

ASME NQA-1 Liaison Report

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**Presented at SC-2, Meeting 11-01
June 15-17, 2011, Gothenburg, Sweden**



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General Information



▶ **Member of NQA SC I&A WG; IEEE Liaison**

- ◆ **NQA Standards Committee, Individual Member**
- ◆ **Interface & Administration Working Group**
- ◆ **Can vote, but does not have to be resolved**
- ◆ **Received 5 year appointment, from Nov 2009 until June 2014**
- ◆ **Have been granted access to ASME C&S Connect site, for balloting, training, notices, etc.**
- ◆ **Have been provided formal training on SC/WG Guidelines**

Task Assignment



▶ Task originally assigned in 2008

- ◆ **Scope of Task: To review and compare the IAEA GS-R-3, “The Management System for Facilities and Activities” safety series standard with ASME NQA-1- 2008/9, “Quality Assurance Requirements for Nuclear Facility Applications”. This review will produce a side-by-side comparison of the two standards to facilitate the development of a new SubPart 4 application.**
- ◆ **NQA SC ballot was completed, closed 11/03/09, and passed**
- ◆ **BNCS Ballot was completed, closed 3/9/10, and passed**
- ◆ **Secretary directed to prepare for publishing for 2010 Addenda**

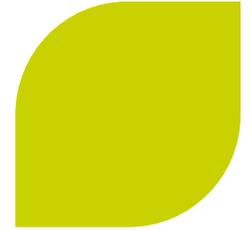
Ballot Statement



▶ **The following was the proposed ballot statement to the NQA Standards Committee:**

- ◆ **Subject: SubPart 4.X “Application Guide on the Comparison of NQA-1-2008/NQA-1a-2009, and IAEA Safety Standard GS-R-3”**
- ◆ **Proposal: To review and compare GS-R-3 with NQA-1-2008/1a-2009, and develop a new SubPart 4.X. This version of the GS-R-3 was last issued in July 2006 to replace IEAE 50-C-Q.**
- ◆ **This review produced a side-by-side comparison of the two standards. A new SubPart 4.7 application was developed.**
- ◆ **This comparison is provided as an attachment to this presentation.**

Issuance and Highlights of the Guide

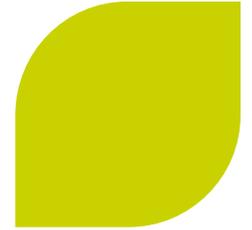


- ▶ Issued as Pages 216.1 – 216.21, of SubPart 4.7, of the 9th Edition of NQA-1, published as part of NQA-1b-2011, on 1/4/2011.
- ▶ Included in Part IV, SubPart 4.7, of NQA-1b-2011; Non-mandatory Appendices: Positions and Applications Matrices

HIGHLIGHTS

- ▶ **100 Purpose and Scope**; the comparisons are illustrated from two perspectives;
 - ◆ (a) how IAEA GS-R-3 requirements address NQA-1 requirements
 - ◆ (b) how NQA-1 requirements address IAEA GS-R-3 requirements
- ▶ **200 Applicability**
 - ◆ The guidance is intended for all parties currently applying or implementing either NQA-1 or IAEA GS-R-3 requirements, and are required to comply or implement the other requirements.

Highlights of the Guide (cont.)



- ◆ The guide can also be used to achieve compliance with both sets of requirements, simultaneously.

300 Background

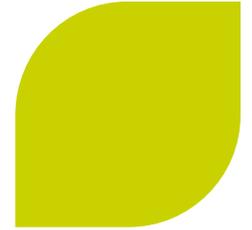
301 Global Uses of NQA-1 and IAEA GS-R-3

Facilities and operators may be compelled to comply with GS-R-3 management system requirements, while maintaining compliance to an NQA-1 quality assurance program. Thus, organizations have to adopt both as the basis of their management system or QA programs. GS-R-3 requires, among other things, that these requirements be integrated within one management system. Thus, the need for this guidance.

302 Conceptual Approaches to the Development of NQA-1 and GS-R-3

NQA-1 defines requirements for an organization to establish, implement, and assess a QA program to achieve Nuclear Safety.

Highlights of the Guide (cont.)



The NQA-1 approach applies QA requirements to activities that could affect the quality of material applications, structures, systems, and components of nuclear facilities.

IAEA GS-R-3 defines requirements for an organization to establish, implement, assess, and continually improve a management system that integrates safety, health, environmental, security, quality, and economic elements to ensure safety is not compromised.

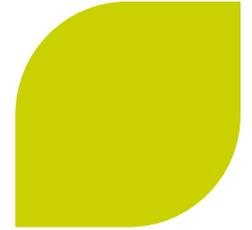
400 How to Use This Guide to Achieve Compliance with GS-R-3 or NQA-1

401 Two Perspectives and Two tables

The requirements of both standards are listed in two tables and have been compared utilizing the 18-criteria format of NQA-1, and the Process Approach of GS-R-3.

The over riding perspectives that have been the basis for this comparison effort are:

Highlights of the Guide (cont.)



(a) a Purchaser considering a Supplier for a nuclear facility that meets one of the programs but also needs to meet the requirements governed by the Purchaser's program

(b) a Supplier wanting to provide items/services to a Purchaser who requires compliance with the program that is not the Supplier's current program; this is at the heart of the "new build dilemma" regarding non-App B suppliers outside the US wanting to supply domestic projects, and the reason NPEC authorized the liaison position.

402 How to Use Tables I and II

The first table presents a column of all the NQA-1 requirements, with an adjacent column showing the corresponding GS-R-3 requirement that specifically address it.

The second table, likewise, shows all of the GS-R-3 requirements and the particular NQA-1 requirement(s) that specifically address it.

Where neither requirement meets the other requirement, a recommendation is presented as to how the specific requirement should be met.

Attachments



- ▶ **ASME NQA-1b-2011, Part IV, Subpart 4.7, Addenda to ASME NQA-1-2008**
- ▶ **ASME NQA-1-2008 (excerpts)**
- ▶ **IAEA GS-R-3**

