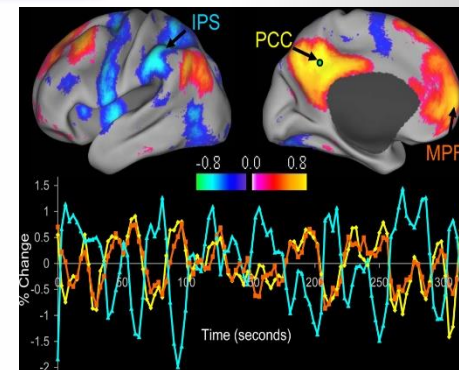
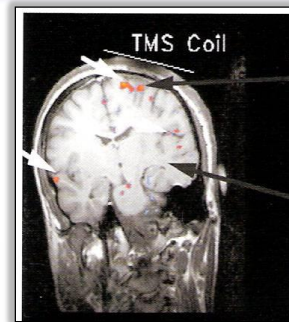
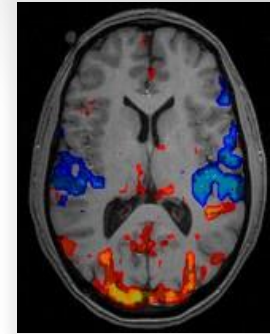
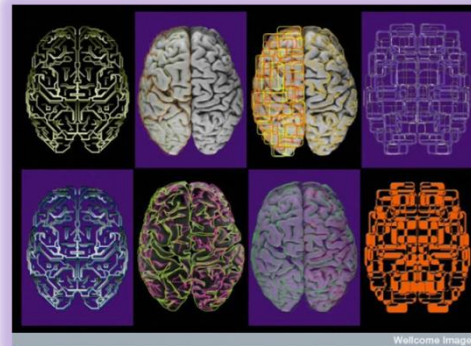


- **Global NeuroS/T Economic Predictions 2025**
 - Asia
 - US/Western Europe
 - South America



Neuroscience and Technologies (NeuroS/T)

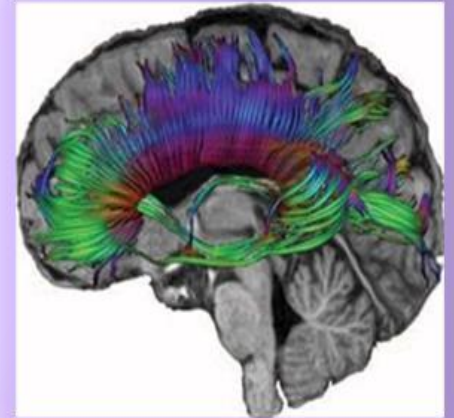
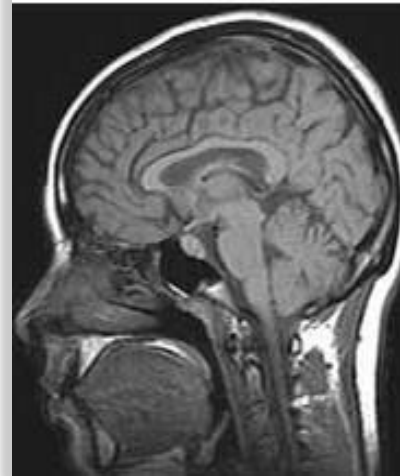
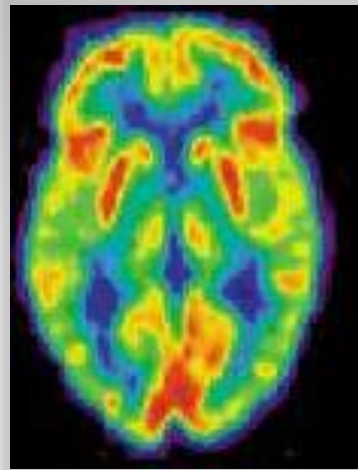
- Assessment
 - Biomarkers
 - Genetics/genomics
 - Imaging
 - Brain modeling/mapping
- Interventional
 - Technopharmaceutics
 - P-Stim
 - Neurofeedback
 - Transcranial Modulation
 - Deep Brain Stimulation
 - BCI
 - Neuroprosthetics
- Derivative
 - -Artificial neural networks
 - -AI technologies



**A-3: Actual Ability to
Assess...Access...Affect
*To What Effect(s) and Ends?***

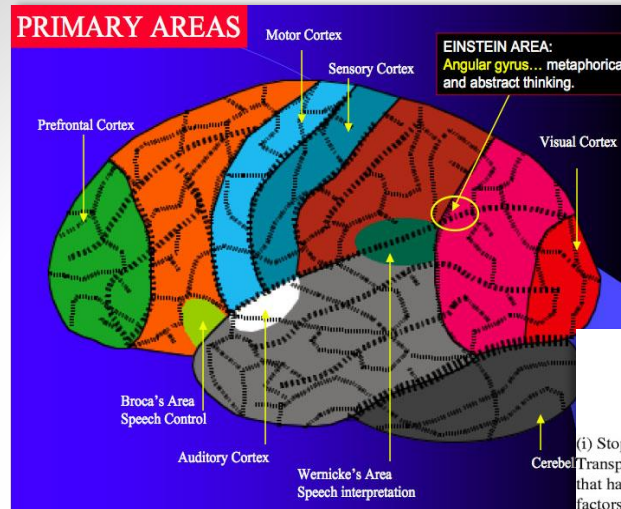
Neuroimaging

- PET
- CT
- MR
- fMR
- DTI
- MEG/qEEG

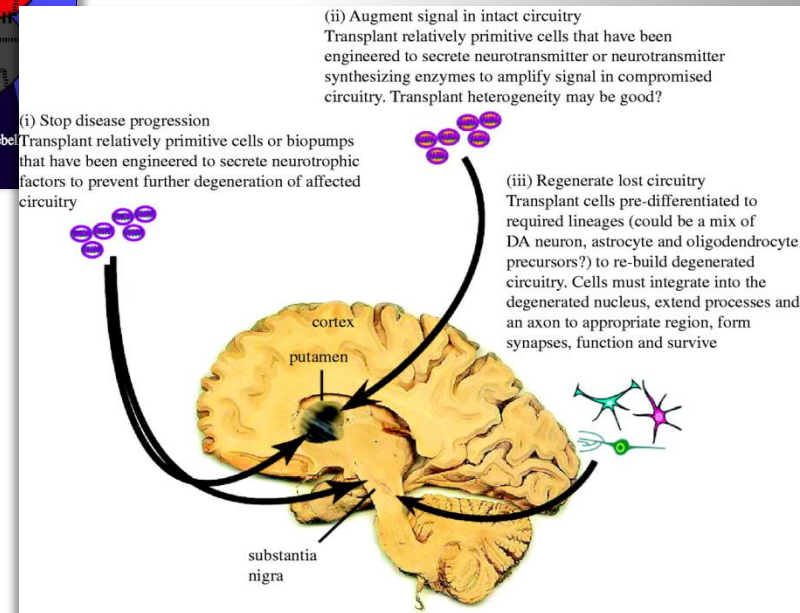


***Can we Scan the Brain to Depict
Consciousness and/or “Read” Minds?***

Neurogenetics



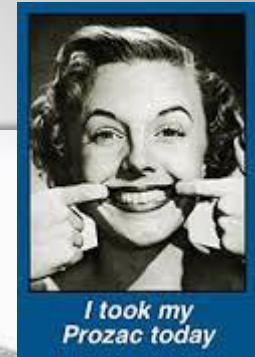
- Genotyping
- Phenotyping
- Proteomics
- Genetic Intervention(s)



Can we “Predict” or “Create” Present and Future “Selves”?

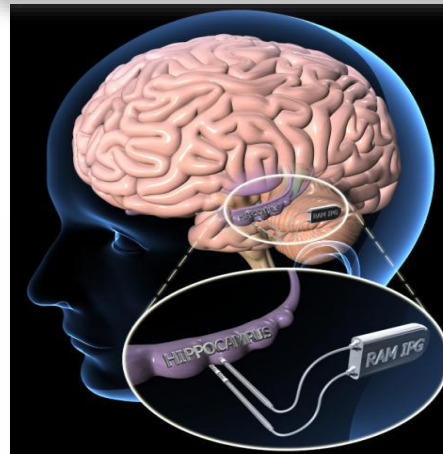
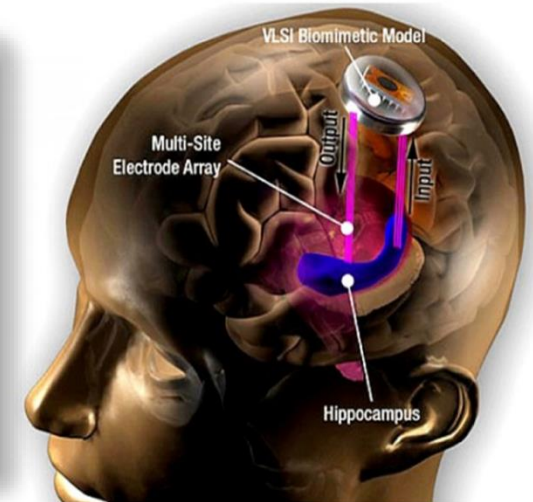
New Drugs

- Pain
- Cognition
- Emotion
- Morality



Brain-Machine Interfaces

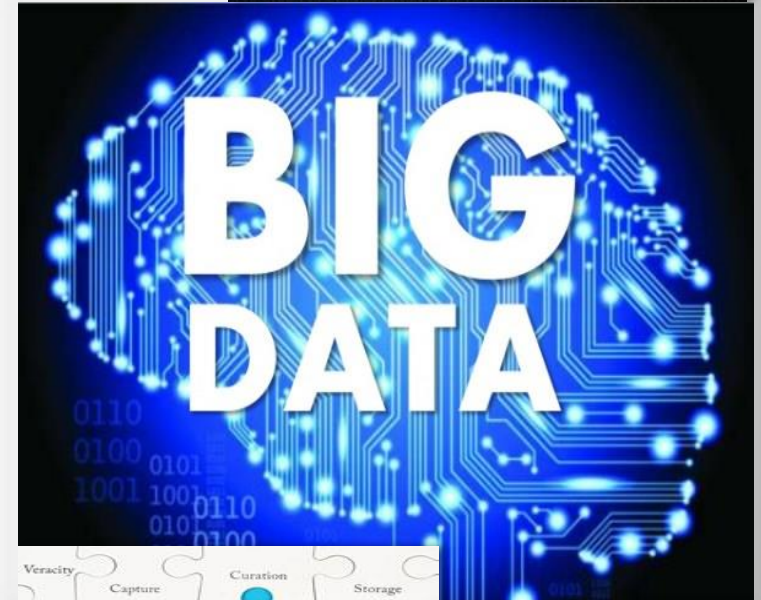
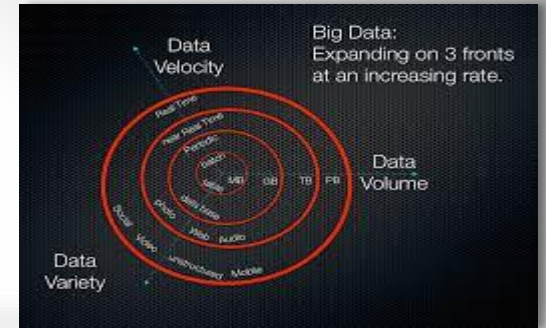
- Transcranial stimulation
- Indwelling devices
 - Brain implants
 - Micropumps
- Tissue transplants
- Genografts
- Brain-computer interfacing
 - Neurofeedback



Can we “Abolish” Pain/ Sadness/ Suffering and Expand Cognitive, Emotional and/or Moral Capability?

Big Data Approaches

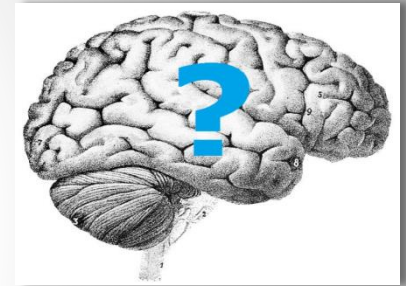
- Maximize storage and retrieval
- Parallel computing
- Scalable, customizable
- Accessible and sharable



Neuroethico-legal Issues & Risks

Technology-focal

Unknowns of frontier science/technology
Capabilities, limitations
Validity, viability of use
Runaway and Wexelblatt effects

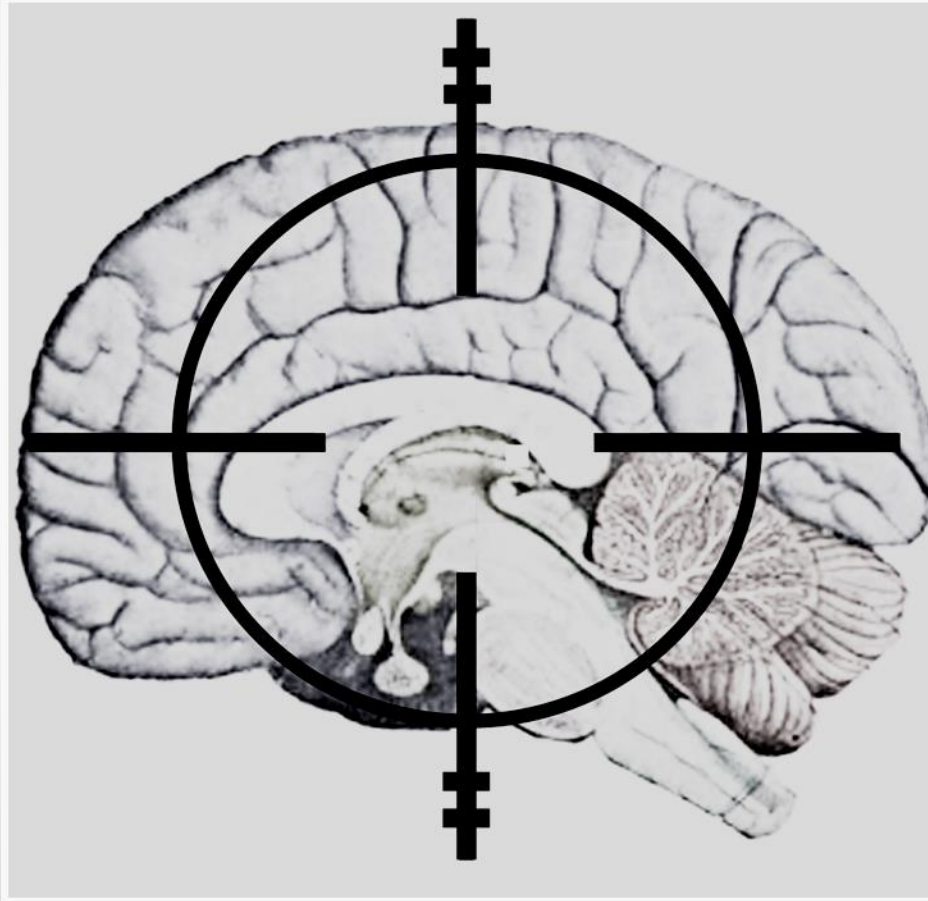


Social

Inviolability of “mind”/“cognitive liberty”
Autonomy: Protection vs privacy
Awareness, understanding, consent
Treatment/protection/enhancement
Norms, pluralization, diversity
Justice: Provision/access



Dual- and Direct Military, Intelligence and Warfare Use of Neuroscience and Neurotechnology



Enter...Neuroethics

1. “The neuroscience of ethics” (sic.)

**Or the study of the neural basis of human ecology, morality and ethics
 (“neuro-ecology as neuromorality”)**

2. “The ethics of neuroscience”

**The ethical issues, questions and problems that arise in and from
 neuroscientific research and its applications (in medicine, public use,
 military, etc.)**

**Arguably, you cannot (or SHOULD NOT)
 do (1) without first and subsequently doing (2)...**

Neuroethics - Preparatory not just Proscriptive

SCIENTIFICALLY

FOCAL

FACTUAL

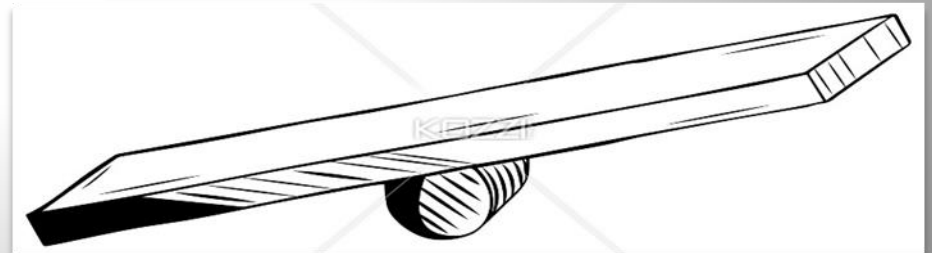
FORWARD LOOKING

SOCIALLY

SENSITIVE

RESPONSIVE

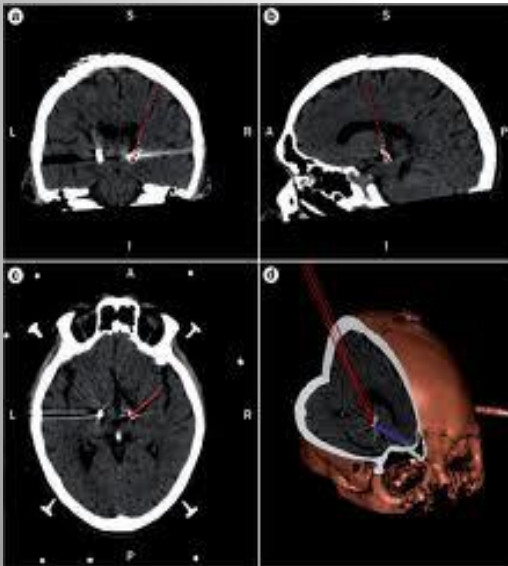
APPLICABLE



Prudential Neuroethical Questions

Given what *can* be done, how do we decide
upon what *should* be done?

...and *can* it be done?



Putting Neuroethics to Work

Potentiality-----

Possibility-----

Probability-----



NeuroS/T Superspeedway

- Multiple lanes
- Multiple entries
- Rapid pace
- Competitive
- Big Prizes
- Not without risks...



Approaching the Issues

Stake-/Shareholder Groups

- Researchers and research institutions
- Health care professionals
- Business/ manufacturers
- Patients/ consumers
- Civil society and publics
- Funders
- Payers
- Policy makers
- Regulatory bodies

Frameworks for Address

- Anticipatory governance
- RRI (responsible research and innovation)
- Human rights
- Open science/ open innovation
- Innovation foresight
- Neuroethics
- Neurolaw

ON-RAMP

Operational Neuroethical Risk Assessment and Mitigation Paradigm

6-R Approach

- *Responsibility*
- *Realistic Assessment*: of the neurotechnology
- *Research*: evaluating use/effects-in-practice
- *Responsiveness*: to burdens and deleterious effects
- *Revisions*: in technology and marketing
- *Regulation*: insure rigor in development and claims

Poses key questions

Framed within defined parameters

Preparatory Neuroethics Paradigm

Informed by...

6-W Questions:

- *What* neuroS/T are available for current use?
- *Why* is neuroS/T considered or advocated for use?
- *Who* will receive neuroS/T?
- *When* will neuroS/T be considered (algorithm/protocol)?
- *Where* will neuroS/T be administered (e.g.-hospital; clinic, school; worksite; home)?
- *Which* mechanisms will be in place for ongoing provision of services/resources?

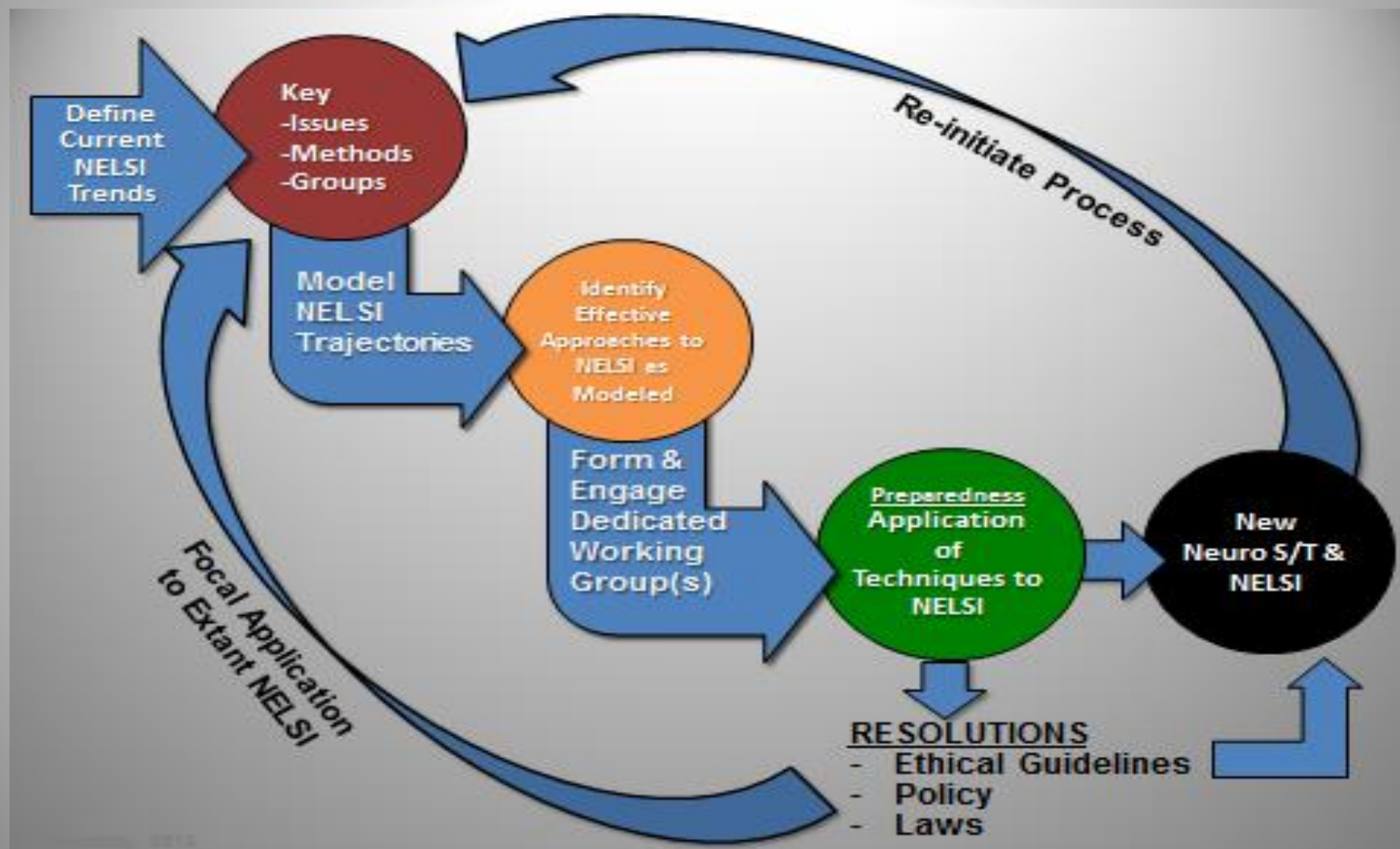
Preparatory Neuroethics Paradigm

Framed by...

6-C Considerations:

- ***Capacities*** and limitations of the neuroS/T
- ***Consequences*** incurred by neuroS/T on recipients, families, and society in the short, intermediate, and long-term
- ***Character*** of the research and recipient (e. g, patterns of cognition, emotion, and behavior) affected by neuroS/T
- ***Contexts*** of need and value that influence use of neuroS/T
- ***Continuity*** of research and clinical care
- ***Consent*** through provision most information possible

Paradigm in Practice





Date _____

91-548/1221

_____ needs a _____ **REALITY CHECK**
(tiny, medium-sized, serious)

I think you know why, but I'll still write it out for you:

_____ trying to knock some  into you

122105278 6724301068 2400

SIGNED: _____

Bottom line: What's most needed here is some ☐ **perspective** ☐ **humility** ☐ **gratitude**

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Audits

1. NeuroS/T
2. Neuroethics
3. Medico-social Views/Expectations of Both

Neuroscience-Neuroethics-Policy Approach

No new neuroscience without neuroethics...



(...and no neuroethics w/o neuroscience!)

No neuroethics without informing regulatory policy



A Work in Progress...



Neuroscience, Neurotechnology and Neuroethics



**With increasing
knowledge comes
great power...
...With great power
comes great
responsibility**

The Ongoing Work of Neuroethics...

**Reflection, insight and moral engagement must
be the stepping stone for all future acts of
inquiry, invention and intervention...**

“Measure twice, cut once”

**...for all too often,
there is no turning back.**

Read More About It...

Scientific and Philosophical Perspectives in Neuroethics

EDITED BY
James J. Giordano and Bert Gordijn



CAMBRIDGE

ADVANCES IN NEUROTECHNOLOGY
ETHICAL, LEGAL, AND SOCIAL ISSUES

NEUROTECHNOLOGY

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Neuroethical Concerns



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NEUROTECHNOLOGY IN NATIONAL SECURITY AND DEFENSE

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